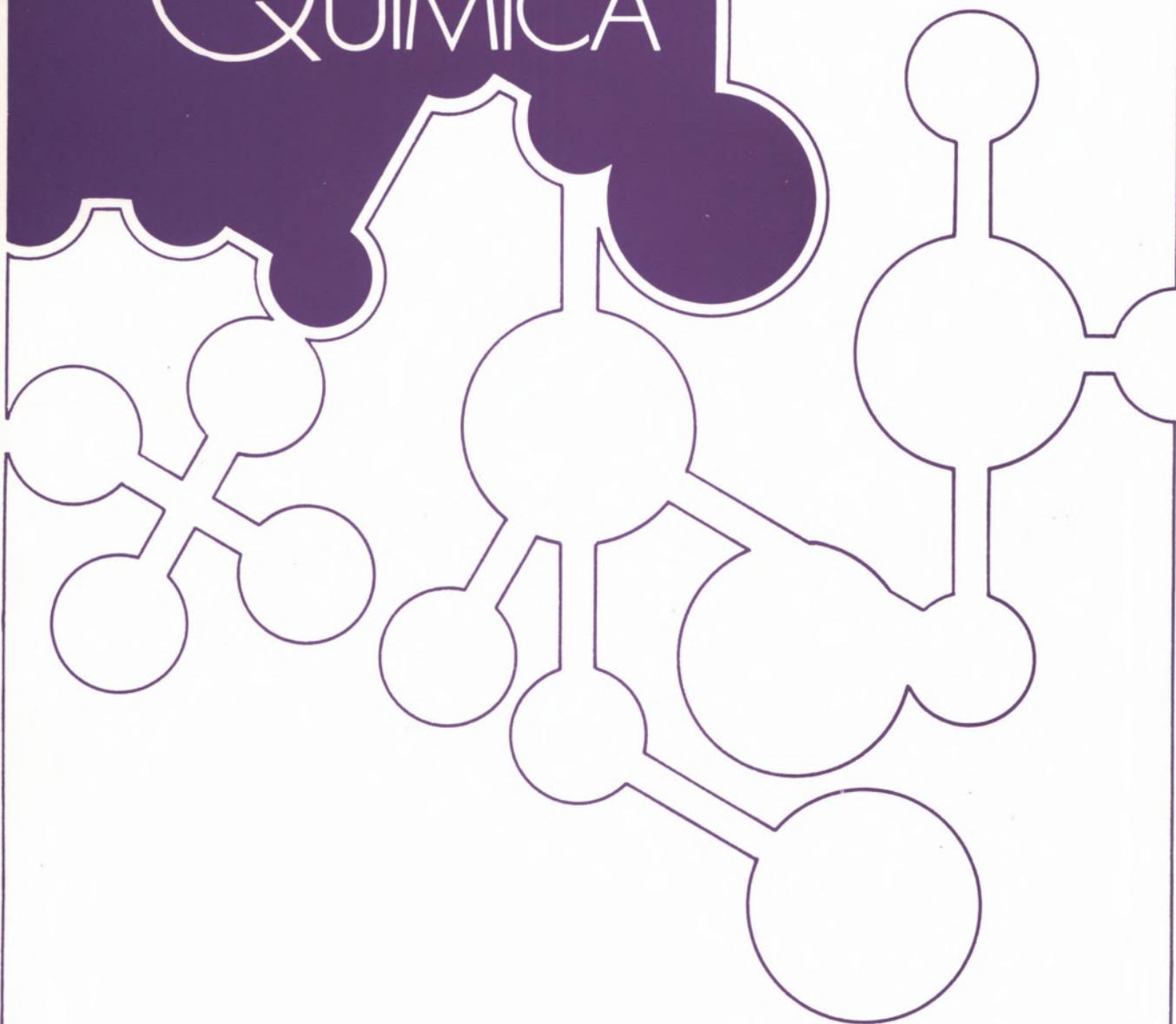


# REVISTA PORTUGUESA DE QUÍMICA



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SOCIEDADE  
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DE  
QUÍMICA

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DIVISÃO DE QUÍMICA ANALÍTICA

A Delegação Norte da SPQ estabeleceu recentemente contactos com o Colégio Oficial de Químicos e Associação Nacional de Químicos de Espanha - Delegação da Galiza, tendo em vista promover o intercâmbio científico e técnico entre as duas associações.

Em resultado destes contactos, foi decidido organizar anualmente um Encontro, alternadamente na Galiza e na Região Norte. O "1º Encontro Anual de Química Galaico-Portugalense" realizar-se-á na Galiza em Janeiro de 1985 e o seu tema será a água: - Águas naturais, águas residuais e controle de qualidade.

Também de particular interesse para a Divisão de Química Analítica é o intercâmbio no domínio da Normalização e Controle de qualidade. Desde já se convidam os colegas interessados neste tema a contactarem com esta Divisão, indicando o seu ramo de actividade a fim de se poder elaborar e permutar um ficheiro de especialistas que facilitará futuros contactos pessoais.

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## índice

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	<b>1</b>	NOTA DO EDITOR
M. LOURDES ALMEIDA BASTOS MARGARIDA A. FERREIRA	<b>2</b>	RESÍDUOS METÁLICOS CEDIDOS POR UTENSÍLIOS DE COZINHA. III. CEDÊNCIA DE CHUMBO, CÁDMIO E ALUMÍNIO POR LOUÇA DE CERÂMICA PARA CRIANÇAS
M. <sup>A</sup> DEL CARMEN CASAIS LAIÑO ROSA A. LORENZO FERREIRA FRANCISCO BERMEJO MARTÍNEZ	<b>9</b>	DETERMINACION ESPECTROFOTOMETRICA DE CROMO(III) CON ACIDO ETILENDIAMINO- <i>N,N'</i> -DIPROPIONICO (AEDDP). APLICACION A LA DETERMINACION DE CROMO EN ACEROS.
SEBASTIÃO J. FORMOSINHO	<b>14</b>	A MARKOV CHAIN METHOD FOR SIMULATING THE TIME EVOLUTION OF DRUGS IN PHARMACOKINETICS SYSTEMS
A. SUÁREZ J.M. VILA E. GAYOSO M. GAYOSO	<b>21</b>	CYCLOMETALLATION REACTIONS OF Pd(II) WITH <i>N</i> -( $\alpha$ -NAPHTHYLIDENE)- <i>o</i> -TOLUIDINE
FERNANDO SILVA	<b>25</b>	THERMODYNAMICS OF THE IDEALLY POLARIZED INTERFACE BETWEEN TWO IMMISCIBLE SOLUTIONS OF ELECTROLYTES. THE ELECTROCAPILLARY EQUATION AND SURFACE EXCESSES
ARMANDO J.L. POMBEIRO	<b>30</b>	PROPERTIES OF TRANSITION METAL CENTRES IN NITROGEN FIXATION
JOAQUIM JOSÉ MOURA RAMOS JACQUES REISSE	<b>61</b>	THE GENERALIZATION OF THE HILDEBRAND RELATIONSHIP BETWEEN THE ENTALPHY OF VAPORIZATION AND THE SURFACE TENSION OF LIQUIDS

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## NOTA DO EDITOR

Este número da Revista Portuguesa de Química está a ser editado no período de transição entre a saída do Editor Carlos M. Pulido e a entrada em funções da nova equipa editorial.

Relativamente às características de uma publicação como a Revista Portuguesa de Química muitas podem ser as opiniões e todas elas são respeitáveis. Pelo nosso lado, temos defendido que é importante a manutenção do bom aspecto gráfico (dentro das limitações dos nossos orçamentos).

É certo que numa revista científica, é contudo dos textos e não o seu aspecto gráfico aquilo que determina a qualidade do trabalho. No entanto, nós defendemos que uma boa apresentação pode facilitar bastante a leitura do texto, contribuindo assim para melhorar a comunicação entre os autores e os seus leitores.

Infelizmente, muitos artigos submetidos à Revista nestes últimos anos, têm sido publicados com um enorme atraso em relação à data de recepção dos trabalhos. Peço desculpa aos autores que foram prejudicados por tais atrasos e agradeço a compreensão que revelaram relativamente às dificuldades que tivemos de ultrapassar.

Quero também expressar os meus agradecimentos ao ex-Editor da Revista Carlos M. Pulido pelos conselhos e palavras de encorajamento com que me apoiou, a M. Helena D. Santos a rapidez com que sempre executou os trabalhos de preparação de texto e revisão de provas e ao pessoal da tipografia Proença o esforço e a boa vontade com que se empenharam na rápida execução deste número.

Finalmente, queria deixar expressos os meus mais cordiais votos de felicidades à nova equipa editorial.

*Luís Vilas-Boas*



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# RESÍDUOS METÁLICOS CEDIDOS POR UTENSÍLIOS DE COZINHA III. CEDÊNCIA DE CHUMBO, CÁDMIO E ALUMÍNIO POR LOUÇA DE CERÂMICA PARA CRIANÇAS

*Faz-se o estudo de alguns serviços de cerâmica destinados normalmente a servir alimentos a crianças, no respeitante a cedências de chumbo, cádmio e alumínio.*

*Foram analisadas 38 peças de várias marcas e, através dos metais cedidos, estabelece-se uma correlação entre a tecnologia das diferentes casas fabricantes e a qualidade das peças no respeitante a cedências.*

## 1 — INTRODUÇÃO

O cádmio e o chumbo, pela toxicidade e bioacumulação no organismo (períodos de semi-vida biológicos da ordem dos 10 a 30 anos e dos 1900 dias, respectivamente) adicionadas às interações dietético-nutricionais com elementos essenciais (o chumbo interfere com o cálcio e o ferro, o cádmio com o zinco, etc.) estão desde longa data consagrados como perigosos para a saúde [1-6]. Daí que os vários investigadores continuem a privilegiá-los como matéria de estudo e que as autoridades sanitárias, no último decénio, venham estabelecendo doses limites de ingestão admissíveis e teores de tolerância na biosfera à medida dos dados disponíveis [7,8].

Pelo contrário, a toxicidade do alumínio ainda não foi rigorosamente averiguada. O estudo da sua prevalência na atmosfera e nos alimentos tem sido igualmente descurado, provavelmente a pretexto duma pressuposta baixa toxicidade decorrente da sua abundância na crosta terrestre (ocupa entre os elementos, o terceiro lugar com uma representatividade de 8% [9,10] e presumível reduzida absorção em consequência da sua pequena solubilidade ao pH deste meio. Porém, estudos recentes vêm demonstrando que este metal está longe de ser inócuo [11-13]. Por estes factos e atendendo ao continuado e crescente uso que destes metais se vem fazendo por toda a parte, acompanhando em todos os países o desenvolvimento industrial e económico, teme-se uma crescente contaminação da biosfera, o que traria consequências graves no futuro e quiçá imprevisíveis.

A particular vulnerabilidade das crianças aos efeitos deletérios destes metais torna o problema de extrema acuidade relativamente a este grupo etário. De facto, e no que respeita ao *chumbo*, para além da clássica sintomatologia a que o saturnismo conduz nos adultos, reconhecem-se-lhe efeitos teratológicos de certa gravidade no período embrionário [14] e efeitos neurológicos de maior gravidade nos primeiros anos de vida [1,6,15]. Encefalites graves podem ocorrer em crianças, por contaminação com baixas quantidades de chumbo e revelando plumbémias muito inferiores às que produzem idêntica patogenia nos adultos. Sustentam alguns investigadores que para elas uma plumbémia clinicamente infratóxica é responsável por atrasos mentais

e por diminuições dos seus coeficientes intelectuais [16,17]. Para além desta particular susceptibilidade, as crianças que praticam "pica", isto é, ingestão contínua de produtos não alimentares, roendo objectos variados (terra, unhas, tintas, etc.) [18-20] podem ingerir este metal e outros em teores imprevisíveis e extremamente difíceis de quantificar, o que poderá revestir-se de particular gravidade atendendo à elevada capacidade de absorção que as crianças têm para o chumbo (calculada em 40 a 50 % contra 5 a 10% para o adulto) [21]. Pelas razões apontadas em 1971, KING sugeriu como dose de ingestão admissível máxima para as crianças a quantidade de 300  $\mu\text{g}/\text{dia}$  [22]. Posteriormente, em 1977, MAHAFFEY restringiu a dose para valores inferiores a 100 e 150  $\mu\text{g}/\text{dia}$  para as crianças com idade até seis meses e entre os seis meses e os dois anos, respectivamente [15].

Não obstante a importância de que se reveste o problema, são escassos os estudos de avaliação do grau de contaminação dos alimentos infantis [23-25]. Pensamos que na base deste desinteresse esteja a suposição que sejam mais temíveis, por mais significativas, as contaminações secundárias dos alimentos, por migração do chumbo das embalagens e dos recipientes que com eles contactam (utensílios de cozinha, pratos de cerâmica, etc.) do que a contaminação primária dos mesmos. Por isso, algumas Organizações Internacionais, Food Drug Administration, Comunidades Europeias e a Organização Mundial de Saúde estabeleceram como limites de cedência nas cerâmicas destinadas a ser utilizadas no serviço a crianças a taxa limite de 2,5 mg/l de volume por elas contido. Nesta matéria, muitos países possuem legislação própria e em certos casos ainda mais restritiva (a Suécia, por ex., tolera somente a cedência de 1 mg/l).

A intoxicação crónica pelo *cádmio* é ainda mais perigosa do que pelo chumbo, não só a despeito da sua patogenia, como do seu mais longo período de semi-vida biológico. Além disso, o seu diagnóstico precoce não é muito fácil por ser a sintomatologia pouco objectiva (insuficiência renal, acção hipertensiva, interferência com o metabolismo do cálcio, etc.) [3].

É de salientar, pelo perigo que representa para as crianças, a sua bioacumulação a nível ósseo e renal e a sua interferência nas fases metabólicas mediadas pelas enzimas com zinco e no metabolismo mineral

do sistema ósseo. Neste domínio parece existir uma influência directa da absorção do Ca/P a nível gastro-intestinal ou, indirectamente, por influência na actividade da vitamina D, da actividade parotídica e pela regulação da excreção renal Ca/P. Transtornos renais com proteinúria são também clássicos na intoxicação crónica pelo cádmio [26]. Importante pelos graves malefícios que provoca é o impacto do cádmio no desenvolvimento fetal, produzindo fenómenos teratológicos, morte fetal e necroses placentárias [14]. Uma possível acção carcinogénica por este metal também não está fora de cogitação [4].

Sendo a via de intoxicação principal a digestiva [27], não se deve ignorar os perigos a que o homem se expõe de contaminação pulmonar ao respirar numa atmosfera fabril fortemente poluída com pó fino de cádmio ou ao fumar os seus cigarros. Estima-se que a ingestão média diária de cádmio seja de 72  $\mu\text{g}$  (média) ou 33  $\mu\text{g}$  (mediana) ressalvando, obviamente, não só as variações regionais como as dos diversos países.

Que tenhamos conhecimento, para as crianças, ainda não foram fixados internacionalmente limites de ingestão admissíveis, porém, para os adultos, a OMS estima como tolerável a quantidade de 400 a 500  $\mu\text{g}/\text{semana}$ .

Tal como foi dito em relação ao chumbo, por maioria de razão, a contaminação secundária dos alimentos pelo cádmio pode ser mais expressiva e mais perigosa para as crianças e, por esse facto, a CEE, a FDA e OMS fixaram já como limite de cedência pelas cerâmicas para este metal a taxa de 0,25 mg/l. Até muito recentemente não se descreveu na literatura qualquer caso de intoxicação humana pelo *alumínio*, apesar de ser elevada na dieta a quantidade de metal ingerido (estima-se em 10 a 100 mg/dia ou mesmo mais conforme o uso que se faça dos utensílios de alumínio nas operações culinárias). Porém, já em 1934, TCHIJEVSKY e TCHIJEVSKAYA [28] admitiram haver relação entre a mortalidade por cancro e o uso que se vinha fazendo dos artigos de alumínio, hipótese não comprovada até ao momento.

Em termos hipotéticos, em 1970, BERLYNE [29] atribuiu as elevadas taxas de aluminémia que observara em doentes renais crónicos, submetidos a diálise, à frequente ingestão de geles de alumínio e ao alumínio contido na água usada na diálise. Em 1972, as

experiências levadas a cabo por este investigador vieram confirmar a sua hipótese, ao observar intoxicações por este metal quando administrava a ratos sais de alumínio quer por via oral quer por via parenteral [11]. Neste mesmo ano, ALFREY [30] observou pela primeira vez casos de demência em dialisados renais e LAUGHLIN e Col. [31] relataram manifestações tóxicas por via pulmonar de etiologia aluminica. Posteriormente, CRAPPER e Col., em 1973 [12] e BOUKARI e Col., em 1978 [32] interpretam a doença de ALZHEIMER como uma síndrome encefalopática de etiopatogenia pelo alumínio.

A interpretação que actualmente se encontra para esta aparente discrepância entre as intoxicações actualmente constatadas e a toxicidade antigamente prevista é a de que, em situações muito pouco esclarecidas e imprevisíveis, a absorção deste metal possa ser bastante facilitada. Pensa-se, no entanto, não ser de rejeitar o efeito interactivo deste metal com outras moléculas exógenas provenientes da dieta, as alterações da flora microbiológica intestinal para além de outros factores individuais [10].

Considerando: 1. A tendência crescente do uso de louça policolorida para servir os alimentos às crianças; 2. Que não foi assunto estudado em Portugal; 3. Que também entre nós venham a ser estabelecidos limites de cedência admissíveis para este tipo de louça; 4. Ser nossa obrigação dar contributo para a melhoria tecnológica das indústrias que têm a cargo o fabrico deste tipo de louça, fomos sensibilizadas a proceder ao estudo que a seguir se relata.

## 2 — PARTE EXPERIMENTAL

### 2.1 — AMOSTRAS ENSAIADAS

As amostras constaram de 38 peças de louça de cerâmica para criança, comercialmente conhecidas por "serviços de criança" e normalmente formados por um conjunto de 3 peças cada (caneca, prato e prato de sopa). Estes serviços foram adquiridos no comércio local (Porto) e foram distribuídos por cinco grupos que representamos nos Quadros de resultados por A, B, C, D e E. Quatro deles foram perfeitamente identificados com a casa fabricante e de acordo com a lei vigente em Portugal. Um outro, o representado pela letra B, que não pudemos identificar por falta de marcação das peças, não se cumprindo para este caso aquela formalidade legal.

Todas as peças eram de fundo branco, vidradas, com desenhos variados e de grande policromia.

### 2.2 — MÉTODOS

As extracções dos metais migrados das peças foram executadas segundo a norma da CEE [36] e já referidas em trabalho anterior [33].

Todas as peças ensaiadas foram sujeitas a tratamentos idênticos. Primeiramente, foram lavadas com abundante água desionizada e secas. Seguidamente, foram cheias com solução de ácido acético a 4%, cobertas e deixadas durante 24 horas à temperatura ambiente ( $22 \pm 2^\circ\text{C}$ ). Após este contacto, cada solução extractiva foi evaporada em goblé até volume reduzido. Após arrastamento e lavagem quantitativa do conteúdo do goblé, completou-se o volume de 25 ml, em balão volumétrico aferido, com água desionizada.

As determinações foram feitas em todas as soluções extractivas, usando o ácido acético a 4% como branco e fazendo a calibração da escala com a solução padrão de concentração conveniente. Em alguns casos foi necessário proceder a uma diluição apropriada da solução extractiva, adaptada à calibração previamente realizada.

Todo o material auxiliar das experiências foi previamente fervido em ácido azótico a 20% e lavado com água desionizada.

No *caso dos pratos* repetiu-se a extracção com idêntica metodologia, intervalando as duas extracções por lavagem com água desionizada.

Para *as canecas* procedeu-se do seguinte modo: 1.<sup>a</sup> extracção — contacto interior com a solução extractiva de modo análogo ao praticado para as restantes peças.

2.<sup>a</sup> extracção — contacto exterior com a solução extractiva (em consequência de serem coloridas exteriormente).

#### 2.2.1 — REAGENTES

Os produtos empregados na preparação de padrões (nitrato de chumbo, sulfato de cádmio e sulfato duplo de alumínio e potássio bem como os solventes ácido acético e ácido azótico) apresentavam pureza (p.a.) e foram fornecidos pela Merck. A água utilizada em todos os ensaios era desionizada e previamente testada.

## 2.2.2 — APARELHAGEM

Utilizou-se um espectrofotómetro de absorção atómica "Varian Techtron 1000" e um Spectronic 21 Baush e Lomb.

## 2.2.3 — CONDIÇÕES EXPERIMENTAIS

O *chumbo* e o *cádmio* foram determinados por espectrofotometria de absorção atómica nas condições experimentais que se seguem e já referidas em trabalhos anteriores [33,34]:

O Quadro 4 apresenta as cedências pela superfície exterior das canecas ao ácido acético a 4% onde foram imersas e os valores são expressos também em  $\mu\text{g}/\text{l}$  da solução de contacto externo e para os três elementos estudados.

## 3.1 — CHUMBO

O Quadro 1 mostra que, na globalidade das peças, o chumbo migrado para o ácido acético variou de 0 a 100  $\text{mg}/\text{l}$ .

	Lâmpada	Int. da corr. (mA)	Comp. de onda (nm)	Abertura da fenda	Gases	Chama	Limite de sensibil. ( $\mu\text{g}/\text{ml}$ )
CHUMBO	Cátodo oco	6	217,0	1,0	Ar/Acetileno	Oxidante	0,05
CÁDMIO	»	3	228,8	0,5	»	»	0,01

Quadro 1

*Cedência de chumbo em louça de criança ( $\mu\text{g}/\text{l}$ )*

Marcas	A	B	C	D	E
Média da 1.ª ext. $\pm$ SEM	140 $\pm$ 37 (n=9)	35600 $\pm$ 21100 (n=6)	7900 $\pm$ 6900 (n=6)	1000 $\pm$ 700 (n=7)	3100 $\pm$ 1700 (n=10)
Média da 2.ª ext. $\pm$ SEM	47 $\pm$ 8,6 (n=6)	9600 $\pm$ 5300 (n=4)	7300 $\pm$ 6900 (n=4)	550 $\pm$ 370 (n=5)	1800 $\pm$ 1200 (n=7)
Amplitude (1.ª extr.)	0—290	20—100000	34—39000	0—4700	10—14200
% de peças com cedência superior ao limites da CEE e FDA	0	66,7	33,3	14,3	20

SEM representa o erro padrão da média

Para o *alumínio* usámos um método colorimétrico clássico de complexação com aluminon e segundo a técnica descrita pormenorizadamente em [35]. Para este metal o limite de sensibilidade é de 0,02  $\mu\text{g}/\text{ml}$ .

## 3 — RESULTADOS

Os Quadros 1, 2 e 3 referem as médias dos resultados das cedências, em  $\mu\text{g}/\text{litro}$ , para os três metais estudados, Pb, Cd e Al, respectivamente. As peças encontram-se agrupadas por casas e os resultados são respeitantes aos dois tratamentos efectuados. Indica-se a dispersão das cedências em cada grupo estudado. Faz-se referência também às percentagens de peças que ultrapassam os limites estabelecidos para este tipo de louça quer pela CEE, FDA e OMS no referente a Pb e Cd.

Facilmente se constata a diferença de qualidade, em termos de inércia química, dos 5 fabricos analisados. De facto, as nove peças da casa A cedem ao ácido acético a 4%, quantidades muito baixas de chumbo, longe mesmo do valor considerado limite pelos Organismos Internacionais (2,5  $\text{mg}/\text{l}$ ). Todas as outras marcas apresentam peças que cedem teores de chumbo considerados elevados e perigosos para este tipo de louça. Mas é sem dúvida o grupo de peças assinalado pela letra B o de pior qualidade, sendo a cedência em média, para a primeira extracção, de 35,6  $\text{mg}/\text{l}$ .

Na segunda extracção, o chumbo cedido pelas peças é sempre mais baixo mas, em muitos casos ainda perigoso, particularmente nos grupos de peças B e C que, em média, cedem ainda 9,6 e 7,3  $\text{mg}/\text{l}$ , respectivamente.

Quanto aos teores de chumbo cedidos pelo exterior das canecas (Quadro 4), de novo o grupo B é o de pior qualidade com uma cedência, em média, de 42,5  $\mu\text{g}/\text{l}$ . Este ensaio exterior permite-nos avaliar do perigo das decorações exteriores das peças de mesa, em particular das vulgarmente usadas por crianças, dada a frequente prática de “pica” [37]. Um aspecto que nos parece relevante e digno de registo é a cedência muito baixa de chumbo nas peças sem decoração interior, que neste nosso estudo abrangeu apenas as canecas. De facto, os valores de chumbo migrados no ensaio que efectuámos nas 12 canecas situaram-se entre 0 e 45  $\mu\text{g}/\text{l}$ , havendo apenas um valor de cedência elevado, situado para além daqueles limites e que foi de 1600  $\mu\text{g}/\text{l}$ .

Os valores de cedência obtidos permitem-nos concluir da forte relação entre as cores garridas dos motivos apresentados pelas peças e a quantidade de chumbo cedido, sendo, como é óbvio, de marcante importância a qualidade do vidro e a tecnologia usada nos diferentes fabricos [34]. Donde seja de sugerir a utilização de louça branca preferencialmente à colorida no sentido de minorar as migrações de chumbo.

### 3.2 — CÁDMIO

A amplitude dos valores cedidos na primeira extracção varia de 0 a 8000  $\mu\text{g}/\text{l}$ , como se mostra no Quadro 2.

À excepção da marca D que, no que se refere ao cádmio é de qualidade aceitável, a situação é semelhante à do chumbo e que já discutimos anteriormente em 3.1.

Os limites admitidos pela CEE, FDA e OMS para cedência de cádmio por louça de criança são muito mais apertados comparativamente aos do chumbo (apenas 250  $\mu\text{g}/\text{l}$ ).

As peças de pior qualidade pertencem ao grupo B e as marcas A e D são as melhores, sendo os valores de cádmio cedidos sempre baixos.

As canecas não cederam cádmio em nenhum caso ( $n=12$ ) à solução acética o que evidentemente se deve ao facto daquelas peças serem brancas e sem qualquer motivo colorido.

No Quadro 4 estão apresentados os resultados do ensaio exterior feito para as canecas de todos os

Quadro 4  
Ensaio exterior

Peça n.º	Marca	Al	Pb	Cd
1	A	30	44	1,3
4	»	43	25	1,3
9	»	20	50	4,0
10	B	—	43200	790
15	»	—	41700	310
16	C	410	930	110
21	»	17	59	3,0
22	D	91	1800	35
26	»	35	52	9,0
29	E	83	9700	93
32	»	93	560	31
38	»	120	2800	320
		$n=10$	$n=12$	$n=12$

serviços analisados. Também no respeitante a este metal há casos de cedências consideráveis e podemos então concluir de mais uma causa de perigo para as crianças que praticam “pica”.

Quadro 2  
Cedência de cádmio em louça de criança ( $\mu\text{g}/\text{l}$ )

Marcas	A	B	C	D	E
Média da 1.ª ext. $\pm$ SEM	11,5 $\pm$ 3,6 ( $n=9$ )	2300 $\pm$ 1400 ( $n=6$ )	720 $\pm$ 620 ( $n=6$ )	80 $\pm$ 40 ( $n=7$ )	170 $\pm$ 74 ( $n=10$ )
Média da 2.ª ext. $\pm$ SEM	5,9 $\pm$ 1,0 ( $n=6$ )	800 $\pm$ 430 ( $n=4$ )	570 $\pm$ 520 ( $n=4$ )	51 $\pm$ 22 ( $n=5$ )	140 $\pm$ 71 ( $n=7$ )
Amplitude (1.ª extr.)	0—26	0—8000	0—3500	0—200	0—730
% de peças com cedência superior aos limites da CEE e FDA	0	66,7	33,3	0	20

SEM representa o erro padrão da média

Verificámos que às cores garridas vermelho, laranja e amarelo dos motivos de decoração se associam elevadas cedências de cádmio como já vem sendo documentado em estudos feitos por outros autores.

### 3.3 — ALUMÍNIO

No Quadro 3 registam-se os resultados do Alumínio. Também para este metal as peças analisadas se revelaram de diferente qualidade no respeitante à sua migração para a solução extractiva, tendo sido encontrados valores que variam entre 23 e 18800  $\mu\text{g/l}$ . Os grupos de peças com cedência mais significativa são o B e o C. Os valores cedidos externamente variam entre 17 e 410  $\mu\text{g/l}$ , o que não nos parece preocupante para este metal e para este tipo de ensaio [43].

A cedência do alumínio por artigos de louça de cerâmica, do ponto de vista tecnológico, parece-nos dever ser encarado de diferente modo relativamente ao chumbo e ao cádmio. De facto, a presença do alumínio é devida à constituição natural da argila de que as peças são feitas. A sua migração é provavelmente devida à fragilidade do vidro que, quando não é convenientemente preparado, aplicado e cozido, se torna quebradiço e permite assim que os constituintes do barro situados sob aquela superfície protectora fiquem facilmente acessíveis aos alimentos e, neste nosso estudo, à solução extractiva [37].

Não temos conhecimento de qualquer legislação regulamentadora da cedência de alumínio em utensílios de cozinha. Tal facto pode ter relação com a circunstância de não estarem perfeitamente averiguadas as suas acções toxicológicas nem as doses que poderão constituir perigo. No entanto, do que nos foi possível averiguar, há já estudos feitos no sentido de apurar a cedência deste metal aos alimen-

tos quando cozinhados em utensílios de alumínio, donde podemos concluir da importância dada ao problema [43,44].

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Quadro 3  
Cedência de alumínio em louça de criança ( $\mu\text{g/l}$ )

Marcas	A	B	C	D	E
Média da 1.ª ext. $\pm$ SEM	320 $\pm$ 250 (n = 9)	1600 $\pm$ 850 (n = 6)	4000 $\pm$ 3300 (n = 6)	180 $\pm$ 40 (n = 7)	290 $\pm$ 120 (n = 10)
Média da 2.ª ext. $\pm$ SEM	50 $\pm$ 11 (n = 6)	1800 $\pm$ 1500 (n = 4)	4400 $\pm$ 4900 (n = 4)	940 $\pm$ 970 (n = 5)	110 $\pm$ 37 (n = 5)
Amplitude (1.ª extr.)	41—2200	100—4300	37—18800	49—330	23—1100

SEM representa o erro padrão da média

- rimental Neurofibrillary Degeneration", *Science*, **180**, 511-513 (1973).
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## SUMMARY

This report refers a study about lead, cadmium and aluminum leached from some Portuguese ceramic tablewares for children. 38 utensils made by several factories were analysed. From the levels of metals leached, a very clear correlation could be established about the technology carried on in each factory.

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# DETERMINACION ESPECTRO- FOTOMETRICA DE CROMO(III) CON ACIDO ETILENDIAMINO-*N,N'*- -DIPROPIONICO (AEDDP). APLICACION A LA DETERMINACION DE CROMO EN ACEROS\*

*Se propone un nuevo método para la determinación espectrofotométrica de cromo con ácido etilendiamino-*N,N'*-dipropiónico (AEDDP). Este metal reacciona con el AEDDP para dar un complejo con dos máximos de absorción a 520 y 405 nm. A 520 nm la ley de Beer se cumple en un intervalo de concentraciones de 20 a 240 µg de cromo/ml, y el intervalo óptimo de aplicación según la curva de Ringbom está comprendido entre 60 y 230 µg de Cr/ml. La absorptividad molar es igual a 140 l mol<sup>-1</sup> cm<sup>-1</sup> y la sensibilidad 0,3513 µg/cm<sup>2</sup>. Se investigaron la estequiometría, interferencias, así como la reproducibilidad y precisión del método. Se compara el nuevo método con otros similares hallados en la bibliografía, y se aplica el método a la determinación de cromo en una muestra patrón de acero.*

\* Parte CXXII de la serie Aplicaciones Analíticas de los Químicos. Comunicación presentada al "6.º Encontro da Sociedade Portuguesa de Química". Aveiro (Portugal), 20C21 (1983).

## 1 — INTRODUCCION

En trabajos anteriores [1,2] se ha utilizado el AEDDP para la determinación espectrofotométrica de cobalto y cobre(II).

En el presente trabajo se estudia el espectro en la zona visible, del complejo coloreado que el ácido etilendiamino-*N,N'*-dipropiónico (AEDDP) forma con el cromo(III).

## 2 — PARTE EXPERIMENTAL

### REACTIVOS

*Cromo(III), disolución patrón.* — Tritisol Merck conteniendo 1000 µg de Cr(III)/ml, que corresponde a una concentración 0,01923 M.

*AEDDP, disolución al 2%.* — Se preparó disolviendo en agua destilada la cantidad adecuada de AEDDP.

*Disolución patrón de AEDDP 0,01923 M* — Se preparó por pesada de 0,78336 g de ácido puro, se disolvió en agua destilada y se llevó a 200 ml en un matraz aforado.

*Hidróxido sódico, disolución acuosa 1 M.*

*Acido clorhídrico, disolución acuosa 1 M.*

### APARATOS

Espectrofotómetro "Spectronic 700" Bausch & Lomb, equipado con cubetas de 1,00 cm de espesor. Medidor de pH "Beckman Electromate" con electrodos de sensibilidad ± 0,02 pH.

### ESPECTRO DE ABSORCIÓN

Se realizó el espectro de absorción del sistema cromo(III)-AEDDP a distintos valores de pH con el fin de encontrar la longitud de onda a la cual la absorción es máxima. Para ello se pasaron partes alícuotas de la disolución de cromo(III) de concentración conocida a matraces aforados de 25 ml, añadiendo 5 ml de disolución de AEDDP al 2% y ajustando el pH con disolución de hidróxido sódico o ácido clorhídrico hasta alcanzar un pH de 3,1; 7 y 11. Se calentaron en un baño de agua hirviendo, se enfriaron a temperatura ambiente y se llevaron a 25 ml con agua destilada; la concentración resultante fue de 120 µg de Cr/ml.

Las medidas se realizaron en el espectrofotómetro en el intervalo de 360 a 750 nm, utilizando agua destilada como blanco; se observa que las longitudes de onda de los máximos de absorción son las

mismas a pH ácido y neutro. Observándose dos máximos uno a 520 nm y otro mucho más débil a 405 nm. Los resultados obtenidos se representan en la fig. 1.

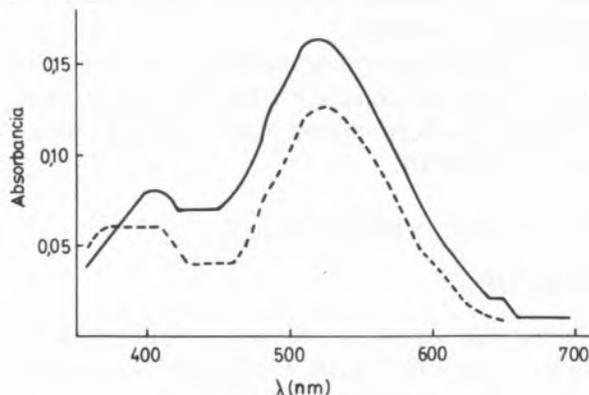


Fig. 1

Espectros de absorción de las disoluciones del complejo Cr(III)-AEDDP a pH 3,1 y 7. Concentración: 120  $\mu\text{g}$  de cromo(III)/ml. — pH=3,1; --- pH=7,0

### EFEECTO DEL pH

Se estudió la influencia del pH en la formación del complejo Cr(III)-AEDDP, preparando una serie de disoluciones según el procedimiento anteriormente descrito y cuyos valores de pH estuvieron comprendidos en un intervalo lo más amplio posible. A medida que la disolución se va alcalinizando se intensifica su color, permaneciendo constante la absorbancia en el intervalo de pH 4,6-5,9 a 520 y 405 nm, decayendo luego debido a la precipitación del cromo en forma de hidróxido. Los valores obtenidos se hallan representados en la fig. 2; se eligió como pH adecuado de trabajo el intervalo de 5 a 5,5.

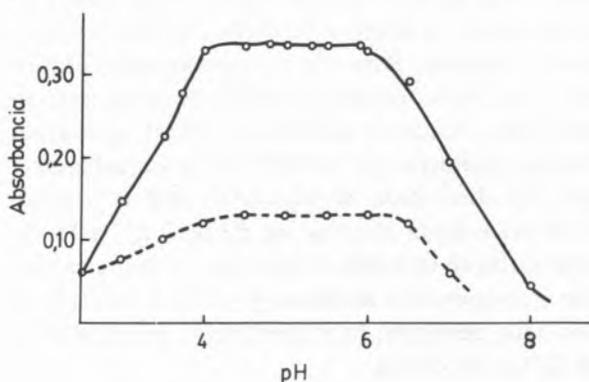


Fig. 2

Variación de la absorbancia de las disoluciones del complejo Cr(III)-AEDDP con el pH. Concentración: 120  $\mu\text{g}$  de cromo(III)/ml. — 520nm; --- 405 nm

### INFLUENCIA DEL TIEMPO DE CALENTAMIENTO

Para determinar la influencia del tiempo de calentamiento sobre la absorbancia del complejo Cr(III)-AEDDP, se prepararon una serie de disoluciones del modo indicado anteriormente, se introdujeron simultáneamente en un baño de agua hirviendo, y fueron retiradas a distintos tiempos comprendidos entre 0 y 60 minutos. Enfriados a temperatura ambiente se enrasaron con agua destilada y se midieron las absorbancias a 520 y 405 nm. De los resultados obtenidos se deduce que el tiempo óptimo de calentamiento es de 50 minutos para las longitudes de onda de trabajo.

### INFLUENCIA DE LA CANTIDAD DE REACTIVO Y TIEMPO

Con el fin de estudiar el efecto que produce la cantidad de reactivo sobre la absorbancia y formación del complejo Cr(III)-AEDDP, se pasaron a una serie de matraces aforados de 25 ml partes alícuotas de la disolución patrón de cromo(III), de modo que la concentración de cromo sea siempre constante, se adicionaron cantidades variables de AEDDP al 1% y se ajustó el pH entre 5 y 5,5; los matraces se calentaron en un baño de agua hirviendo durante 50 minutos, se enfriaron a temperatura ambiente y se añadió agua destilada hasta el enrase. Encontrándose que por cada 120  $\mu\text{g}$  de Cr/ml deben adicionarse 10 ml de AEDDP al 1%, y que cantidades superiores no influyen en la absorbancia del complejo. La formación del complejo es inmediata y la absorbancia no varía por lo menos en 32 horas.

### CUMPLIMIENTO DE LA LEY DE BEER E INTERVALO ÓPTIMO DE RINGBOM

Para comprobar el cumplimiento de la ley de Beer se prepararon una serie de disoluciones según el procedimiento ya conocido, estando la concentración de cromo comprendida entre 20 y 280  $\mu\text{g}$  de Cr/ml, se midió la absorbancia en las condiciones anteriormente establecidas encontrándose que a 520 y 405 nm esta ley se cumple entre 20 y 240  $\mu\text{g}$  de Cr/ml. Se calculó la absorptividad molar y la sensibilidad del complejo Cr(III)-AEDDP encontrándose los valores de 140  $\text{l mol}^{-1} \text{cm}^{-1}$  y 0,3513  $\mu\text{g}/\text{cm}^2$  respectivamente a 520 nm y 56  $\text{l mol}^{-1} \text{cm}^{-1}$  y 0,9285  $\mu\text{g}/\text{cm}^2$  a 405 nm. El intervalo óptimo de Ringbom

está comprendido entre 60 y 230  $\mu\text{g}$  de Cr/ml a 520 nm y entre 100 y 230  $\mu\text{g}$  de Cr/ml a 405 nm.

**REPRODUCIBILIDAD Y PRECISIÓN**

El estudio estadístico para las concentraciones de cromo(III) de 40, 80, 120, 160, 200, 240  $\mu\text{g}$  Cr/ml en series de 10 muestras dió las siguientes desviaciones normales o típicas de 0,0035; 0,0024; 0,0047; 0,0101; 0,0062; 0,0106; a 520 nm y 0,0000; 0,0021; 0,0032; 0,0034; 0,0035; 0,0016 a 405 nm. En cuanto al error relativo medio los valores hallados fueron de: 2,13; 0,75; 0,79; 1,66; 0,79; 1,70% respectivamente a 520 nm y 0,00; 1,70; 1,75; 1,44; 1,20; 0,49% a 405 nm.

**IDENTIFICACIÓN DE LA FÓRMULA DEL COMPLEJO**

Para establecer la fórmula del complejo, se utilizaron tres métodos: el de "la razón molar" de YOE y JONES [3], el de "las variaciones continuas" de JOB [4] y el de "la razón de las pendientes" de HARVEY y MANNING [5]. La disolución de cromo fue 0,01923 M. La disolución de AEDDP era 0,01923 M. Los resultados obtenidos se representan en las figs. 3, 4, 5, 6, deduciéndose que la relación molar Cr(III)-AEDDP es 1:4. Efectuados los cálculos con los valores extraídos de las gráficas 3 y 4 se obtiene un valor aproximado de la constante de formación del complejo Cr(III)-AEDDP de  $32 \cdot 10^{32}$ .

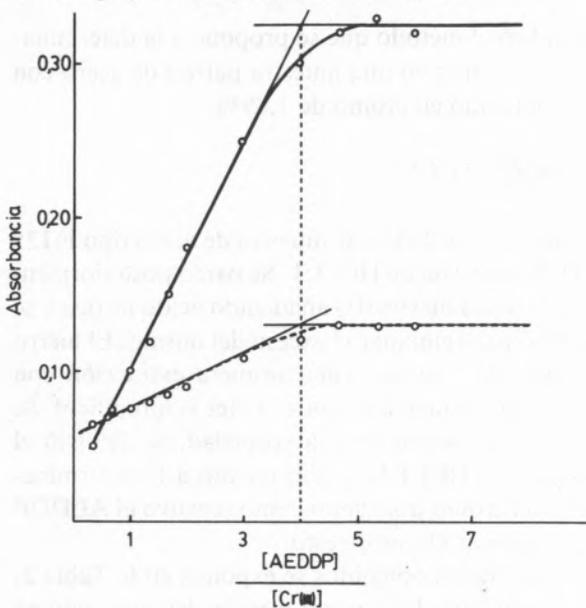


Fig. 3

Aplicación del método de "la razón molar" para la determinación de la estequiometría del complejo Cr(III)-AEDDP a pH 5-5,5. — a 520 nm; ---- a 405 nm

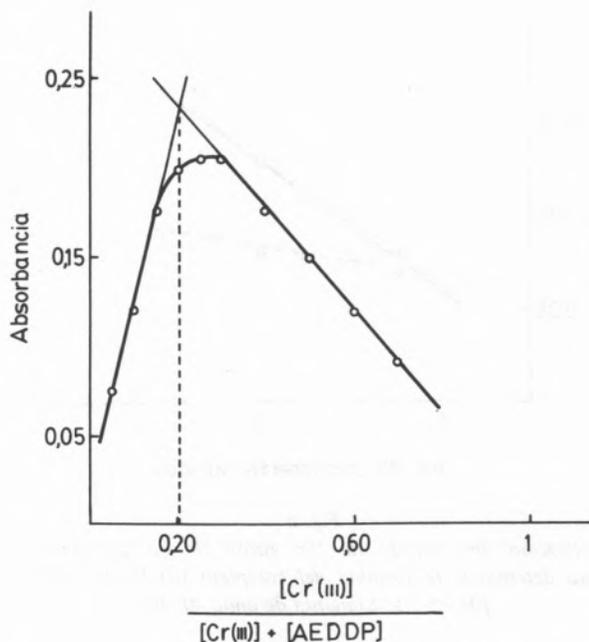


Fig. 4

Aplicación del método de "las variaciones continuas" para determinar la fórmula del complejo Cr(III)-AEDDP a pH 5-5,5. Longitud de onda 520 nm

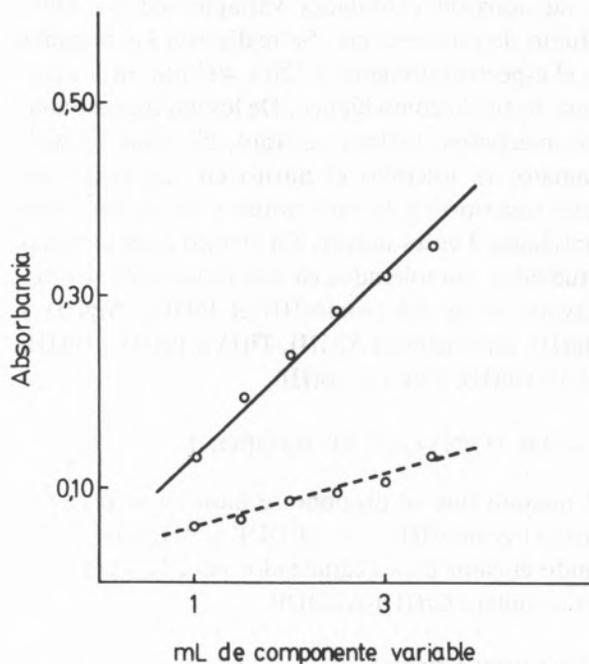


Fig. 5

Aplicación del método de "la razón de las pendientes" para determinar la fórmula del complejo Cr(III)-AEDDP a pH 5-5,5. Longitud de onda 520 nm

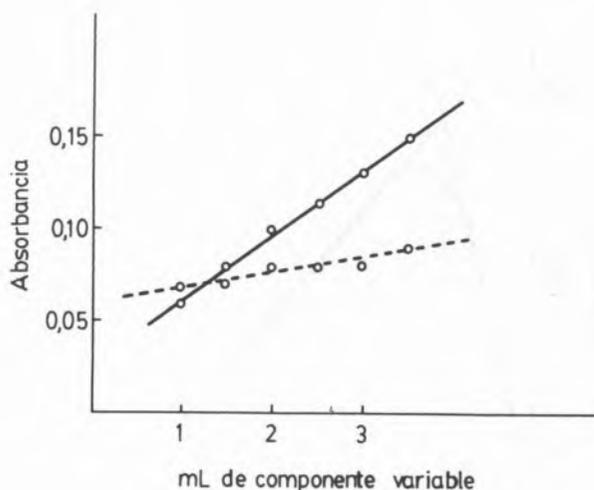


Fig. 6

Aplicación del método de "la razón de las pendientes" para determinar la fórmula del complejo Cr(III)-AEDDP a pH=5-5,5. Longitud de onda 405 nm

### EFFECTO DE LOS IONES EXTRAÑOS

Se estudió la interferencia que puede ocasionar la presencia de otros iones en la formación del complejo cromo(III)-AEDDP, para lo cual se prepararon en las condiciones anteriormente fijadas, disoluciones de cromo de concentración 120  $\mu\text{g Cr/ml}$  y se adicionaron cantidades variables de los iones estudio de interferencia. Se realizaron las medidas en el espectrofotómetro a 520 y 405 nm, utilizando agua destilada como blanco. De los aniones estudiados interfieren: fosfato, tartrato, dicromato y wolframato, es tolerado el nitrito en cantidades un poco superiores a las del cromo y el tiocianato en cantidades 3 veces mayor. En cuanto a los cationes estudiados son tolerados en una proporción 4 veces mayores a las del cromo(III) el Bi(III), Mg(II) y Mn(II), interfieren el Al(III), Ti(IV), Fe(III), Sn(II),  $\text{UO}_2^{2+}$ , Cu(II), Cu(I) y Co(II).

### 3 — METODO QUE SE PROPONE

El método que se propone se basa en la reacción entre el cromo(III) y el AEDDP a pH 5-5,5, utilizando el calor como catalizador para la formación del complejo Cr(III)-AEDDP.

### PROCEDIMIENTO

Tomar una parte alícuota de la disolución problema de cromo(III) de tal modo que la concentración resultante al diluir a 25 ml esté comprendida dentro

del intervalo óptimo de aplicación de la ley de Beer (desde 60 a 230  $\mu\text{g Cr/ml}$ ), añadir 5,5 ml de disolución de AEDDP al 2%, llevando a pH 5-5,5 con disolución de hidróxido sódico 0,1 M. Calentándose a continuación en un baño de agua hirviendo durante 50 minutos, se enfría y enrasa a 25 ml con agua destilada. Para el cálculo de la concentración de la disolución problema utilizar la curva de calibrado preparada con una disolución patrón de cromo.

Las medidas de absorbancia se realizan a 520 nm puesto que a esta longitud de onda el método es mucho más sensible.

### 4 — ESTUDIO COMPARATIVO

Con el objeto de estimar el valor del nuevo procedimiento para la determinación de cromo(III), se hace un estudio de los métodos absorciométricos propuestos para la determinación de cromo(III) con quelones. En la Tabla 1 se encuentran descritas las características de los diversos quelatos del cromo(III), de lo que se deduce que a pesar de que el método propuesto no es el más sensible de los estudiados, tiene a 520 nm una absorptividad bastante aceptable.

### 5 — APLICACION A LA DETERMINACION DE CROMO EN ACEROS

Se aplicó el método que se propone a la determinación de cromo en una muestra patrón de acero con un contenido en cromo de 1,09%.

### PROCEDIMIENTO

Se disolvieron 2,00 g de muestra de acero tipo F-125 I.H.A. en 50 ml de HCl 1:1. Se oxidó posteriormente el hierro a hierro(III) añadiendo ácido nítrico y se calentó para eliminar el exceso del mismo. El hierro es extraído con éter (una primera extracción con éter etílico y una segunda con éter isopropílico). Se evaporó la fase acuosa a sequedad, se disolvió el residuo en HCl 1:1, y se procedió a la determinación del cromo utilizando como reactivo el AEDDP según el método propuesto.

Los resultados obtenidos se exponen en la Tabla 2, indicando sólo los valores medios del contenido en cromo para cada una de ellas. Se comparan estos resultados con los dados por el certificado de análisis.

Tabla 1  
Características de algunos quelatos de Cromo(III)

Quelato	pH	Máximo de absorción (nm)	Intervalo ley Beer ( $\mu\text{g}/\text{ml}$ )	Absortividad molar	Refer.
Cr(III)-AEDT	4,5	545	5-150	200	[6]
Cr(III)-ADCT	2-6	540	4-80	198	[7]
Cr(III)-ADCT*	—	395	—	82	[8]
Cr(III)-ADCT*	—	555	—	142	[8]
Cr(III)-ADTP	2,3-5,5	545	10-150	153	[9]
Cr(III)-ADTP	2,3-5,5	385	10-150	109	[9]
Cr(III)-ATTH	3-5	390	20-300	109	[10]
Cr(III)-ATTH	3-5	540	20-300	154	[10]
Cr(III)-ANT	2-4	555	—	106	[11]
Cr(III)-ANT	2-4	405	—	110	[11]
Cr(III)-APDT	4,5	540	—	200	[12]
Cr(III)-AUD	4,8	420	95-2565	24,4	[13]
Cr(III)-AID	4-6	385	30-1100	82	[14]
Cr(III)-AID	4-6	520	30-1100	80	[14]
Cr(III)-AHDT	2,2-5	390	—	122	[11]
Cr(III)-AHEDT	2,2-5	545	—	153	[11]
Cr(III)-AEDDP	5-5,5	520	20-240	148	Propuesto

\* Indica que el medio es sulfóxido de dimetilo.

Tabla 2  
Análisis de acero F-125 I.H.A. con 1,09% de Cromo

Muestra n.º	% de Cr	Error relativo %
1	1,03	5,50
2	1,06	2,75
3	1,05	3,67
4	1,03	5,50
5	1,03	5,50
6	1,03	5,50
7	1,10	0,92
8	1,03	5,50
	$\bar{x} = 1,05$	$\bar{x} = 4,35$

Se aplica el cálculo estadístico basado en la prueba "t de Student" para un muestreo en una población en que la media es conocida [15], llegando a la conclusión de que no hay diferencia significativa entre los valores encontrados y los dados por el certificado de análisis.

Se estudió en la misma muestra la recuperación del procedimiento propuesto, obteniéndose resultados satisfactorios.

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## SUMMARY

A new method for the spectrophotometric determination of chromium with ethylenediamine-N,N'-dipropionic acid (EDDPA) as reagent is proposed. This metal reacts with EDDPA to form a complex with two absorption maxima (520 and 405 nm). The Beer's law is followed for 20 to 240  $\mu\text{g Cr}/\text{ml}$  at 520 nm, and the optimal interval of application according with Ringbom is between 60 and 230  $\mu\text{g de Cr}/\text{ml}$ . The molar absorptivity is  $140 \text{ mol}^{-1} \text{ cm}^{-1}$  and the sensitivity  $0,3513 \mu\text{g}/\text{cm}^2$ . The composition of chromium complex, interferences, as well as reproducibility and precision were studied. The new method is compared with other similar methods, and this method is used for the determination of chromium in a standard sample of steel.



# A MARKOV CHAIN METHOD FOR SIMULATING THE TIME EVOLUTION OF DRUGS IN PHARMACOKINETICS SYSTEMS

*A stochastic method based on discrete Markov chains is employed to simulate numerically the time course of drugs, without either solving differential equations or supplying closed form rate equations. The method is general, simple, accurate and fast. The method is applied to several linear and nonlinear pharmacokinetic models.*

## INTRODUCTION

The time evolution of the concentrations of molecular species during a chemical reaction can be formulated in a probabilistic or in a deterministic framework. Deterministic approaches are generally favoured, because, in chemical kinetics, the stochastic master equation is very often mathematically intractable [1]. However, recently, methods have been developed that allow exact numerical calculations within stochastic formulations without having to deal explicitly with the master equation [2-4]. One of such methods employs discrete Markov chains for the numerical integration of coupled chemical reactions. This Markov chain method (MCM) has proved to be a simple, accurate and general technique for the study of homogeneous and nonhomogeneous [3] systems. Here MCM is applied to the study of several models of interest in pharmacokinetics, to reveal the possibilities of the method in this field.

## MARKOV CHAIN METHOD

### MARKOV CHAINS

The concept of a Markov chain is associated with systems whose states change with time in a random manner, such that the outcome of any trial depends only on the outcome of the directly preceding trial [4]. Hence the probability,  $p_{ij}$ , of the system going from a state  $a_i$  at a time  $t$  (discrete variable) to a state  $a_j$  at a time  $t + 1$  depends only on the states at  $t$ , but is independent of the states of the system at times prior to  $t$ . The probability that  $a_i$  will remain unchanged between  $t$  and  $t + 1$  is  $p_{ii}$ . The transition probabilities can be presented in a matrix form

$$\tilde{T} = \begin{bmatrix} p_{11} & p_{12} & \cdots & p_{1m} \\ p_{21} & p_{22} & \cdots & p_{2m} \\ \vdots & \vdots & \ddots & \vdots \\ p_{m1} & p_{m2} & \cdots & p_{mm} \end{bmatrix} \quad (1)$$

the so-called transition matrix. If we assume that the process begins at some particular state at time  $t$ , characterized by independent variables,  $X_1, X_2, X_3 \dots$ , represented also in a matrix form

$$\tilde{C}_t = [X_1(t), X_2(t), X_3(t), \dots] \quad (2)$$

then the state after one step of time is given by the matrix  $\tilde{C}_{t+1}$  where

$$\tilde{C}_t \times \tilde{T} = \tilde{C}_{t+1} \quad (3)$$

The  $\tilde{C}$  matrixes represent the absolute probabilities of the system being in its different possible states  $i = 1, \dots, m$ . In addition to the so called one-step transition probabilities  $p_{ij}$ , it is of interest to consider n-step probabilities  $p_{ij}^{(n)}$ . These express the probability of a transition from a state  $i$  to a state  $j$  in  $n$  steps. A relationship between these different kinds of probabilities can be established recursively through the "Chapman-Kolmogorov functional equation"

$$\tilde{T}^{m+n} = \tilde{T}^m \times \tilde{T}^n \quad (4)$$

where  $\tilde{T}^n$  represents the matrix of the  $n$ -step transition probabilities.

A Markov chain is stationary when  $\tilde{C}_{t+1} = \tilde{C}_t$  and in this case the absolute probability of being at any state is the same for all steps of the process. Stationary situations occur after a large number of steps, as long as  $\tilde{T}$  is a stochastic regular matrix, i.e.,

$$p_{ij} \geq 0 \quad \sum_{j=1}^m p_{ij} = 1 \quad \text{and} \quad \sum_{n=1}^{\infty} p_{ij}^{(n)} \text{ converges.}$$

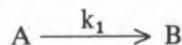
On the other hand if the series  $\sum_{n=1}^{\infty} p_{ij}^{(n)}$  diverges the state  $i$  is called recurrent. In general the divergence of this series implies the divergence of  $\sum_{n=1}^{\infty} p_{jj}^{(n)}$  [4]. It is of interest in statistical applications to consider the relationship between the mean and the variance of a variable  $X^{(n)}$  once the mean,  $m$ , and the variance,  $\sigma$ , of  $X^{(1)}$  are known. For a simple branching process

$$\begin{aligned} \text{mean } X^{(n)} &= m^n \\ \text{variance } X^{(n)} &= n \sigma^2. \end{aligned} \quad (5)$$

#### TRANSITION PROBABILITIES FOR CHEMICAL REACTIONS

In homogeneous chemical reactions time is a continuous variable, but Markov chains discrete in time can be employed to study the time evolution of chemical systems, so long as the discreteness in the time variable does not hinder an accurate interpolation of molecular concentrations between any two instants. In order to apply MCM to reaction kinetics rate

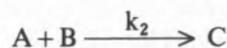
constants must be related to the Markovian transition probabilities [3]. For a first order process characterized by a rate constant  $k_1$



the probability of conversion of A into B during a single step is

$$p_{AB} = k_1 \Delta t, \quad (6)$$

where  $\Delta t$  is the duration of each step, such that  $p_{AB} \ll 1$ . The probability that A will remain unchanged during one step is  $p_{AA} = 1 - k_1 \Delta t$ . For a second order process



The probability of conversion of A into C is

$$p_{AC} = k_2 [B] \Delta t$$

and  $p_{AA} = 1 - k_2 [B] \Delta t$ ,  $p_{BC} = k_2 [A] \Delta t$  and  $p_{BB} = 1 - k_2 [A] \Delta t$ . In the definition of these transition probabilities care must be exercised to preserve the stoichiometry of the reaction. For example if C is considered to be formed simultaneously from A and from B then  $p_{AC}$  and  $p_{BC}$  should be multiplied by  $\frac{1}{2}$  [3].

Concentrations or doses of drugs at different times can be determined through an iterative process by eq (3). The matrix  $C_t$  represents the concentrations or doses of the different substances at time  $t$  and through eq. (3) the concentrations after one step, i.e., at  $t + \Delta t$ , can be determined. For example the concentration of  $[X_i(t + \Delta t)]$  is related to the molecular concentrations at time  $t$  by

$$\begin{aligned} [X_i(t + \Delta t)] &= p_{1i} [X_1(t)] + p_{2i} [X_2(t)] + \dots \\ &\dots + p_{mi} [X_m(t)] \end{aligned} \quad (7)$$

For any substance the area,  $A$ , under the concentration curve is given by

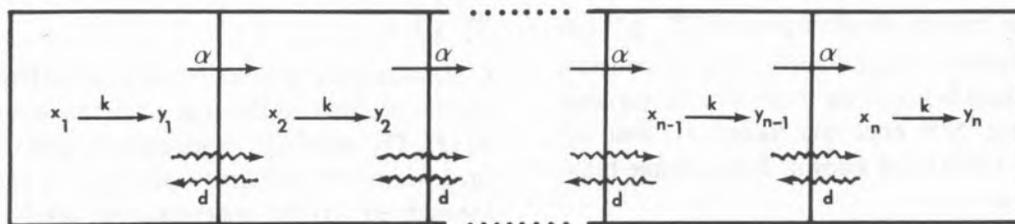
$$A = \sum_{t=0}^{t=n\Delta t} [X_i(t)] \quad (8)$$

The properties of matrices ensure that MCM verifies the Rule of Corresponding Areas [5].

The use of one-step transition matrix  $\tilde{T}$  can sometimes lead to a time consuming iterative process. However such iteration can be much faster if one employs an n-step transition matrix  $\tilde{T}^n$ . The use of  $\tilde{T}^n$  is always possible when the transition probabilities are time-independent. When this is not so, e.g. in nonlinear kinetics, the use of such matrices is permissible under certain conditions that will be discussed later on.

#### NONHOMOGENEOUS SYSTEMS

To study spatial nonhomogeneous kinetic systems Markov chains discrete in time and in space must be employed [3]. The spatial coordinates of the system are represented as discrete variables. The reaction system is considered to be divided into several compartments. Molecular concentrations are the same at all points of any compartment but vary in a discrete manner from compartment to compartment. Molecular concentrations are therefore space,  $l$ , and time,  $t$ ,  $[X_i(l,t)]$  dependent. The Markov chain transition probabilities contain not only the probabilities of transitions between different substances through chemical reaction, but also the conversion of a substance in a compartment  $i$  to a compartment  $j$  through mass transfer.



The probability of mass transfer in a flow system of velocity  $v$  is

$$\alpha = \frac{v \Delta t}{\Delta l} \quad (9)$$

where  $\Delta l$  is the dimension of the compartments in a given direction. The probability through diffusion is

$$d = \frac{D \Delta t}{(\Delta l)^2} \quad (10)$$

where  $D$  is the diffusion coefficient. Chemical conversion is only considered within each compart-

ment. The transition matrix  $\tilde{T}$  for a nonhomogeneous system contains the one-step spatial and temporal transition probabilities, but obviously n-step transitions in space and in time can also be considered.

#### NUMERICAL ERRORS

To assess the errors involved in the numerical simulation of concentrations through MCM let us consider a first order reaction  $A \xrightarrow{k_1} B$  which can be represented by a simple branching process. The errors can be calculated for different transition probability values,  $p = k_1 \Delta t$ , through eqs. (5). With  $k_1 \Delta t = 0.1$  the error in  $[A]$  is 10% after 3 periods and 16% after 5 periods, an error which is similar to the experimental errors in pharmacokinetics. The error decreases linearly with the decrease in the transition probability  $k_1 \Delta t$ , and consequently with the decrease in  $\Delta t$ . The error in the area under the curve (eq. (8)), after 5 periods, is only 1%, with  $k_1 \Delta t = 0.1$  and also decreases linearly with a decrease in  $\Delta t$ .

The accuracy of MCM is dependent on the time scale of iteration, with respect to the magnitude of rate constants, and depends consequently on the number of iteration steps that one is prepared to

carry out. For time-independent transition probabilities the accuracy of the method depends only on the one-step transition probabilities, but is independent of the order of the multistep transition probabilities. For most purposes the accuracy provided by the transition probabilities  $k\Delta t = 0.1$  or  $k[A]\Delta t = 0.1$  is good enough and, therefore, in the examples considered in the following section  $\Delta t$  is chosen such that  $k\Delta t = 0.1$  for the highest kinetic rate constant.

Time dependent transition probabilities are required to simulate non-linear kinetics and for time-dependent first order rate constants. An example of such kinetics is provided by some models of

enzyme induction [6]. For a first-order process whose rate constant varies between  $k_0$  at  $t=0$  to  $k_\infty$  at  $t=\infty$  with  $k = k_\infty - (k_\infty - k_0)e^{-\gamma t}$  the concentration is given by

$$C(t) = C_0 \exp\left\{k_\infty t - \frac{k_\infty - k_0}{\alpha} [1 - \exp(-\gamma t)]\right\} \quad (11)$$

where  $\gamma$  is a constant and  $C_0$  the concentration at time zero. The MCM equation for this process is

$$C(t_{i+1}) = C(t_i) (1 - k_i)$$

where  $k_i = (k_\infty - (k_\infty - k_0)e^{-\gamma t_i}) \Delta t$ .

Fig. 1 compares  $C(t)$  given by eq. (11) with the results of MCM, with  $k_0 \Delta t = 0.01$ ,  $k_\infty \Delta t = 0.1$  and  $\gamma = 0.2 \text{ time}^{-1}$ . The agreement is excellent with an error of 12% after five periods of reaction. Multistep transition probability matrices can also be employed in the calculation. However for time-dependent probabilities the matrices  $\tilde{T}^n$  introduce an additional error in the calculation. This error is reasonable as long as the survival probabilities do not vary, by more than 5% during each multistep transition. Fig. 1 illustrates the employment of a five-step transition which, after the first step, gives an error  $\leq 25\%$ ; the error decreases along the course of reaction since the variation with time decreases

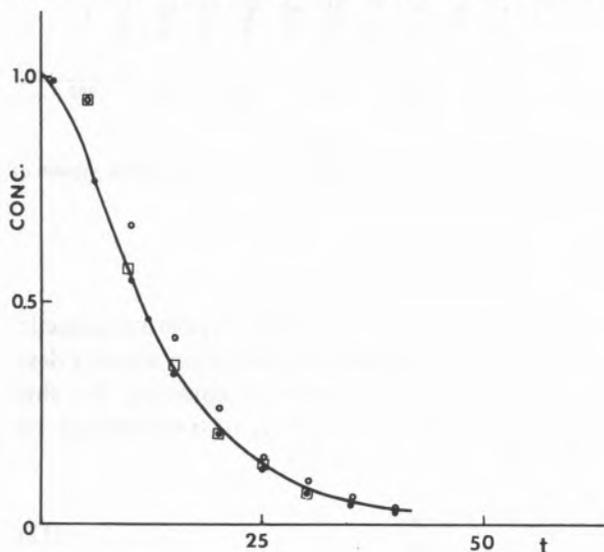


Fig. 1

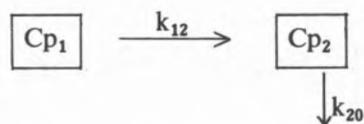
First-order time-dependent induction kinetics  $k = k_\infty - (k_\infty - k_0)e^{-\gamma t}$ ;  $k_0 = 0.01$ ,  $k_\infty = 0.1$  and  $\gamma = 0.2$ : — exact solution;  $\circ \Delta t = 1$  1-step transition;  $\Delta \Delta t = 1$  5-step transition;  $\square \Delta t = 0.1$  50-step transition

with increase in time. The error of the numerical simulation can be decreased by decreasing  $\Delta t$  and increasing, in the same proportion, the multistep transition. For example at  $t=10$  the error is 25% with  $\Delta t = 1$  and  $n = 5$ , but decreases to 6% if  $\Delta t = 0.1$  and  $n = 50$ . Consequently in MCM, the judicious employment of multistep transition matrices can considerably decrease the time of computation without any significant loss of accuracy.

## APPLICATIONS

### MULTI-EXPONENTIAL KINETICS

In contrast with the analytical and numerical integration techniques, MCM provides a general method to deal with any kind of linear pharmacokinetics model [7]. To illustrate the applicability of MCM let us consider a two compartment model



Where  $Cp_i$  is the concentration in compartment  $i$ . This system [7] has an exact analytical solution, the so called "Bateman function". The one-step transition matrix for this kinetic scheme is

$$\begin{array}{l} Cp_1 \\ Cp_2 \\ elim. \end{array} \begin{bmatrix} 1 - k_{12} \Delta t & k_{12} \Delta t & 0 \\ 0 & 1 - k_{20} \Delta t & k_{20} \Delta t \\ 0 & 0 & 1 \end{bmatrix}$$

Equation (7) leads to the one-step recurrence equations for the concentration of drug in any of the compartments. For example for the central compartment

$$[Cp_2]_{t+\Delta t} = [Cp_1]_t k_{12} \Delta t + [Cp_2]_t (1 - k_{20} \Delta t)$$

Fig. 2 compares the computed time dependence of the drug in the central compartment with the theoretical one for a system where  $\frac{k_{12}}{k_{20}} = 100$ . The fit is very good throughout, with an error that does not exceed 5%. The calculation at the earlier stages used a step  $n = 1$ , at the middle stages  $n = 23$  and for the later stages  $n = 1024$ . Multicompartment models do

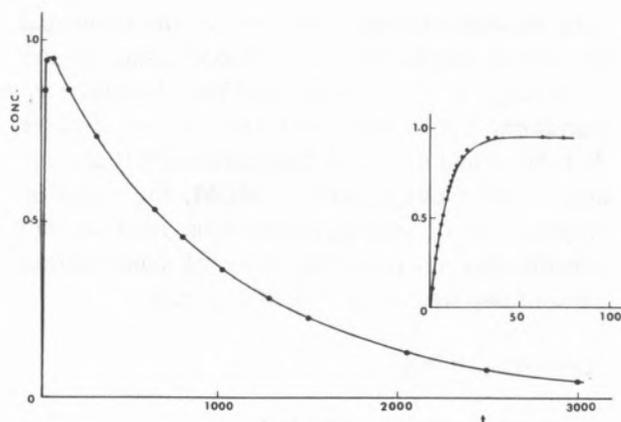
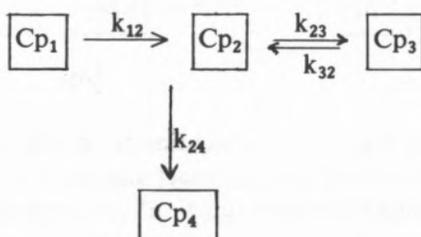


Fig. 2

Concentrations as a function of time for a two-compartment first-order model ( $k_{12} = 100$ ,  $k_{20} = 1$  and  $\Delta t = 10^{-3}$ ): — exact solution; • MCM solution

not add any special difficulty to the method, except by the requirement of a larger computer memory. A reasonable complex system of 4 compartments such as



leads to a transition matrix

$$\begin{bmatrix}
 1 - k_{12} & k_{12} & 0 & 0 \\
 0 & 1 - k_{23} - k_{24} & k_{23} & k_{24} \\
 0 & k_{32} & 1 - k_{32} & 0 \\
 0 & 0 & 0 & 1
 \end{bmatrix}$$

with the rate constants expressed in units of  $\Delta t$ . Fig. 3 illustrates the time evolution of the drug in some of the compartments. Although MCM provides a discrete set of concentration values, such values allow the evaluation of the continuous functions of concentration *versus* time, as fig. 3 shows. To speed up the computation 1-step and 8-step transitions were considered. The effect on the dose in the central compartment of a repeated administration of an unitary dose in compartment 1, at every 20 units of time, is illustrated in fig. 4. Within the model this effect can be easily simulated by the addition of a dose of 1, in  $\text{Cp}_1$ , every 20 units of time.

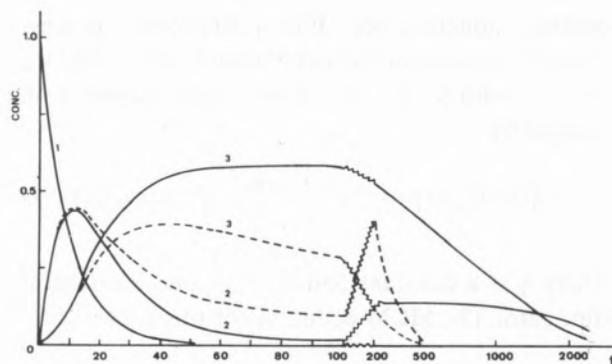


Fig. 3

Time evolution of a drug in a 4-compartment model:  $k_{12} \Delta t = 0.1$ ,  $k_{23} \Delta t = 0.05$ ,  $k_{24} \Delta t = 0.03$ ; ---- linear  $k_{32} \Delta t = 0.025$ ; — nonlinear  $k_{32} \Delta t = \frac{0.00125}{0.05 + [\text{Cp}_3]}$

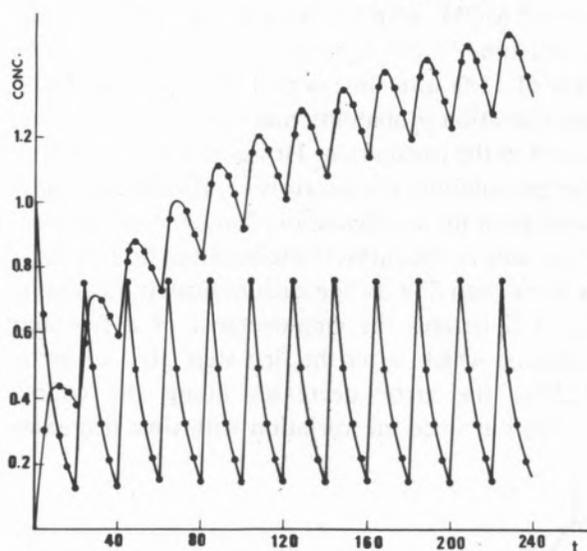


Fig. 4

Multidose administration in the linear 4-compartment system of fig. 3

#### NONLINEAR KINETICS

As an illustration of a nonlinear pharmacokinetic model we will consider the saturation kinetics described by the Michaelis-Menten equation. For this kind of kinetics the probability of transition can be given by

$$p_{ij} = \frac{V_M \Delta t}{K_M + [S]} \quad (12)$$

where  $V_M$  is the maximum rate of reaction or elimination,  $[S]$  the substrate concentration and  $K_M$  the Michaelis constant, which is the concentration at which the rate of elimination is one-half of the ma-

ximum possible value. Let us consider a process with a rate law

$$-\frac{d[A]}{dt} = \frac{V_M[A]}{K_M + [A]} \quad (13)$$

for which the recurrence equation is

$$[A]_{t+\Delta t} = \left(1 - \frac{V_M \Delta t}{K_M + [A]_t}\right) [A]_t \quad (14)$$

Eq. (13) can be integrated and gives

$$K_M \ln \frac{[A]_0}{[A]} + [A]_0 - [A] = V_M t \quad (15)$$

where  $[A]_0$  is the initial concentration of the substance A. Eq. [15] reveals that  $\ln [A]$  is a linear function of  $V_M t + [A] - [A]_0$ . Markov chain data conform well with this prediction, with an error <1.5% after 3 periods of reaction and an error of 7.5% when 20-step transitions are employed (fig. 5).

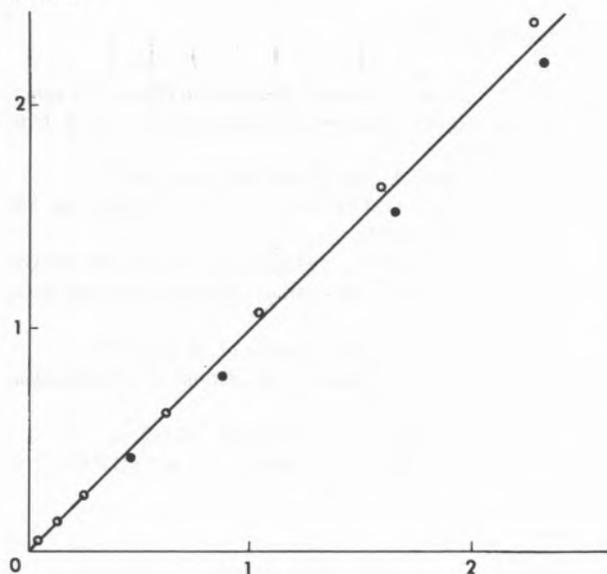


Fig. 5

Plot according to eq. (15) for the disappearance of a substance following Michaelis-Menten kinetics:  $[A]_0 = 1$ ,  $K_M = 0.5$ ,  $V_M = 0.05$ ,  $\Delta t = 1$ ;  $\circ n = 1$ ;  $\bullet n = 10$

To illustrate a drug interaction process, saturation kinetics were considered in the 4-compartment level of the last section for the intercompartmental transition rate  $k_{32}$ ; the first order rate constant, at low  $[Cp_3]$ , is identical to the rate in the linear system. Fig. 3 illustrates this system and comparison with the linear system reveals the drug retention in  $Cp_3$

in the nonlinear situation, with the consequent decrease of the drug concentrations in the central compartment. After the initial stages, the concentrations do not vary strongly with time and consequently n-step transitions can be employed without loss of accuracy. In the calculation, for  $t > 50$ , 16-step transitions were employed.

#### GASTROINTESTINAL ABSORPTION

Gastrointestinal absorption introduces new factors into the kinetic systems. In the models that are going to be considered we will take into consideration the gastrointestinal filling and emptying and the effect of blood flow. MCM can be applied to the different phases of gastrointestinal absorption by considering the intestine divided into several segments of length  $\Delta l$ . In each segment the concentration of drug is the same at all points, but varies in a discrete manner from segment to segment. Absorption into blood occurs from a portion of the intestine tube and is characterized by a rate constant  $k_{abs}$ ; the flow of the chyme has a rate  $v_c$ . The probability of mass transfer through flow in the intestine is  $\alpha_c$  (eq. (9)). In a situation where absorption occurs only from the central segment the transition matrix is

entrance	$\begin{bmatrix} 1-\alpha_c & \alpha_c & 0 & 0 \\ 0 & 1-\alpha_c-k_{abs} & \alpha_c & k_{abs} \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1-k_{el} \end{bmatrix}$
middle	
exit	
blood	

where  $k_{el}$  is the rate constant for the elimination process. Fig. 6 presents the curves for the absorption of the drug into the blood. The chyme is filling the intestine up to a time  $t_g$ , such that the total dose is unity.

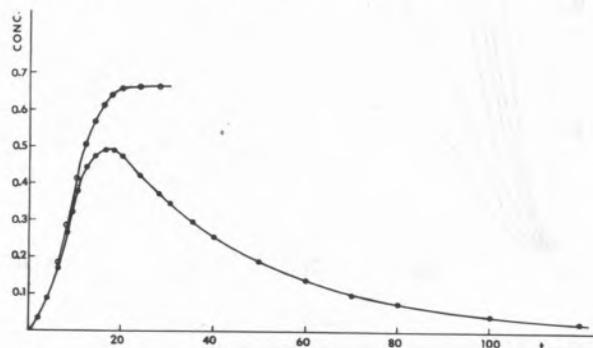
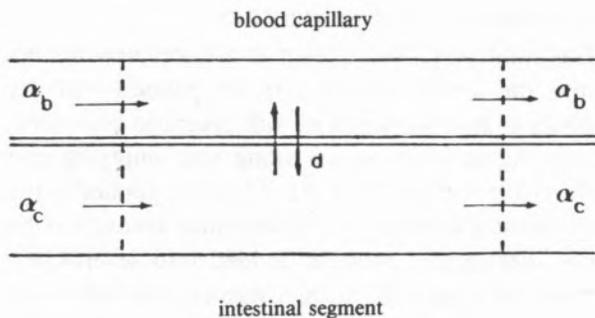


Fig. 6

Absorption ( $\bullet$ ) and invasion ( $\circ$ ) curves gastrointestinal absorption with  $\alpha_c = 0.1$ ,  $k_{abs} = 0.1$ ,  $k_{el} = 0.03$ , dose = 1 and  $\Delta t = 1$

In this model of gastrointestinal absorption the mixing of the drug in the blood compartment is considered to be very fast. However such models do not take into consideration the effect of blood flow rate [8] when this is comparable to the absorption or the chyme flow rate. A model such as the following one can simulate this effect



A reversible diffusion,  $d$ , between chyme and blood is considered. The probability of mass transfer through flow in the blood is  $\alpha_b$  and elimination is only considered from the exit blood compartment with a rate  $k_{el}$ . Fig. 7 presents a family of computed

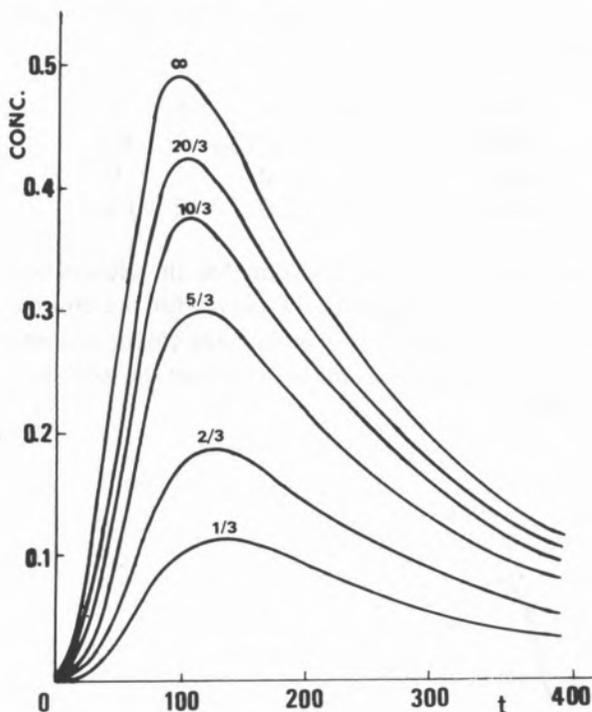


Fig. 7

Effect of blood flow rate on gastrointestinal absorption. Family of curves for different ratios  $\frac{\alpha_b}{d}$ ;  $k_{el} = 5 \times 10^{-3}$ ,  $d = 3 \times 10^{-2}$ ;  $\alpha_c = 1.5 \times 10^{-2}$ ,  $\Delta t = 1$

curves which clearly show that when the blood flow rate approaches the rate of diffusion, there is a decrease in drug absorption.

In conclusion we have shown that MCM can be applied to several situations of relevance in pharmacokinetics. The method is universal, can be made as accurate as one wishes, but can also be a fast method of numerical integration. This allows MCM to be employed in model search. For any given model the method can be used for constructing families of curves of doses or concentrations as a function of time, which may allow estimation of kinetic rate constants.

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#### RESUMO

Aplicação do Método das Cadeias de Markov à Evolução com o Tempo de Medicamentos em sistemas Farmacocinéticos

O método estocástico das Cadeias de Markov é aplicado ao estudo da evolução temporal de medicamentos em situações de cinética linear e não-linear. O método que é um processo de integração numérica, é simples, rápido e tão exacto quanto se queira.

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# CYCLOMETALLATION REACTIONS OF Pd(II) WITH N-( $\alpha$ -NAPHTHYLIDENE)- o-TOLUIDINE \*\*

*Reaction of N-( $\alpha$ -naphthylidene)-o-toluidine with palladium(II) acetate yields a bridged acetato complex, [(nf-N,C)PdAc]<sub>2</sub>. Metathetical reactions with sodium chloride or bromide give bridged chlorine or bromine complexes, [(nf-N,C)PdX]<sub>2</sub> (X = Cl, Br). Halogen bridge splitting reactions have been carried out with triphenylphosphine in 1:2 and 1:4 molar ratio to obtain the corresponding cyclometallated and non-cyclometallated monomers. The elemental analyses, IR and NMR spectra are in accordance with the structures given for the present compounds, and they allow us to distinguish the bridged complexes from the monomers, and among the latter between the cyclometallated [(nf-N,C)PdLX] and non-cyclometallated ones [(nf-C)PdL<sub>2</sub>X], in which the five membered ring disappears due to the presence of two triphenylphosphine ligands bonded to the central atom.*

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\*\* Part of this work was presented at the 4.º Encontro Anual da Sociedade Portuguesa de Química; Lisbon, April, 1981.

## INTRODUCTION

Cyclometallation reactions have been widely studied [1,2] most of all with aromatic N-donor ligands, although other ligands with group VB and VIB donor atoms have been used [2]. In the course of our investigations regarding the formation of orthometallated complexes we have already studied the reactions of a typical N-donor ligand [3] and we now report the synthesis and characterization of the complexes derived from N-( $\alpha$ -naphthylidene)-o-toluidine. The acetato, chloro and bromo bridged complexes have been prepared first. Halogen bridge splitting reactions with triphenylphosphine yielded the cyclometallated and non-cyclometallated monomers when a 1:2 and 1:4 molar ratio was used, respectively. Our aims were to study the different types of complexes formed on the basis of the spectroscopical data, specially in the cases of the non-cyclometallated compounds in which the  $\nu(\text{C}=\text{N})$  stretching frequency appears unusually low for this type of compounds.

## EXPERIMENTAL

### MATERIALS

o-toluidine and  $\alpha$ -naphthaldehyde were distilled before use. Palladium(II) acetate (Fluka) and triphenylphosphine (Merck) were not further purified. N-( $\alpha$ -naphthylidene)-o-toluidine was synthesized by refluxing equimolar amounts of o-toluidine and  $\alpha$ -naphthaldehyde in chloroform solution during 1 hour. The solvent was distilled "in vacuo" after which a yellow solid was obtained. Solvents were purified by the standard methods. The reaction between palladium acetate and the Schiff base was carried out in a N<sub>2</sub> atmosphere.

### ANALYSES

C, H, N, analyses were carried out in a Perkin-Elmer model 240-B elemental analyzer. IR spectra were recorded on a Perkin-Elmer model 180 spectrophotometer as nujol mulls or polyethylene pellets. The NMR spectra were recorded with a Varian CFT-20 in deuteriochloroform using tetramethylsilane as an internal standard. Pd was determined polarographically. The analytical results are reported in Table 1.

Table 1  
Analyses and colour of the complexes

Complex	Colour	% C*	% H*	% N*	% Pd*
[(nf-N,C)PdAc] <sub>2</sub>	Light Orange	58,8(58,6)	4,7(4,2)	3,1(3,4)	25,65(25,97)
[(nf-N,C)PdCl] <sub>2</sub>	Yellow	56,1(56,0)	3,8(3,7)	3,5(3,6)	27,35(25,55)
[(nf-N,C)PdBr] <sub>2</sub>	Yellow	48,9(50,2)	3,3(3,3)	2,9(3,2)	24,25(24,70)
[(nf-N,C)PdLCl]	Pale Yellow	66,9(66,7)	4,6(4,5)	1,7(2,2)	16,36(16,41)
[(nf-N,C)PdLBr]	Pale Yellow	61,6(63,4)	4,4(4,2)	1,7(2,0)	14,81(15,35)
[(nf-C)PdL <sub>2</sub> Cl]	Pale Yellow	69,8(71,2)	4,9(4,9)	1,4(1,5)	12,13(11,68)
[(nf-C)PdL <sub>2</sub> Br]	Pale Yellow	67,5(67,9)	4,7(4,7)	1,3(1,5)	11,50(11,14)

\* The calculated analyses are in parentheses.

nf-N,C and nf-C = N-( $\alpha$ -naphthylidene)-*o*-toluidine bi- and monodentate, respectively.

L = P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>

### [(nf-N,C)PdAc]<sub>2</sub>

In a 100 ml round-bottomed flask 0.3 g (1.36 mmol) of palladium acetate and 0.34 g (1.4 mmol) of *N*-( $\alpha$ -naphthylidene)-*o*-toluidine were dissolved in 25 ml of acetic acid. The dark-red solution turns orange-red upon heating. After refluxing for 1 hour the solution is cooled, diluted with water and extracted with dichloromethane. The extract was concentrated and chromatographed on silica gel. With benzene a yellow green band was eluted, which once analyzed showed to be the initial Schiff base. With dichloromethane containing 1% ethanol a yellow-red band was eluted. After being concentrated it was again chromatographed on silica gel. A green band eluted with dichloromethane produced an oil that was not further investigated. The title complex was eluted with dichloromethane containing 1% ethanol and recrystallized from chloroform/*n*-hexane to give a light orange solid.

### [(nf-N,C)PdX]<sub>2</sub>

To a solution of 0.1 g (0.12 mmol) of [(nf-N,C)PdAc]<sub>2</sub> in acetone an aqueous solution of sodium chloride (X=Cl) or sodium bromide (X=Br) was added, and a yellow precipitate was obtained in each case. The solids were filtered off, washed with water and dried.

### [(nf-N,C)PdLX]

To a suspension of 0.050 g (0.065 mmol) of [(nf-N,C)PdX]<sub>2</sub> (X=Cl) and to another one of 0.05 g (0.058 mmol) (X=Br), both in acetone (5 ml), 0.034 g (0.13 mmol) and 0.030 g (0.116 mmol) of triphenylphosphine were added, respec-

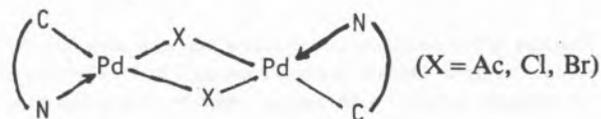
tively. In both cases a yellow solution forms. Addition of *n*-hexane to the solution gave a precipitate that was filtered off, washed with *n*-hexane and dried in vacuum.

### [(nf-C)PdL<sub>2</sub>X]

To a suspension of 0.050 g (0.065 mmol) of [(nf-N,C)PdX]<sub>2</sub> (X=Cl) and to another one of 0.050 g (0.058 mmol) (X=Br), both in acetone (5 ml), 0.068 g (0.26 mmol) and 0.061 g (0.23 mmol) of triphenylphosphine were added, respectively. In both cases a yellow solution forms. Addition of *n*-hexane to the solution gave a precipitate that was filtered off, washed with *n*-hexane and dried in vacuum.

## RESULTS AND DISCUSSION

The bridged complexes are of the type



the organic ligand being bonded to the metal atom by a  $\sigma$  carbon bond and a coordinated nitrogen bond. In the cyclometallated monomers the five-membered ring remains, with the halogen atom "trans" to the carbon atom. In the non-cyclometallated monomers the organic moiety is linked to the metal atom only through the carbon atom. All this is confirmed by the IR data, which are reported in Table 2.

The acetato bridged complexes exhibit two IR bands at 1565 and 1410 cm<sup>-1</sup> that correspond to  $\nu_{as}(\text{CH}_3\text{COO})$  and  $\nu_s(\text{CH}_3\text{COO})$ , respectively.

Table 2

Complex	$\nu(\text{C}=\text{N})$	$\nu_{\text{op}}(\text{Pd}-\text{C})$	$\nu_{\text{ip}}(\text{Pd}-\text{C})$	$\nu(\text{Pd}-\text{C})$	$\nu_{\text{op}}(\text{Pd}-\text{N})$	$\nu_{\text{ip}}(\text{Pd}-\text{N})$	$\nu(\text{Pd}-\text{N})$	$\nu(\text{Pd}-\text{P})$	$\nu_{\text{as}}(\text{Pd}-\text{X}_b)$	$\nu_s(\text{Pd}-\text{X}_b)$	$\nu(\text{Pd}-\text{X}_t)$
Ligand	1628m										
[(nf-N,C)(PdAc) <sub>2</sub> ]	1615m	580m	562w		418m	370vw					
[(nf-N,C)(PdCl) <sub>2</sub> ]	1615s	580m	563w		415m	360vw			308m	248m	
[(nf-N,C)(PdBr) <sub>2</sub> ]	1617s	580m	564w		417m	360vw			192m	160w	
[(nf-N,C)(PdLCl)]	1612m			582vw			350w	180w			295m
[(nf-N,C)(PdLBr)]	1614s			582m			365w	176w			202w
[(nf-C)(PdL <sub>2</sub> Cl)]	1611m			582vw				184w			290m
[(nf-C)(PdL <sub>2</sub> Br)]	1609m			578m				166w			196m

L = P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>

They also show absorptions between 460 and 540 cm<sup>-1</sup>, which can be assigned to Pd-O vibrations.

The bridged halogen complexes exhibit two  $\nu(\text{Pd}-\text{X})$  frequencies due to  $\nu_{\text{as}}(\text{Pd}-\text{X}_b)$  (308 cm<sup>-1</sup>, X = Cl; 192 cm<sup>-1</sup>, X = Br) and  $\nu_s(\text{Pd}-\text{X}_b)$  (248 cm<sup>-1</sup>, X = Cl; 160 cm<sup>-1</sup>, X = Br). By their position the higher ones belong to the  $\nu(\text{Pd}-\text{X})$  "trans" to the nitrogen atom and the lower ones to the  $\nu(\text{Pd}-\text{X})$  "trans" to the carbon atom, as is expected by the lower "trans" influence of the nitrogen atom [11], and as CROCIANI *et al.* have observed with similar types of complexes [12]. In the monomers there is only one band assignable to  $\nu(\text{Pd}-\text{X}_t)$ , as is expected. Thus, the second monomers (Table 2) are non ionic, which is in accordance with the fact that they are only slightly soluble in water and with the absence of precipitation by treatment with silver nitrate. Also by their position [7,12] we may conclude that the halogens are "trans" to atoms of high "trans" influence, *i.e.*, "trans" to the carbon atom or to one of the phosphine ligands in the second two monomers. The "cis" or "trans" positions of the two phosphine ligands can be ascertained, according to MASTIN [14], by the intensity of a band near 550 cm<sup>-1</sup>; the weak intensity of this band in the spectra of the second two monomers leads to a "trans" disposition of the phosphine ligands in these compounds, so that the halogen atom must be "trans" to the carbon atom.

The spectra of all these compounds show weak to medium intensity bands between 580 and 560 cm<sup>-1</sup>, which are characteristic of Pd-C vibrations [7], indicating the presence of Pd-C bonding. So, in the bridged complexes there are two Pd-C bands at 580 and *ca.* 560 cm<sup>-1</sup> due to the out-of-phase and in-phase vibrations, respectively, whereas in the four monomers there is only one *ca.* 580 cm<sup>-1</sup>.

For the Pd-N vibrations we find in the spectra of the dimers bands at *ca.* 415 and *ca.* 360 cm<sup>-1</sup>, corresponding to the out-of-phase and in-phase motions. Between 450 and 350 cm<sup>-1</sup> only the spectra of the first two monomers show a band, which can be attributed to a  $\nu(\text{Pd}-\text{N})$  mode with the nitrogen atom "trans" to a high "trans" influence ligand, that is, a phosphine ligand; so, these complexes are cyclometallated. The absence of such a band in the spectra of the second two monomers indicates that these cannot be cyclometallated.

The shift towards lower wavenumbers of the band assigned to the  $\nu(\text{C}=\text{N})$  frequency in the complexes in comparison with the original Schiff base has been claimed to be a sign of coordination through the nitrogen atom [4,5]. A lower frequency shift can be expected in the formation of an ordinary coordination complex, where the Schiff base is bonded to the central atom by the nitrogen atom only. But in our case we must not forget a possible conjugation of the C=N double bond not only with the phenyl ring bonded to the carbon atom, but also with the one linked to the nitrogen atom. In the  $-\text{C}=\text{C}-\text{C}=\text{N}-\text{C}-$  system there are two conjugated double bonds and it is possible that there may be strong coupling between  $\nu(\text{C}=\text{N})$ ,  $\nu(\text{C}=\text{C})$ ,  $\nu(\text{N}-\text{C})$ , and  $\delta(\text{C}-\text{H})$ , so it is quite difficult to foresee the modification that will take place when a simultaneous coordination by the carbon and nitrogen atoms is produced in the formation of a chelate ring. Furthermore, the electron pair of the M-C bond is bonding as it was in the Schiff base, while the electron pair of the M-N bond, initially non bonding, is now slightly bonding. On the other hand, the possible presence of metal-to-ligand back bonding should cause a partial occupation of the  $>\text{C}=\text{N}-\pi^*$  orbitals. In our complexes the  $\nu(\text{C}=\text{N})$  frequency shifts are all

towards lower wavenumbers,  $-12\text{ cm}^{-1}$  in the bridged complexes,  $-15\text{ cm}^{-1}$  in the cyclometallated monomers and  $-18\text{ cm}^{-1}$  in the non-cyclometallated ones. With these results we can only conclude that the formation of a complex, whether cyclometallated or not, results in lower wavenumbers of the  $\nu(\text{C}=\text{N})$  frequency.

The assignment of metal-phosphine vibrations has been a subject of controversy [6-10], but the criterion most widely accepted nowadays is that they lie below  $200\text{ cm}^{-1}$ . In the spectra of our complexes we find bands for  $\nu(\text{Pd-P})$ , whose wavenumbers can be seen in Table 2.

The  $^1\text{H}$  NMR spectrum of the Schiff base shows a singlet at 8.98 ppm (azomethine proton). The high field chemical shift of an azomethine proton indicates that the coordination of the palladium atom is through the nitrogen atom and not through the  $\text{C}=\text{N}$  double bond [13], and that the Pd-N bond is effectively established. In the bridged complexes there are singlets at 8.21 ppm ( $\text{X}=\text{CH}_3\text{COO}$ ), 8.60 ppm ( $\text{X}=\text{Cl}$ ), and 8.64 ppm ( $\text{X}=\text{Br}$ ), all of them shifted to higher field.

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## RESUMO

Reacções de Ciclometalación de Pd(II) con *N*-( $\alpha$ -naftiliden)-*o*-toluidina.

A reacção de *N*-( $\alpha$ -naftiliden)-*o*-toluidina com acetato de paládio(II) produce un complexo cas pontes acetato  $[(nf-N,C)PdAc]_2$ . As reacções de matéses con cloruro e bromuro de sodio dan complexos con pontes cloro e bromo,  $[(nf-N,C)PdX]_2$  ( $X = \text{Cl}, \text{Br}$ ). A reacção dos dimeros con pontes halógeno con trifenilfosfina, en relación molar 1:2 e 1:4, conduce aos monómeros ciclometalados e non ciclometalados, respectivamente. As análises elementais e os espectros de IR e RMN concordan cas estruturas que se propoñen e permiten distinguir entre os complexos dimeros e os monómeros, e nos derradeiros, entre os monómeros ciclometalados  $[(nf-N,C)PdLX]$  e os non ciclometalados  $[(nf-C)PdL_2X]$ , nos que o anel de cinco membros desaparece por mor da unión de dous ligandos trifenilfosfina ao metal central.



# THERMODYNAMICS OF THE IDEALLY POLARIZED INTERFACE BETWEEN TWO IMMISCIBLE SOLUTIONS OF ELECTROLYTES. THE ELECTRO- CAPILLARY EQUATION AND SURFACE EXCESSES

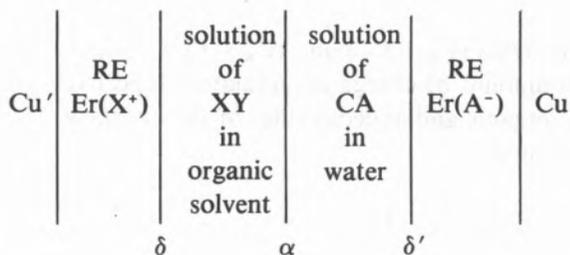
*The electrocapillary equation for an ITIES is derived. Surface excesses relative to the solvent in each phase are defined and a precise definition of surface charge density on each side of the interface is presented. The residual entropy  $S^*$  is defined and a way to be calculated as a function of surface charge density is indicated.*

## 1 — INTRODUCTION

Polarized interfaces between two immiscible electrolyte solutions are attracting the attention of electrochemists [1-4]. The structure and properties of the electrical double layer existing at such interfaces are being investigated but, so far, no detailed thermodynamic treatment has been published. In this paper we present the electrocapillary equation for an ITIES (Interface between Two Immiscible Electrolyte Solutions) giving the proper definition of the surface charge density and all the surface excesses on both sides of the interface.

## 2 — THE ELECTROCAPILLARY EQUATION

To simplify, the cell considered will be one with reference electrodes reversible respectively to the cation on the organic phase and to the anion on the aqueous phase. It can be represented by



where salts XY and CA are considered to exist solely in the organic and aqueous phases respectively.

The only polarized interface is  $\alpha$  while  $\delta$  and  $\delta'$  are considered non polarized and therefore the potential drop across them are exclusively determined by the activities of  $\text{X}^+$  ( $\delta$ ) and  $\text{A}^-$  ( $\delta'$ ) ions. The analog of the Gibbs Duhem equation for the interphase is

$$\begin{aligned}
 -d\gamma = & S^\alpha dT - V^\alpha dp + \Gamma_{\text{X}} d\bar{\mu}_{\text{X}} + \\
 & + \Gamma_{\text{Y}} d\bar{\mu}_{\text{Y}} + \Gamma_{\text{C}} d\bar{\mu}_{\text{C}} + \Gamma_{\text{A}} d\bar{\mu}_{\text{A}} + \\
 & + \Gamma_{\text{w}} d\mu_{\text{w}} + \Gamma_{\text{O}} d\mu_{\text{O}}
 \end{aligned} \quad (1)$$

where the symbols have the following meaning w — water, O — organic solvent, and all extensive variables are expressed per unit area of the interface and  $\Gamma_j$  is surface excess of species j.

The first step in the derivation is to eliminate single ion electrochemical potentials in eq. (1) using the equilibria existing in the organic and aqueous phases.

For the aqueous phase

$$d\bar{\mu}_{A^-} + d\bar{\mu}_{C^+} = d\mu_{CA}$$

or

$$\Gamma_{C^+} d\bar{\mu}_{C^+} = \Gamma_{C^+} d\mu_{CA} - \Gamma_{A^-} d\bar{\mu}_{A^-} \quad (2)$$

while for the organic phase

$$d\bar{\mu}_{X^+} + d\bar{\mu}_{Y^-} = d\mu_{XY}$$

or

$$\Gamma_{Y^-} d\bar{\mu}_{Y^-} = \Gamma_{Y^-} d\mu_{XY} - \Gamma_{X^+} d\bar{\mu}_{X^+} \quad (2)'$$

After substitution eq. (1) becomes:

$$\begin{aligned} -d\gamma = & S^\alpha dT - V^\alpha dp + (\Gamma_{X^+} - \Gamma_{Y^-}) d\bar{\mu}_{X^+} + \\ & + (\Gamma_{A^-} - \Gamma_{C^+}) d\bar{\mu}_{A^-} + \Gamma_{C^+} d\mu_{CA} + \\ & + \Gamma_{Y^-} d\mu_{XY} + \Gamma_w d\mu_w + \Gamma_O d\mu_O \end{aligned} \quad (3)$$

The terms  $(\Gamma_{X^+} - \Gamma_{Y^-})$  and  $(\Gamma_{A^-} - \Gamma_{C^+})$  represent the net amount of charge accumulated respectively on the organic and aqueous sides of the interface.

Defining

$$\sigma^w = F(\Gamma_{C^+} - \Gamma_{A^-})$$

and considering that the interphase must be electrically neutral

$$\begin{aligned} \sigma = \sigma^w = & F(\Gamma_{C^+} - \Gamma_{A^-}) = -\sigma^O = \\ = & -F(\Gamma_{X^+} - \Gamma_{Y^-}) \end{aligned} \quad (4)$$

These relations define the surface charge density at an ITIES and identify its value with the amounts of ions accumulated at each side of the interface.

After substitution eq. (3) becomes

$$\begin{aligned} -d\gamma = & S^\alpha dT - V^\alpha dp + (\sigma/F)(-d\bar{\mu}_{X^+} - d\bar{\mu}_{A^-}) + \\ & + \Gamma_{C^+} d\mu_{CA} + \Gamma_{Y^-} d\mu_{XY} + \Gamma_w d\mu_w + \Gamma_O d\mu_O \end{aligned}$$

or

$$\begin{aligned} -d\gamma = & S^\alpha dT - V^\alpha dp + (\sigma/F) d\epsilon^\ddagger + \\ & + \Gamma_{C^+} d\mu_{CA} + \Gamma_{Y^-} d\mu_{XY} + \\ & + \Gamma_w d\mu_w + \Gamma_O d\mu_O \end{aligned} \quad (5)$$

where  $\epsilon^\ddagger$  is a generalized electrochemical potential to be considered later.

It remains to eliminate in eq. (5) the chemical potentials of the solvents in each phase which requires the Gibbs-Duhem relations for each phase.

Given the miscibility of each solvent in the other such relations are

$$\begin{aligned} x_O^w d\mu_w + x_O^O d\mu_O + x_O^{XY} d\mu_{XY} + \\ + S_O dT + V_O dp = 0 \end{aligned} \quad (6)$$

$$\begin{aligned} x_w^O d\mu_w + x_w^O d\mu_O + x_w^{CA} d\mu_{CA} + \\ + S_w dT + V_w dp = 0 \end{aligned} \quad (6)'$$

where  $x_j^i$  are the molar fractions of component  $i$  in solvent  $j$  and  $S_j$  and  $V_j$  are, respectively, the molar entropies and molar volumes of the phase with solvent  $j$ .

Eqs. (6) and (6)' are a system of two equations with two unknowns,  $d\mu_w$  and  $d\mu_O$  the solutions of which are

$$\begin{aligned} d\mu_O = & -\frac{x_w^w x_O^{XY}}{\beta} d\mu_{XY} - \frac{x_O^w x_w^{CA}}{\beta} d\mu_{CA} + \\ & + \left( \frac{x_w^w S_O - x_O^w S_w}{\beta} \right) dT \end{aligned} \quad (7)$$

$$\begin{aligned} d\mu_w = & -\frac{x_w^O x_O^{XY}}{\beta} d\mu_{XY} - \frac{x_O^O x_w^{CA}}{\beta} d\mu_{CA} + \\ & + \left( \frac{x_O^O S_w - x_w^O S_O}{\beta} \right) dT \end{aligned} \quad (7)'$$

where

$$\beta = x_O^w x_w^O - x_w^w x_O^O \quad (8)$$

Substituting these values in eq. (5) and after rearrangement

$$\begin{aligned} -d\gamma = & \Gamma_S dT - \Gamma dp + \Gamma_{C^+/w} d\mu_{CA} + \\ & + \Gamma_{Y^-/O} d\mu_{XY} + q d\epsilon^\ddagger \end{aligned} \quad (9)$$

where

$$\Gamma_{C^+/w} = \Gamma_{C^+} + \frac{\Gamma_w x_O^O - \Gamma_O x_w^w}{x_O^w x_w^O - x_w^w x_O^O} x_w^{CA} \quad (10)$$

$$\Gamma_{Y^-/O} = \Gamma_{Y^-} + \frac{\Gamma_O x_w^w - \Gamma_w x_O^O}{x_O^w x_w^O - x_w^w x_O^O} x_O^{XY} \quad (11)$$

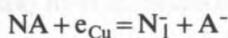
are the surface excesses in the aqueous phase relative to the water and in the organic phase relative to the organic solvent and

$$\Gamma_S = S^\alpha + \frac{\Gamma_w x_O^O - \Gamma_O x_O^w}{x_O^w x_w^O - x_w^w x_O^O} S_w + \frac{\Gamma_O x_w^w - \Gamma_w x_w^O}{x_O^w x_w^O - x_w^w x_O^O} S_O \quad (12)$$

is the surface excess of entropy.

It is needed now to clarify the meaning of the generalized electrochemical potential  $\epsilon_-^*$ . Let us consider the equilibrium existing at each reference electrode assumed to be

i) in the aqueous phase



and

$$d\bar{\mu}_{A^-} = d\mu_{NA} + d\bar{\mu}_{e_{Cu}} - d\mu_N$$

ii) in the organic phase



and

$$d\bar{\mu}_{X^+} = d\mu_X - d\bar{\mu}_{e_{Cu'}}$$

Replacing these values in eq. (9), it becomes

$$-d\gamma = \dots + (\sigma/F) \{ (-d\mu_X - d\mu_{NA} + d\mu_N) + (d\bar{\mu}_{e_{Cu'}} - d\bar{\mu}_{e_{Cu}}) \} + \dots \quad (13)$$

or

$$-d\gamma = \dots (\sigma/F) (dK + (d\bar{\mu}_{e_{Cu'}} - d\bar{\mu}_{e_{Cu}})) \quad (14)$$

If  $\phi''$  and  $\phi'$  are the inner potentials of the two chemically identical copper wires connected to both reference electrodes then

$$d\bar{\mu}_{e_{Cu'}} - d\bar{\mu}_{e_{Cu}} = F(d\phi'' - d\phi') = F dE_-^* \quad (15)$$

where  $E_-^*$  refers to the potential of the right hand side of the cell with respect to the left hand side. At constant temperature  $dK=0$  because it only refers to solid phases of the reference electrodes.

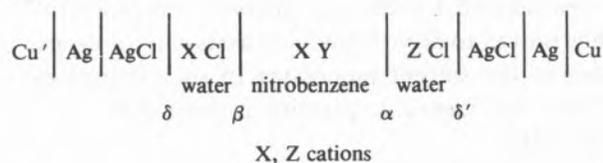
The generalized potential  $\epsilon_-^*$  is, therefore, given by

$$\epsilon_-^* = E_-^* + \frac{\mu_N - \mu_{NA} - \mu_X}{F} \quad (16)$$

### 3 — PHYSICAL IMPLICATIONS OF THE ELECTROCAPILLARY EQUATION

As it can be noted in eq. (9-12) the relations for an ITIES are similar to those obtained for the mercury-solution interface. Determination of the surface excess of an ion in one of the phases requires the use of a reference electrode reversible to the ion of opposite charge in the same phase, keeping constant the activity of the salt on the other phase. This obviously means to keep constant the potential of the reversible reference electrode in the other phase. It is clear that any choice of a reference electrode reversible to an ion in solution is possible and there are 4 possible combinations.

However it is more usual to use an Ag/AgCl reference electrode in both sides of the interface as represented



The reference electrode on the right hand side is reversible to  $\text{Cl}^-$  anion present in the aqueous phase and thus, keeping constant the composition of the organic phase and the potential at the other reference electrode it is possible to determine the surface excess of ion  $Z^+$ .

To make the potential drop across interface  $\beta$  with a Nernstian response to the activity of  $X^+$  in the organic phase requires the use of appropriate conditions which are not in the scope of this paper.

It must be emphasized that the relative surface excesses of the ions each phase are effected by the degree of miscibility of both solvents. When such miscibility is very small eq. (10,11) can be approximated by

$$\Gamma_{C^+/w} = \Gamma_{C^+} + \frac{x_w^{CA}}{x_w^w} \Gamma_w$$

$$\Gamma_{Y^-/O} = \Gamma_{Y^-} + \frac{x_O^{XY}}{x_O^O} \Gamma_O$$

which are the values found for the case of two completely immiscible phases.

It is easy to show that the charge densities on each side of the interphase defined as

$$\sigma^w = \Gamma_{C^+} - \Gamma_{A^-}$$

and

$$\sigma^O = \Gamma_{X^+} - \Gamma_{Y^-}$$

are also given by

$$\sigma^w = \Gamma_{C^+/w} - \Gamma_{A^-/w}$$

and

$$\sigma^O = \Gamma_{X^+/O} - \Gamma_{Y^-/O}$$

These quantities are easily generalised for any number of cations or anions adsorbed.

#### 4 — THE ENTROPY OF FORMATION OF THE ITIES

A non-thermodynamic excess of entropy,  $S^*$  has been defined for the Hg/solution interface which has proved to be very useful in assessing the properties of the solvent monolayer in such interphases [5-8]. An identical quantity is here defined for an ITIES.

$S^*$  is defined as the residual

$$S^* = \Gamma_S - \sum_i \Gamma_{i/w} \dot{s}_w^i - \sum_j \Gamma_{j/O} \dot{s}_O^j \quad (17)$$

where  $\Gamma_S$  is the thermodynamic surface excess of entropy,  $\Gamma_{i/w}$  and  $\Gamma_{j/O}$  are relative surface excesses as defined by eqs. (10) and (11) and  $\dot{s}_w^i$  and  $\dot{s}_O^j$  are respectively the partial molar entropies of components  $i$  and  $j$  and in the aqueous and organic phases.

Using the definition of surface charge densities eq. (17) becomes

$$S^* = \Gamma_S - (\sigma/F) \dot{s}_w^+ - \Gamma_{-/w} \dot{s}_w^{\text{salt}} + (\sigma/F) \dot{s}_O^+ - \Gamma_{-/O} \dot{s}_O^{\text{salt}} \quad (18)$$

where  $\dot{s}_j^{\text{salt}}$  is the partial molar entropy of the salt existing in the phase of solvent  $j$ .

After rearranging eq.(18) becomes

$$S^* = \Gamma_S - \sigma/F (\dot{s}_w^+ - \dot{s}_O^+) - \Gamma_{-/w} \dot{s}_w^{\text{salt}} - \Gamma_{-/O} \dot{s}_O^{\text{salt}} \quad (19)$$

or in general terms

$$S^* = \Gamma_S - \sigma/F (\dot{s}_w^\pm - \dot{s}_O^\pm) - \Gamma_{\pm/w} \dot{s}_w^{\text{salt}} = \Gamma_{\pm/O} \dot{s}_O^{\text{salt}} \quad (20)$$

where the superscripts in  $\dot{s}$  correspond in the same order to the subscripts in  $\Gamma$ .

If the reference electrodes used are as assumed in part 2, eq.(20) takes the form

$$S^* = \Gamma_S - \sigma/F (\dot{s}_w^+ - \dot{s}_O^-) - \Gamma_{-/w} \dot{s}_w^{\text{salt}} + \Gamma_{+/O} \dot{s}_O^{\text{salt}} \quad (21)$$

Substituting in eq.(9) the value of  $\Gamma_S$  as obtained from eq.(21) it becomes

$$-d\gamma = (S^* + \sigma/F (\dot{s}_w^+ - \dot{s}_w^-) - \Gamma_{-/w} \dot{s}_w^{\text{salt}} + \Gamma_{+/O} \dot{s}_O^{\text{salt}})dT + \sigma d\epsilon^+ + \Gamma_{-/w} d\mu_w^{\text{salt}} + \Gamma_{+/O} d\mu_O^{\text{salt}} \quad (22)$$

Therefore at constant composition in both phases eq.(22) can be written

$$-d\gamma = (S^* + \sigma/F(\dot{s}_w^+ - \dot{s}_w^-))dT + qd\epsilon^+ \quad (23)$$

because

$$d\mu_w^{\text{salt}} = -\dot{s}_w^{\text{salt}}dT$$

and

$$d\mu_O^{\text{salt}} = -\dot{s}_O^{\text{salt}}dT$$

Eq.(23) establishes the route to obtain values of  $S^*$  directly from the temperature coefficients of the interfacial tension, or indirectly through the temperature coefficients of the differential capacities. The meaning of  $S^*$  in the case of an ITIES is not as simple as in the case of two completely immiscible phases and is given by

$$S^* = \Gamma_w (\dot{S}_\alpha^w - \dot{s}_w^w) + \Gamma_O (\dot{S}_\alpha^O - \dot{s}_O^O) + \Gamma_w^- (\dot{S}_\alpha^- - \dot{s}_w^-) + \Gamma_w^+ (\dot{S}_\alpha^+ - \dot{s}_w^+) + \Gamma_O^- (\dot{S}_\alpha^- - \dot{s}_O^-) + \Gamma_O^+ (\dot{S}_\alpha^+ - \dot{s}_O^+) - b x_O^w (\dot{s}_w^w - \dot{s}_O^w) - a x_w^O (\dot{s}_O^O - \dot{s}_w^O)$$

where

$$b = \frac{\Gamma_O x_w^w - \Gamma_w x_O^w}{x_O^w x_w^w - x_w^w x_O^w}$$

$$a = \frac{\Gamma_w x_O^O - \Gamma_O x_w^O}{x_O^O x_w^O - x_w^O x_O^O}$$

$\Gamma_j^\pm$  are the surface excesses of the anion or cation existing in solvent  $j$ .

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## RESUMO

**Termodinâmica da interface idealmente polarizável entre duas soluções imiscíveis de electrólitos.**

**A equação electrocapilar e os excessos superficiais.**

Apresenta-se a equação electrocapilar para uma interface idealmente polarizada entre duas soluções imiscíveis de electrólitos. Definem-se os excessos superficiais relativos ao solvente de cada fase assim como a densidade de carga superficial em ambos os lados da interface. Define-se uma entropia residual  $S^*$  e estabelece-se uma forma do seu valor ser calculado em função da densidade superficial da carga.



# PROPERTIES OF TRANSITION METAL CENTRES IN NITROGEN FIXATION

*This work presents an attempt for the characterization of the dinitrogen-binding transition metal centres and a proposal for the way the activation of  $N_2$  depends on their properties.*

*Although a few recent reviews are known [1-4] on dinitrogen complexes, the concept of the present work develops under a new perspective which relates properties and types of behaviour previously described individually in more detail.*

*Following a brief introduction on the current importance of the nitrogen fixation, the composition and structure of the transition metal centres which bind  $N_2$  are presented, as well as their electronic properties (electron richness,  $\sigma$  acceptance and  $\pi$  back-bonding capacities, and polarisability) which play a fundamental role in the coordination of dinitrogen. The dependence of these properties on the periodic group of the central metal and on the effect of co-ligands is discussed.*

*The chemical behaviour of these centres (which is dependent mainly on electronic and structural factors such as the unsaturated character derived from the lability of the dinitrogen ligand) is then described, followed by the types of activation of  $N_2$  upon coordination in poly- or mono-nuclear complexes. It is then analysed the dependence of this activation on the periodic group of the transition metal and on the presence of ions of the less electronegative non-transition metals.*

*The application of isocyanides as potential models for the coordination and reactivity of dinitrogen is also proposed, and structural models for the enzymatic nitrogen fixation centre are presented.*

## INDEX

1 — Introduction .....	31
2 — Structural and electronic properties .....	32
2.1. Composition .....	33
2.1.1. Metal and ligands .....	33
2.1.2. Geometry .....	33
2.2. Dinitrogen bonding.....	34
2.2.1. Bonding modes .....	34
2.2.2. Bonding parameters .....	36
2.2.3. Bonding orbitals .....	36
2.3. Electron $\pi$ -donor and $\sigma$ -acceptor character of the metal centre .....	37
2.3.1. Dependence on the transition metal periodic group .....	37
2.3.2. Dependence on the transition series (along each group).....	38
2.3.3. Dinitrogen complexes with representative metals.....	38
2.4. Electron richness .....	39
2.4.1. Electrochemical quantification .....	39
2.4.2. Co-ligand effect .....	41
3 — Chemical properties.....	41
3.1. Chemical reactivity of dinitrogen binding metal sites.....	41
3.1.1. Simple addition reactions .....	42
3.1.2. Oxidative addition reactions.....	43
(i) Addition of C-H bond	
(ii) Hydrogenation	
(iii) Oxidation reactions by protic acid or organohalide	
3.1.3. Catalytic reactions .....	44
3.2. Activation and reactivity of dinitrogen.....	45
3.2.1. Formation of hydrides of nitrogen .....	46
(i) Polynuclear complexes	
(ii) Mononuclear complexes	
(ii.1) Reduction of $N_2$ to $NH_3$	
(ii.2) Mechanisms of the protonation reactions	
— Direct electrophilic attack at ligating $N_2$	
— Direct electrophilic attack at the metal centre	
3.2.2. Formation of organonitrogenated species ..	50
(i) Formation of <i>exo</i> nitrogen-carbon bonds	
(ii) Formation of <i>endo</i> nitrogen-carbon bond	
3.2.3. Adduct formation .....	52
3.3. Activation of isocyanides and their application as probes in the study of dinitrogen binding metal centres and of dinitrogen reactivity .....	52
4 — Inorganic clusters as structural models for the enzymatic centre .....	53
5 — Final comments .....	55
Acknowledgements.....	56
References .....	56

## 1 — INTRODUCTION

*Definition and interest*

The current interest on the reactivity of dinitrogen, the most abundant gas of the earth atmosphere (formerly considered as an inert species) is justified mainly by the importance of the applications of its fundamental derivative, ammonia.

The reductive transformation of dinitrogen into ammonia is known as "nitrogen fixation", although in a broad sense all the types of studies which somehow may contribute to a better understanding of this process may be included under this topic.

Within the biological field, ammonia constitutes the basis of biosynthetic inclusion (assimilation) of the nitrogen element in organic molecules which form fundamental cellular components with proteins or nucleic acids.

The direct assimilation of  $N_2$  from the air is not possible by upper organisms and plants get nitrogen from the soil usually after the formation of ammonia, whereas that element becomes available to animals (namely to Man) through the feed (plants or other animals).

The abovementioned reduction, in a catalytic way, may be performed biologically by the enzyme nitrogenase under ambient conditions, or in industry under drastic conditions. Moreover, the nitrogen fixation constitutes usually the limiting factor of the biological productivity; only in regions of high nitrogen concentration (due to decomposition processes or to the exaggerated application of nitrogen fertilizers) other nutrients, such as potassium, phosphorus or sulphur, become the limiting factors.

Within the industrial field, ammonia constitutes the starting material for the production of most of the nitrogen compounds: nitric acid, nitrogen fertilizers, acrylonitrile, amines and explosives.

*Historical background*

Although since a few thousand years Man discovered that the yield of the crops could be increased by the addition of legumes to the soil, only by the end of last century this problem started to be enlightened when, in 1887, Hellriegel and Wilfarth proved the nitrogen fixation capacity of nodulated legumes. In the following year, the first nodule bacte-

rium was isolated by Beijerinck and, in 1893, Winogradsky isolates the first soil bacterium which can fix nitrogen (it was later called *Clostridium pasteurianum*).

Meanwhile, by the end of last century and beginning of the current one, there occurred an increasing demand on nitrogen fertilizers (due to feed requirements) and on nitric acid (mainly after the invention of dynamite by Alfred Nobel in 1866). Nitrates from Chile were then the main natural resource for the starting materials, but they were insufficient for the requirements of an increasing consumption.

Dinitrogen, with a huge natural stock in the atmosphere, appeared as a potential alternative candidate, but the known industrial processes (oxidation to NO and reduction to calcium cyanamide), with high energy requirements, deserved only a weak popularity.

The problem appears to be solved in 1908 through the development of a distinct route: taking advantage of the use of high pressures and in the presence of a metal catalyst, Haber promotes the synthesis reaction of ammonia from dinitrogen and dihydrogen which becomes commercially profitable.

However, the industrial synthesis of ammonia requires a high energy consumption, not only by the synthesis reaction (which requires high pressures and temperatures) but also in the production of dihydrogen (which consumes reserves of fossil energy such as petroleum derivatives, natural gas or coal). It was estimated [5] that the production of nitrogen fertilizers corresponds roughly to 1 ~ 1.6% of the world consumption of fossil energy.

Hence, the nitrogen fixation appears as a fundamental chemical reaction to satisfy the increasing feed demands (due to the demographic expansion), but requiring a high and increasing energy consumption which is not compatible with the decrease of the fossil energy stocks. The required nitrogen supply to the biosphere has then to be balanced with the energy cost.

Excluding, for this problem, a drastic solution of control or reduction of the population, a few interdisciplinary proposals may be presented:

- Increasing the efficiency of the Haber synthesis with a decrease in the energy cost;
- Production of a new type of catalyst, model of the enzymatic activity, which may operate (preferably in the soil) in ambient conditions without

requiring fossil energy resources (water and sun light may behave as the hydrogen and energy sources, respectively);

— Promotion of the biological fixation namely through a wider distribution of nitrogen fixation bacteria and the extension, by genetic manipulation, of the fixing capacity to plants, such as cereals, with a high agricultural interest.

The first two routes, chemically in nature, require a better understanding of the metal centres which may activate dinitrogen, as shown below.

Although in 1930 it was shown by BORTELS [6] the essential role of molybdenum in the enzymatic fixation (e.g., *A. vinelandii* does not fix nitrogen in a medium without Mo and the growing is stimulated by the presence of this element), the explanation based on the recognition of the presence of Mo in a protein of the enzyme was based [7] only in 1966 when the two components of nitrogenase were sepa-

rated and one of them was shown to present this element.

Meanwhile, in 1964, the reduction of  $N_2$  in solution by a transition metal system is reported by VOL'PIN [8] and the occurrence of a stable metal-dinitrogen bond is demonstrated (ALLEN and SENOFF [9]) in 1965 through the preparation of the first transition metal dinitrogen complex. It is, in this way, evidenced the possibility of occurrence of a similar metal-dinitrogen interaction in the natural system and in the industrial synthesis, i.e., the probable involvement of dinitrogen complexes as intermediates in the production of ammonia.

## 2 — STRUCTURAL AND ELECTRONIC PROPERTIES

The knowledge of the properties of a dinitrogen-binding metal centre is hampered by the common difficulty of isolation. It is usually present in the

Table 1

Common metal oxidation state and  $d^n$  electronic configuration, geometry, charge and examples of co-ligands in transition metal dinitrogen complexes

		$d^n$	Geometry	Charge	Co-ligands
IVB	Ti <sup>II</sup> Zr <sup>II</sup>	$d^2$		0	$\eta^5-C_5R_5^-, R^-$
VB	Nb <sup>III(V)</sup> Ta <sup>III(V)</sup>	$d^{2(o)}$		0	CHR(2-), R <sup>-</sup> , X <sup>-</sup>
VIB	M <sup>0</sup>	$d^6$		0	PR <sub>3</sub> , $\eta^6-C_6R_6$ , CO, PhS(CH <sub>2</sub> ) <sub>2</sub> SPh
VIIIB	M <sup>I</sup>	$d^6$			0
VIII	Fe <sup>II</sup> Ru <sup>II</sup> Os <sup>II</sup>	$d^6$		+1	PR <sub>3</sub> H <sup>-</sup> , Cl <sup>-</sup> , R <sup>-</sup> , $\eta^5-C_5H_5$ , SR <sup>-</sup>
		$d^6$		+2	NH <sub>3</sub> , H <sub>2</sub> O thf, porphinato
	Co <sup>I</sup> Rh <sup>I</sup> Ir <sup>I</sup>	$d^8$		0	PR <sub>3</sub> H <sup>-</sup> , Cl <sup>-</sup>
	Ni <sup>0</sup> Co <sup>-I</sup>	$d^{10}$		0	PR <sub>3</sub> Electropositive metal (polynuclear structures)
				-1	

composition of coordinatively saturated complexes, and its properties are deduced from their expression in these complexes where the influence of other ligand(s) is present.

## 2.1. COMPOSITION

### 2.1.1. METAL AND LIGANDS

Fully characterized dinitrogen complexes prepared by conventional techniques are already known for the majority of transition metals as shown below:

IVB	VB	VIB	VIII	VIII		
Ti		Cr	Mn	Fe	Co	Ni
Zr	Nb	Mo	Tc	Ru	Rh	Pd
	Ta	W	Re	Os	Ir	Pt

Moreover, some of the gaps may be filled if one considers species prepared by low temperature matrix isolation techniques — *e.g.*,  $V(N_2)_6$  [10],  $M(N_2)_n(O_2)$  ( $M = Pd, Pt$ ;  $n = 1, 2$ ) [11] and  $Cu_n(N_2)_m$  [12].

Usually the dinitrogen complexes obey the 18-electron rule (except in the extreme groups), they are diamagnetic and neutral (with exceptions for those of the iron sub-group which are often cationic). They present the metal in a low oxidation state and co-ligands which are considerable electron donors and commonly weak  $\pi$  acceptors, namely tertiary phosphines and halides, or ammonia and amines in the case of Ru and Os (Table 1). The last two general features (low metal oxidation states and the presence of electron donor co-ligands) evidence an important property of the dinitrogen-binding transition metal centres: their high (or, at least, considerable) electron richness; this point will be treated below in more detail.

Examples of ligating atoms of co-ligands are known for any of the IVA to VIIA groups, the most common being underlined in Table 2.

Sulphur ligands are very rare although in the enzymatic system sulphur is an element which is present in the vicinity of molybdenum. The only well characterized examples are the Re(I) complexes *mer*-[Re(S-S)(N<sub>2</sub>)(PMe<sub>2</sub>Ph)<sub>3</sub>] (S-S<sup>-</sup> = S<sub>2</sub>PPh<sub>2</sub><sup>-</sup>, S<sub>2</sub>CNR<sub>2</sub><sup>-</sup>, S<sub>2</sub>(OEt<sup>-</sup>) [13] and derived mixed dinitrogen-isocyanide species such as *mer*-[Re( $\eta^1$ -S<sub>2</sub>PPh<sub>2</sub>)(N<sub>2</sub>)(CNMe)<sub>x</sub>(PMe<sub>2</sub>Ph)<sub>4-x</sub>] ( $x = 1$  or  $2$ ) [14], the Os(II) complex *mer*-[OsCl(SC<sub>6</sub>F<sub>5</sub>)(N<sub>2</sub>)(PMe<sub>2</sub>Ph)<sub>3</sub>] [15] and the less well defined 1,2-bis(phenyl-

thio)ethane complex of Mo(0) *trans*-[Mo(N<sub>2</sub>)<sub>2</sub>(PMe<sub>2</sub>Ph)<sub>2</sub>(S-S)] (S-S = PhSCH<sub>2</sub>CH<sub>2</sub>SPh) [16]. However, no reaction involving the N<sub>2</sub> ligand (apart from possible displacement) has yet been reported. Lighter metals (Fe, Co, Ni) appear to present a higher tendency to bind hydride relative to the heavier ones (Re, Os, Ir) which ligate preferentially halides as co-ligands in N<sub>2</sub> complexes.

A few other general observations are presented below, but the best choice of co-ligands, in N<sub>2</sub> complexes, is still guided by experience, their stability being strongly dependent on the composition. Hence, *e.g.*, although [IrCl(N<sub>2</sub>)(PPh<sub>3</sub>)<sub>2</sub>] is a known stable species, the analogues with PMe<sub>2</sub>Ph or P(C<sub>6</sub>H<sub>4</sub>CH<sub>3</sub>-4)<sub>3</sub> are not enough stable to be isolated without decomposition.

### 2.1.2. GEOMETRY

The geometry of the dinitrogen complexes appears to be determined by the d<sup>n</sup> electronic state of the metal (see Table 1) which, for d<sup>6</sup>-d<sup>10</sup> species (VIB — VIII groups), may be rationalised by extended Hückel theoretical calculations [17] based on the following assumptions: the N<sub>2</sub> bonding results mainly from the  $\pi$ -backbonding component; the molecular orbitals of the N-N<sub>2</sub> bond derived from overlap of the metal d orbitals with the dinitrogen  $1\pi_g^*$  orbitals are fully occupied.

The former proposal may, however, fail in complexes of the group VIII (where the  $\sigma$  component may

Table 2  
Ligand bonding atoms in dinitrogen complexes

Bonding atom Group	Ligand
IVC	C $\eta^5-C_5H_5^-$ , $\eta^6-C_6H_6$ CO, CNR CHR(2-), R <sup>-</sup>
VA	N P As NH <sub>3</sub> , H <sub>2</sub> N(CH <sub>2</sub> ) <sub>2</sub> NH <sub>2</sub> , edta PR <sub>3</sub> (and chelating diphosphines), P(OR) <sub>3</sub> AsR <sub>3</sub>
VIA	O S H <sub>2</sub> O, thf, edta S <sub>2</sub> PPh <sub>2</sub> <sup>-</sup> , S <sub>2</sub> CNR <sub>2</sub> <sup>-</sup> , SR <sup>-</sup> , PhS(CH <sub>2</sub> ) <sub>2</sub> SPh
VIIA	X Halide(Cl <sup>-</sup> )
IA	H Hydride

have a fundamental role, as shown below), whereas the latter assumption may not be followed by the group IVB metals since the expected strong  $\pi$  interaction with  $N_2$  (see below) may result in a considerable stabilization of the  $M-N_2$  bond even for an incomplete fulfilment of the  $\pi(M-N_2)$  orbitals.

Group IVB  $d^2$  metal complexes present either a trigonal — *e.g.*,  $[(\eta^5-C_5Me_5)_2Ti](\mu-N_2)$  [18] — or a tetrahedral geometry — *e.g.*,  $[(\eta^5-C_5H_5)_2Zr(N_2)(\mu-N_2)]$  [19].

However, group VB  $d^2$  (or  $d^0$ , depending on the formal charge on the ligand  $N_2$ , as shown below) metal compounds display a trigonal bipyramid structure — *e.g.*,  $[Ta(CH_2CMe_3)(CHCMe_3)(PPh_3)_2](\mu-N_2)$  [20] where the axial positions are occupied by the two phosphines — or an octahedral-type geometry as in  $[TaCl_3(Pbz_3)(thf)_2](\mu-N_2)$  ( $bz = CH_2C_6H_5$ ) [21] where *thf* is in *trans* position relative to the bridging  $N_2$  ligand.

Dinitrogen complexes of groups VIB and VIIB and of the iron sub-group usually present a metal  $d^6$  centre (which corresponds to metal oxidation states of 0, +1 and +2, respectively) with either an octahedral-type geometry — such as  $[M(N_2)_2L_4]$  ( $M = Mo, W$ ;  $L =$  tertiary monophosphine or  $\frac{1}{2}$  diphosphine [22], *trans*- $[ReCl(N_2)L_4]$  [23], *trans*- $[FeH(N_2)(tetraphos)]$  Br {tetraphos =  $Ph_2PC_2H_4P(Ph)C_2H_4P(Ph)C_2H_4PPh_2$ } [24] and  $[Ru(NH_3)_5(N_2)] Cl_2$  [25] — or, if a cyclic arene ligand is bound, a trigonal pyramid geometry is observed where this ligand coordinates the apical position — *e.g.*,  $[Mo(\eta^6-C_6H_3Me_3)(dmpe)]_2(\mu-N_2)$  [26] (where *dmpe* =  $Me_2PC_2H_4PMe_2$ ) and  $[Mn(\eta^5-RC_5H_4)(CO)_2](\mu-N_2)$  [27].

Group VIB metal complexes with an electronic state different from  $d^6$  (metal oxidation state other than zero) are also known and they may present a distinct geometry:  $[WH(N_2)_2(dppe)_2]^+$  (where *dppe* =  $Ph_2PC_2H_4PPh_2$ ) which is pentagonal bipyramid [28] (coordination number seven) with metal  $d^4$  and  $[Cl_4Mo](\mu-N_2)$  [29] with a  $d^2$  metal and coordination number five.

Metal  $d^8$  complexes of the Co sub-group display either a trigonal bipyramid geometry — *e.g.*,  $[CoH(N_2)(PPh_3)_3]$  [30] — or a square planar arrangement such as in  $[RhH(N_2)(PPhBu_2)_2]$  [31] (in both cases, the hydride ligand is *trans* to  $N_2$ ).

Metal  $d^{10}$  complexes of group VIII present either a trigonal planar geometry —  $[(PCy_3)_2Ni]_2$

$(\mu, \eta^2-N_2)$  [32] and  $[Ph(NaOEt)_2(Ph_2Ni)]_2(\mu, \eta^2-N_2)NaLi_6(OEt)_4OEt_2$  [33] — or a trigonal pyramid structure as in the hexameric cluster  $K[Co(N_2)(PMe_3)_3]$  [34] and in  $[Co(PMe_3)_3(N_2)]_2Mg(thf)_4$  [35].

Polyhydridic complexes deviate from the above-mentioned geometric patterns, as observed, *e.g.*, for the double metal-metal bonded dinuclear compound  $[RuH_2(PPh_3)_2](\mu-H)_4[Ru(N_2)(PPh_3)_2]$  [36].

## 2.2. DINITROGEN BONDING

### 2.2.1. BONDING MODES

A wide versatility of coordination to a transition metal is known for the dinitrogen ligand, and the modes shown in fig. 1 have already been clearly evidenced.

The terminal mode of coordination is the most common, either in mononuclear or in dinuclear complexes; in the latter,  $N_2$  behaves as a di-hapto ( $\eta^2$ ) ligand.

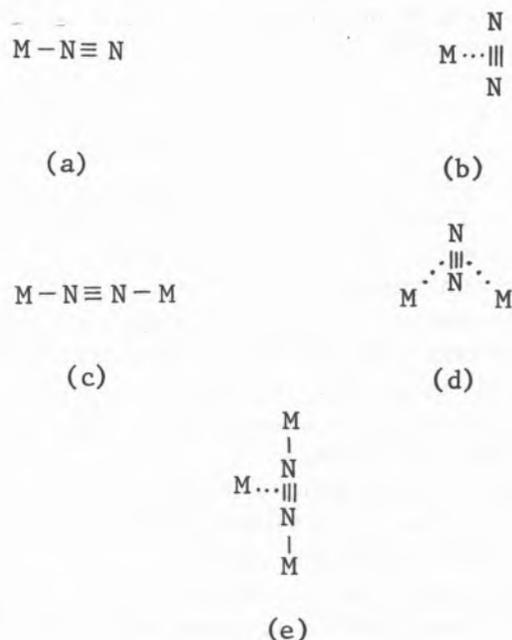


Fig. 1

Modes of dinitrogen bonding to transition metals.

- (a)  $\eta^1$ -terminal. (b)  $\eta^2$ -lateral. (c)  $\mu^2, \eta^2$ -bridging terminal.  
(d)  $\mu^2, \eta^2$ -bridging lateral. (e)  $\mu^3, \eta^2$ -bridging terminal-lateral

Only scant examples are known for the lateral (side-on) coordination and they may involve polinuclear species with high complexity.

The simplest known compound with a side-on  $N_2$  ligand is  $Co(\eta^2-N_2)$ , prepared by co-condensation reaction of atomic cobalt with dilute nitrogen-argon matrices at 10K, the type of  $N_2$  bonding being evidenced by i.r. studies with  $^{28}N_2$ ,  $^{29}N_2$  and  $^{30}N_2$  (only one stretching band is observed for the  $^{29}N_2$  isotopic ligand) [37].

ESR electron-nuclear spin coupling studies on  $[Zr(\eta^5-C_5H_5)_2(N_2)\{CH(SiMe_3)_2\}]$  with  $^{28}N_2$  and  $^{30}N_2$  and the absence, in the i.r. spectrum, of any band assigned to  $\nu(N\equiv N)$ , suggest a sideways-bound (type *b*) dinitrogen, although a fast zirconyl oscillation between the two nitrogen atoms of a corresponding end-on bonded  $N_2$  complex cannot be ruled out [38].

Type (d) bonding is present, e.g., in the following complexes of  $Ni(0)$ :  $[(LiPh)_3Ni]_2(\mu, \eta^2-N_2)(OEt)_2$  [39], prepared by reaction of  $[Ni(CDT)]$  (where CDT = cyclododecatetraene) with LiPh in  $Et_2O$ , and  $[(Ph(NaOEt)_2)\{Ph_2Ni\}_2(\mu, \eta^2-N_2)NaLi_6(OEt)_4OEt_2]$  [33] which is formed when the reaction occurs also in the presence of NaPh; a partial view of the latter is depicted in fig. 2, the  $N_2$  ligand lying in the intersection of two distorted trigonal planar  $\{Ph_2Ni(\eta^2-N_2)\}$  units with each Ni atom above (by 0.05 Å) the plane approaching the

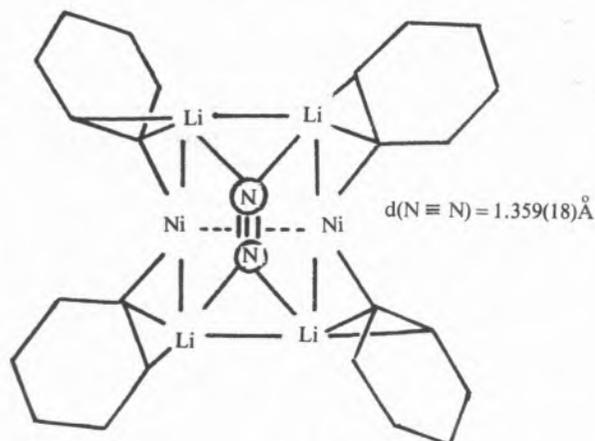


Fig. 2  
Partial view of the internal skeleton of complex  
 $[(Ph(NaOEt)_2)\{Ph_2Ni\}_2(\mu, \eta^2-N_2)NaLi_6(OEt)_4OEt_2]$

other transition metal atom. Each Ni atom and the  $N_2$  ligand also interact with a few ions of electropositive non-transition metals (lithium and sodium) through multicentered electron-deficient bondings; the negative charge at the  $N_2$  ligand is stabilized by its interaction with these metal atoms. Such a stabi-

lization with a promoting effect on the metal to dinitrogen back-bonding is also observed in other complexes where  $N_2$  bridges a transition and non-transition metals such as  $K^+$  in the hexameric  $K^+[Co(N_2)(PMe_3)_3]^-$  complex [34] and  $Mg^{2+}$  in  $[(Co(PMe_3)_3(N_2))_2Mg(thf)_4]$  [35].

The effect of the cation of the electropositive species on the activation of dinitrogen will be considered later on.

Dinitrogen bridges three transition metal atoms (mode *e* of fig. 1) in  $[(\mu_3-N_2)\{(\eta^5-\eta^5-C_{10}H_8)(\eta^5-C_5H_5)_2Ti_2\}\{(\eta^1-\eta^5-C_5H_4)(\eta^5-C_5H_5)_3Ti_2\}] \cdot [(\eta^5-C_5H_5)_2Ti(C_6H_{14}O_3)] \cdot C_6H_{14}O_3$  which is prepared by reaction of  $[\mu-(\eta^1-\eta^5-C_5H_4)(\eta^5-C_5H_5)_3Ti_2]$  with  $N_2$  in DME/diglyme; it is composed of two complex units (one with  $N_2$  and the other without this species and presenting a molecule of diglyme,  $H_3COC_2H_4OC_2H_4OCH_3$ , bonded through two oxygen atoms) and diglyme of crystallization [40]; it is unknown if the two complex units are distinct molecules co-crystallized in the unit cell or if the compound is a complex ionic salt. The complex unit with  $N_2$  and a fulvalene ( $\eta^5-\eta^5-C_{10}H_8$ ) and cyclopentadienyl ( $\eta^5-C_5H_5$  and  $\eta^1-\eta^5-C_5H_4$ ) co-ligands is depicted in fig. 3.

Dinitrogen may also bridge three atoms in a terminal mode, but they are not all transition metals:  $[(WCl(PMe_2Ph)_3P_y(\mu_3-N_2)(AlCl_2)_2)]_2$ , formed in

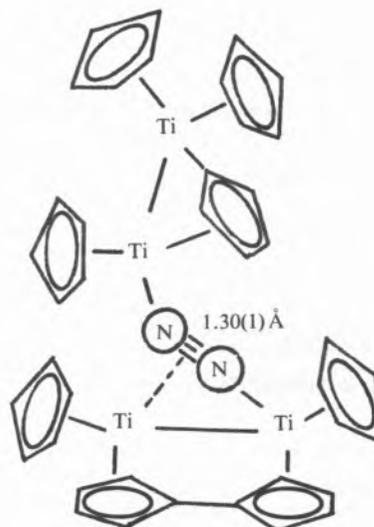
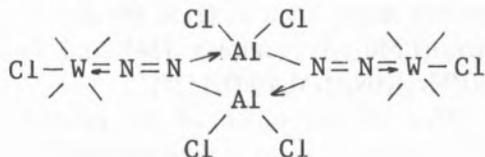


Fig. 3  
Complex unit with a bridging terminal-lateral dinitrogen ligand,  
 $[(\mu_3-N_2)\{(\eta^5-\eta^5-C_{10}H_8)(\eta^5-C_5H_5)_2Ti_2\}\{(\eta^1-\eta^5-C_5H_4)(\eta^5-C_5H_5)_3Ti_2\}]$  (see text for the complete formulation of the complex)

the reaction of *cis*-[W(N<sub>2</sub>)<sub>2</sub>(PMe<sub>2</sub>Ph)<sub>4</sub>] with AlCl<sub>3</sub>/P<sub>y</sub> in C<sub>6</sub>H<sub>6</sub>, and presenting two AlCl<sub>2</sub> bridges between the N<sub>2</sub> ligands [41]:



### 2.2.2. BONDING PARAMETERS

A slight increase of the N-N bond length (relative to the value in the free ligand) [42] usually occurs upon ligation of dinitrogen to a mononuclear metal centre (Table 3), as observed, *e.g.*, in complex *mer*-[Re(η<sup>1</sup>-S<sub>2</sub>PPh<sub>2</sub>)(N<sub>2</sub>)(CNMe)(PMe<sub>2</sub>Ph)<sub>3</sub>] [44]. However, in some multinuclear complexes, a strong lengthening may result leading to a N-N distance which lies in the expected range intermediate between the values for a double (1.23 Å) [46] and a single (1.46 Å) [48] bond. This behaviour is observed, *e.g.*, in the abovementioned Ti complex [40] with a triple N<sub>2</sub> bridge (see fig. 3) where d(N-N) = 1.30(1) Å, and in the dinuclear Ni species [33] with a bridging edge-on (side-on) N<sub>2</sub> (fig. 2) [d(N-N) =

= 1.359(18) Å]. A long N-N distance [1.30(1) Å] also occurs in the known group VB dinuclear N<sub>2</sub> complexes, *e.g.*, [[Ta(CH<sub>2</sub>Bu<sup>1</sup>)(CHBu<sup>1</sup>)(PPh<sub>3</sub>)<sub>2</sub>]<sub>2</sub>(μ-N<sub>2</sub>)] which displays a short metal-nitrogen bond corresponding to a considerable double bond character [20].

In these complexes with a long N-N distance, the ν(NN) stretching vibration, when it is observed, occurs at values which are also intermediate between the expected ones for a N-N double and single bond (Table 3). Moreover, at least a considerable lowering of ν(NN) upon N<sub>2</sub> coordination is always observed in agreement with a substantial participation of the metal to π\* dinitrogen backbonding as shown below.

### 2.2.3. BONDING ORBITALS

The *terminal* mode of bonding of N<sub>2</sub> to a metal centre may be described as for CO by the Chatt-Dewar-Duncanson model; it is the result of a N<sub>2</sub> to metal σ electron donor component with concomitant π backbonding from a filled metal t<sub>2g</sub> orbital to a π\* N<sub>2</sub> antibonding orbital (fig. 4).

Table 3  
Bonding parameters and *i.r.* (or Raman) ν (NN) data for dinitrogen ligand

Compound	d(N-N) Å	d(M-N) Å	ν (NN) cm <sup>-1</sup>	Ref.
N ≡ N (free)	1.0976(1)		2331 <sup>a)</sup>	[42,43] <sup>b)</sup>
[Re(η <sup>1</sup> -S <sub>2</sub> PPh <sub>2</sub> )(N <sub>2</sub> )(CNMe)(PMe <sub>2</sub> Ph) <sub>3</sub> ]	1.13(1)	1.83(1)	1980	[44]
[(η <sup>5</sup> -C <sub>5</sub> Me <sub>5</sub> ) <sub>2</sub> Ti] <sub>2</sub> (μ-N <sub>2</sub> )	1.16(1) <sup>c)</sup>	2.017(10) <sup>c)</sup>		[45]
Ph-N=N-Ph	1.23		1441 <sup>a)</sup>	[46,47] <sup>b)</sup>
[(μ <sub>3</sub> -N <sub>2</sub> )(η <sup>5</sup> -C <sub>10</sub> H <sub>8</sub> )(η <sup>5</sup> -C <sub>5</sub> H <sub>5</sub> ) <sub>2</sub> Ti] <sub>2</sub> [(η <sup>1</sup> :η <sup>5</sup> -C <sub>5</sub> H <sub>4</sub> )(η <sup>5</sup> -C <sub>5</sub> H <sub>5</sub> ) <sub>3</sub> Ti] <sub>2</sub> · C <sub>6</sub> H <sub>14</sub> O <sub>3</sub>	1.30(1)	1.91(1) <sup>t</sup> 2.14(1) <sup>l</sup>	1282	[40]
[[Ta(CH <sub>2</sub> Bu <sup>1</sup> )(CHBu <sup>1</sup> )(PPh <sub>3</sub> ) <sub>2</sub> ] <sub>2</sub> (μ-N <sub>2</sub> )	1.30(1)	1.837(8) 1.842(8)		[20]
[[Ph(NaOEt) <sub>2</sub> ][Ph <sub>2</sub> Ni] <sub>2</sub> (μ-N <sub>2</sub> )NaLi <sub>6</sub> (OEt) <sub>4</sub> OEt <sub>2</sub> ] <sub>2</sub>	1.359(18)	2.01(5)		[33]
H <sub>2</sub> N-NH <sub>2</sub>	1.46		1111	[48,49] <sup>b)</sup>

a) In Raman spectroscopy

b) Corresponding to d(N-N) and ν (N-N), respectively

c) Average values for two independent molecules

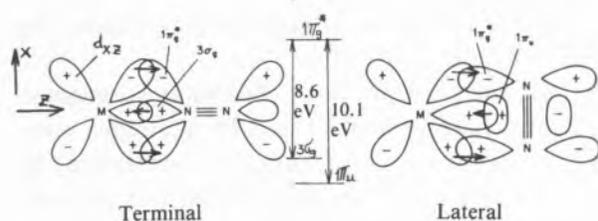


Fig. 4

Terminal and lateral (side-on) modes of  $N_2$  bonding to a transition metal

The *side-on*  $N_2$  bonding may be accounted for by the same model, but one of the  $\pi_u$   $N_2$  bonding orbitals is now the dinitrogen to metal donor orbital; the other  $\pi_u$   $N_2$  orbital which lies perpendicularly to the former may bind a second metal forming a dinuclear complex with side-on bonded  $N_2$ , the two M- $N_2$  bonds being roughly perpendicular to one another, as known for the abovementioned dinuclear Ni complexes.

Although both  $\pi_g^*$   $N_2$  orbitals may  $\pi$  accept from filled metal  $t_{2g}$  orbitals when  $N_2$  binds in a terminal mode, only one of the  $\pi_g^*$  orbitals can be involved in the bonding for a mononuclear side-on  $N_2$  complex (fig. 4), in agreement with the rare occurrence of the latter type of complexes (another argument based on energy considerations will be mentioned below). Since the  $\pi$  backbonding occurs to a ligand antibonding orbital, a weakening of the N-N bond results leading to a decrease in  $\nu(\text{NN})$  relative to the value in free  $N_2$ .

### 2.3. ELECTRON $\pi$ -DONOR AND $\sigma$ -ACCEPTOR CHARACTER OF THE METAL CENTRE

#### 2.3.1. DEPENDENCE ON THE TRANSITION METAL PERIODIC GROUP

The high stability of the  $N_2$  molecule towards coordination to a metal centre results mainly from the exceptionally low energy of the donor orbital (especially in the case of the side-on mode of bonding — see fig. 4) and the high energy difference relative to the acceptor  $\pi_g^*$  orbital.

Hence, in order to bind  $N_2$ , a metal centre should present an empty  $\sigma$  orbital with low energy and fil-

led  $t_{2g}$  orbitals at a high energy level to overlap with the correspondent ligand orbitals.

In other words, due to the weak  $\sigma$  donor character of  $N_2$ , the binding metal centre should display a considerable  $\sigma$ -acceptor character and/or a high  $\pi$  donor ability in order to compensate the weak  $\sigma$  component of the bond.

A high electron richness is also a common feature of the metal centre which favours the  $\pi$  backbonding. This component of the bond is also promoted by an energy increase of the metal filled  $d_{xz}$  and  $d_{yz}$  orbitals which are involved in the bond, since they become closer to the high energy acceptor  $\pi_g^*$  orbital of  $N_2$ . However, the  $\sigma$  acceptance of the metal centre is favoured by an energy decrease of the metal acceptor orbital.

Hence, due to the known decrease of the energy level of the d orbitals along any transition series, the  $\sigma$  acceptor character is favoured by an increase in the atomic number of the metal whereas the  $\pi$  backbonding capacity is promoted by a decrease of this number, thus occurring preferably in the first groups (IVB and VB) which are also those which present orbitals with a more diffuse character; however, the latter groups present a low number of filled d orbitals. The increase of  $\sigma$  acceptance along a transition series also agrees with the known similar trend followed by the *effective nuclear charge* (as a result of the imperfect shielding of one d electron by another) and the *electronegativity* of the metal.

These general features are summarized in fig. 5 where typical examples are shown, namely the dinuclear group VB centres with a high  $\pi$  backbonding capacity; as a result of the strong electron  $\pi$ -donation from these metals, an oxidation may result with reduction of the  $N_2$  ligand and, e.g., in complexes of the type  $[\{\text{TaCl}_3(\text{PR}_3)(\text{thf})_2(\mu\text{-N}_2)\}]$ , ligating  $N_2$  appears to behave formally as diimido [or dinitrito(4-)] species ( $\text{N}_2^{4-}$ ) whereas the metal atoms display the +5 high oxidation state, as evidenced by chemical studies which will be mentioned later on (section 3.2.1.i).

In agreement with the general trends mentioned above, the transition metals of the first groups present common high oxidation states in their usual compounds, whereas low oxidation states are usual for high group transition metals.

The presence of a positive charge at the metal results in an increase of the electron acceptor ability



tron acceptor character (or the positive charge) of the representative metal site. The bond of  $N_2$  to this site has a prominent  $\sigma$  character; however, electrostatic representative metal-reduced  $N_2$  (nitride) interactions are possible.

Hence, *group IA* cations of electropositive metals ( $Li^+$ ,  $Na^+$ ,  $K^+$ ) may ligate  $N_2$  in polynuclear structures with electron deficient multicentered bonds also involving transition metals. Examples were already quoted (section 2.2.1.): the hexameric cluster  $K[Co(N_2)(PMe_3)_3]$  and  $\{[Ph(NaOEt)_2\{Ph_2Ni\}_2(\mu, \eta^2-N_2) NaLi_6(OEt)_4OEt_2]_2\}$ .

Ionic nitrides of electropositive *IA* and *IIA* elements are known and some of them may be formed by direct reaction with  $N_2$ . Hence, *e.g.*, the dinitride(1-)  $Li^+N_2^- [\nu(N_2) = 1800 \text{ cm}^{-1}]$  and the dinitride(2-)  $Li_2^+N_2^{2-} [\nu(N_2) = 1535 \text{ cm}^{-1}]$  are formed by low-temperature matrix co-deposition of Li atoms and dinitrogen [54].

Scant examples of dinitrogen compounds with a *group IIA* metal involve  $Mg(NH_2)_2 [\nu(N_2) = 2160 \text{ s}, 2040 \text{ sh cm}^{-1}]$  (prepared by thermolysis of the azide-hydrazine compound  $Mg(N_3)_2(N_2H_4)_2$ ) [55] and some  $N_2$  species of calcium, strontium and barium obtained by acid decomposition and oxidation ( $CH_3COOH$  or  $H_2O$ ) of the corresponding pernitrides of metal(II),  $M_3N_4$  [56].

Table 4

Values of  $P_L$  ligand parameter for a variety of ligands (see text)

L	$P_L$ (volt) <sup>a)</sup>	L	$P_L$ (volt) <sup>a)</sup>
$NO^+$	1.40	$NH_3$	-0.77
CO	0.00	$CF_3COO^-$	-0.78
$N_2$	-0.07	$NCS^-$	-0.88
$P(OPh)_3$	-0.18	$CN^-$	-1.00
$CNC_6H_5Cl_2-2,6$	-0.33*	$NCO^-$	-1.16
$PPh_3$	-0.35	$I^-$	-1.15
$CNC_6H_4Cl-4$	-0.37*	$Br^-$	-1.17
CNPh	-0.38	$Cl^-$	-1.19
$CNC_6H_4CH_3-2$	-0.38*	$H^-$	-1.22
$CNC_6H_4CH_3-4$	-0.39*	$N_3^-$	-1.26
$CNC_6H_4OCH_3-4$	-0.40*	$OH^-$	-1.55
NCPH	-0.40		
CNMe	-0.43		
CNBu	-0.44*		
NCMe	-0.58		
Py	-0.59		

a) Values in volt (*versus* s.c.e.), measured in  $thf-[NBu_4] BF_4$  at a Pt electrode. All values taken from reference [59] except those denoted by \* which are given by reference [60].

Dinitrogen may also bridge a transition metal and a  $Mg(II)$  moiety such as in  $\{[Co(PMe_3)_3(N_2)]_2 Mg(thf)_4\}$  [35] and in various titanium and vanadium species,  $[Ti(\eta^5-C_5H_5)_2(NNMgCl)] [\nu(N_2) = 1255 \text{ cm}^{-1}]$  and possible  $[(thf)ClM(NNMgCl)]$  ( $M = Ti$  or  $V$ ), which are intermediate in the reduction of  $N_2$  to hydrazine, ammonia or organonitrogenated compounds (see section 3.2.1.i).

Electrophilic attack of a *group IIIA* Lewis acid to a dinitrogen ligand may lead to dinitrogen bridging species as shown in section 3.2.3.

Dinitrogen may also ligate a *group IVA* metal centre as in  $[MX_2N_2]$  prepared in low temperature matrix studies by condensation of  $N_2$  with the unsaturated dihalides  $MX_2$  ( $M = Sn, Pb$ ;  $X = \text{halide}$ ) (an analogous reaction occurs for  $HgX_2$  [57]). The prominent  $\sigma$  character of the metal- $N_2$  bonds evidenced by the positive shift of  $\nu(CO)$  which occurs on coordination of carbon monoxide to form the analogous compounds  $[MX_2(CO)]$ .

## 2.4. — ELECTRON RICHNESS

### 2.4.1. ELECTROCHEMICAL QUANTIFICATION

The common high electron richness of a mononuclear dinitrogen binding metal centre (resulting, *e.g.*, from the low metal oxidation state and the presence of electron donor co-ligands) is patent since its generation. Hence, the most general preparative route of dinitrogen complexes from direct reaction with  $N_2$  consists in the reduction of a metal species by a strong reducing agent until  $N_2$  binds in a late stage of the reduction when an electron-rich centre is available — see, *e.g.*, the synthesis of *trans*- $[Mo(N_2)_2(dppe)_2]$  by reduction of  $[MoCl_5]_2$  by  $Na(Hg)$  or  $Mg$  in the presence of *dppe* and under  $N_2$  [58].

Although the *i.r.*  $\nu(N_2)$  value may be considered as an indicator of the electron rich character of the binding metal centre [which is favourable to  $\pi$  back-bonding and, thus, to a decrease in  $\nu(N_2)$ ], the coupling of the  $N \equiv N$  to other group stretching vibrations and other effects (*e.g.*, dependence on the  $\sigma$  component) lead to a somewhat unreliable character in the use of this parameter.

The ready chemical or electrochemical oxidation of the dinitrogen complexes also results from the high electron richness of the metal centre and on the basis of the half-wave oxidation potential ( $E_{1/2}^{ox}$ ) values, a criterium for the quantification of the elec-

tron rich character of the centre was proposed [59]. Square pyramid 16-electron metal sites  $\{M_s\}$  were considered and their *electron-richness* ( $E_s$ ) was defined [59] by the half-wave oxidation potential of the carbonyl complex:

$$E_s = E_{1/2}^{\text{ox}}[M_s(\text{CO})] \quad (1)$$

The greater the electron rich character of the site, the easier its oxidation and hence the lower the  $E_s$  value will be.

A linear correlation was observed [59] between  $E_{1/2}^{\text{ox}}$  of the elements of a series of 18-electron octahedral-type complexes  $[M_sL]$  (where L varies along the series) and  $E_{1/2}^{\text{ox}}$  of their homologues in a isoelectronic and isostructural series of pentacarbonylchromium complexes:

$$E_{1/2}^{\text{ox}}[M_sL] - E_{1/2}^{\text{ox}}[M_s(\text{CO})] = \beta \cdot \{E_{1/2}^{\text{ox}}[\text{Cr}(\text{CO})_5L] - E_{1/2}^{\text{ox}}[\text{Cr}(\text{CO})_6]\} \quad (2)$$

The slope,  $\beta$ , of the line is a measure of the sensitivity of the energy of the HOMO orbital to a change of L ligand is called *polarisability* of the metal centre.

The difference between  $E_{1/2}^{\text{ox}}[\text{Cr}(\text{CO})_5L]$  and  $E_{1/2}^{\text{ox}}[\text{Cr}(\text{CO})_6]$  is a measure of the net electron donor character of the ligand and is denoted by  $P_L$  (*ligand parameter*) (equation 3): the higher this character, the lower  $E_{1/2}^{\text{ox}}[\text{Cr}(\text{CO})_5L]$  is and, hence, the lower (usually the more negative) the  $P_L$  value becomes; high  $P_L$  values correspond to ligands which behave as strong net electron acceptors.

$$P_L = E_{1/2}^{\text{ox}}[\text{Cr}(\text{CO})_5L] - E_{1/2}^{\text{ox}}[\text{Cr}(\text{CO})_6] \quad (3)$$

Hence, since  $E_{1/2}^{\text{ox}}[M_s(\text{CO})]$  is the  $E_s$  electron-richness parameter of the metal site, equation (2) becomes, upon rearrangement:

$$E_{1/2}^{\text{ox}}[M_sL] = E_s + \beta \cdot P_L \quad (4)$$

$P_L$  values have already been quoted [59,60] for a variety of ligands (see Table 4) and, *e.g.*, CO,  $N_2$  and CNR (isocyanides) behave, in this order, as strong net electron acceptors (high  $P_L$  values) whereas the anionic ligands such as thiocyanate ( $\text{NCS}^-$ ), halides, hydride and hydroxide present a strong net electron donor character (low  $P_L$  values).

Linear relationships of the type of equation 4 have been experimentally observed [59,60] for a variety

of 16-electron dinitrogen binding metal centres and the estimated  $E_s$  and  $\beta$  values are shown in Table 5.

Table 5  
Values of  $E_s$  and  $\beta$  for a variety of 16-electron square pyramid dinitrogen-binding metal sites

$\{M_s\}$	$E_s$ (volt) <sup>a)</sup> (vs s.c.e.)	$\beta$
$\{\text{Mo}(\text{NO})(\text{dppe})_2\}^+$	+0.91	0.51
$\{\text{Mo}(\text{CO})(\text{dppe})_2\}$	-0.11	0.72
$\{\text{Mo}(\text{N}_2)(\text{dppe})_2\}$	-0.13	0.84
$\{\text{Mo}(\text{NCPH})(\text{dppe})_2\}$	-0.40	0.82
$\{\text{Mo}(\text{N}_3)(\text{dppe})_2\}^-$	-1.00	1.0
$\{\text{FeH}(\text{dppe})_2\}^+$	+1.04	1.0
$\{\text{Re}(\text{N}_2)(\text{dppe})_2\}^+$	+1.20	0.74
$\{\text{ReCl}(\text{dppe})_2\}$	+0.68*	3.4*

a) Values in volt (*versus* s.c.e.), measured in  $\text{thf}-[\text{NBu}_4]\text{BF}_4$  at a Pt electrode. All values taken from reference [59] except those denoted by \* which are given by reference [60].

The Mo(0) centres present a higher electron richness (lower  $E_s$  values) than the Fe(II) and Re(I) sites and, within a group with a common metal, the anionic centres are more electron rich than the neutral ones. On the basis of these electrochemical parameters, it is possible to propose dinitrogen coordination and chemical reactivity criteria; the latter will be exemplified along the text but the former may now be considered.

Hence, metal sites with a high electron richness (low  $E_s$  values) bind strong electron acceptor ligands (with high  $P_L$  values) such as  $N_2$ , CO or CNR as it is observed for the  $\{\text{Mo}(\text{N}_2)(\text{dppe})_2\}$  metal centre ( $E_s = -0.13$  V).

However,  $N_2$  may bind sites with  $E_s$  values falling in the  $-1.3$  to  $+1.3$  V range, but when the centre presents a high  $E_s$  value, the ligand which is *trans* to  $N_2$  behaves as a strong net electron donor (such as halide or hydride) thus presenting a low  $P_L$  value; it is then experimentally observed that such a metal site has a high polarisability ( $\beta$ ). A typical example is given by  $\{\text{ReCl}(\text{dppe})_2\}$  (with high  $E_s$  and  $\beta$  values of  $+0.68$  V and  $+3.4$ , respectively) [60] which may bind  $N_2$  in *trans*- $[\text{ReCl}(\text{N}_2)(\text{dppe})_2]$  where the strong net electron donor chloride ligand ( $P_L = -1.19$  V) is *trans* to  $N_2$ .

Based on these observations, one may propose that *high electron-richness (low  $E_s$  value) and high polarisability (high  $\beta$  value) of a metal centre favour dinitrogen coordination* [59].

## 2.4.2. CO-LIGAND EFFECT

The dinitrogen bonding to a metal centre may be favoured by the presence, in *trans* position, of a strong net electron donor co-ligand.

The electron donating power of the ligand *trans* to  $N_2$  enhances the metal to dinitrogen  $\pi$  backbonding, thus stabilizing the M- $N_2$  bond and promoting the coordination of dinitrogen.

*Chloride* and *dithiophosphinate* ( $S_2PPh_2$ ) *trans* to  $N_2$  are strong M- $N_2$  bond stabilizers as evidenced, e.g., by the stability of the complexes *trans*-[ReCl( $N_2$ ) (dppe) $_2$ ], *mer*-[ReCl( $N_2$ ) (CNMe)P(OMe) $_3$ ] $_3$  [61] and *mer*-[Re( $\eta^1$ - $S_2PPh_2$ ) ( $N_2$ ) (CNMe) $_x$ (PMe $_2$ Ph) $_{4-x}$ ] ( $x = 1$  or  $2$ ) [14], the  $N_2$  ligand binding a site which may present up to two strong competitors (CNMe) for the  $\pi$  backbonding. Chloride is a known  $\pi$  donor and a weak field ligand: interaction of a filled  $p$  chloride orbital with a  $t_{2g}$  metal orbital results in a destabilization of the latter with a decrease of the energy difference ( $\Delta$ ) relative to the empty  $e_g^*$  orbitals; the increase in energy of the  $t_{2g}$  metal orbitals favours the electron  $\pi$  donor capacity ( $\pi$  backbonding ability) of the metal centre to the  $\pi_g^*N_2$  orbitals. This stabilizing effect on the M- $N_2$  bond by a  $\pi$  donor ligand in *trans* position may also be rationalized by some simplified  $\pi$ -molecular orbital schemes: an increase of the number of filled M- $N_2$  bonding (and N-N antibonding) character orbitals results from the electron  $\pi$  release from the  $\pi$  donor ligand [62].

*Hydride* is also a ligand which tends to be in a *trans* position relative to  $N_2$  in hydridic dinitrogen complexes such as the trigonal bipyramid [CoH( $N_2$ ) (PPh $_3$ ) $_3$ ] [30] and the square planar [RhH( $N_2$ ) (PPhBu $_2$ ) $_2$ ] [31] species.

It is a strong net electron donor ligand [ $P_L(H^-) = -1.22$  V], comparable to chloride [ $P_L(Cl^-) = -1.19$  V], although without a  $\pi$  donor capacity. It presents a high *trans* effect (through a  $\sigma$  mechanism) which favours the bonding, in *trans* position, of a weak  $\sigma$  donor (and strong  $\pi$  acceptor) ligand rather than a strong  $\sigma$  donor (and weak  $\pi$  acceptor) species which may compete with the hydride by the metal  $\sigma$  orbitals.

The higher tendency of the hydride ligand to be *trans* to  $N_2$  rather than to a phosphine (see the above-mentioned Co(I) and Rh(I) complexes) evidences the weaker  $\sigma$  donor (and stronger  $\pi$  acceptor) character of dinitrogen relative to a phosphine ligand.

The  $\sigma$ -donor hydride ligand may promote, through a synergic effect, the  $\pi$ -backbonding ability of the metal centre to the *trans*  $N_2$  ligand.

In a high electron rich metal centre dinitrogen may also bind to the metal even in the presence, in *trans* position, of a strong electron acceptor competitor, such as carbonyl; however, the metal-dinitrogen bond then presents a high lability.

This behaviour is observed for the [Mo(CO) (dppe) $_2$ ] site ( $E_s = -0.11$  V) which can bind reversibly  $N_2$  to afford the labile *trans*-[Mo( $N_2$ ) (CO) (dppe) $_2$ ] species (the  $N_2$  ligand is evolved by just bubbling argon through a solution of this complex) [63].

The effect of other co-ligands, such as the strong net electron donor methoxide anion (from methanol solvent dissociation), on the activation towards protonation of dinitrogen derived ligands (e.g., the hydrazido(2-) species, NNH $_2$ ) will be mentioned in later sections (3.2.).

## 3 — CHEMICAL PROPERTIES

The chemical behaviour of dinitrogen-binding metal centres is usually mainly dependent on their electronic properties which were discussed in the previous sections.

However, structural features related, e.g., to the geometry or to the unsaturation of the binding centre due to the lability of  $N_2$ , may also play a role, as well as some stereochemical factors as shown by the following example.

Although the bis(diphosphinic) complexes [FeHX (depe) $_2$ ] and [FeHX (dppe) $_2$ ] are known, the penta-coordinated tetraphosphinic species [FeH (tetraphos)]X [tetraphos = Ph $_2$ PC $_2$ H $_4$ P(Ph)C $_2$ H $_4$ P(Ph)C $_2$ H $_4$ PPh $_2$ ; X $^-$  = Br $^-$ , I $^-$ ] is ionic and does not bind the X $^-$  halide although  $N_2$  may ligate the metal site to afford [FeH( $N_2$ ) (tetraphos)]X. As evidenced [24] by X-ray data the inability of the halide to bind is due to a stereochemical hindrance of the phenyl rings and  $N_2$  (with an atomic radius which is smaller than the halide ionic radius) may ligate the metal site in preference to the halide.

## 3.1. — CHEMICAL REACTIVITY OF DINITROGEN BINDING METAL SITES

The evolution of  $N_2$  from a dinitrogen complex (e.g., by photolysis) may constitute a convenient way to generate a dinitrogen binding metal centre;

this may also be formed by following the synthetic steps for a dinitrogen complex in the absence of  $N_2$ , under argon atmosphere.

However, the direct study of the metal centre is usually hampered by its high reactivity which prevents its isolation, although a very limited number of examples are known where this isolation was achieved.

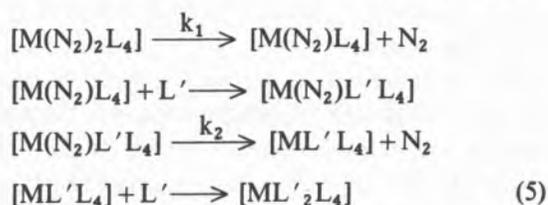
### 3.1.1. SIMPLE ADDITION REACTIONS

Simple addition reactions to a metal centre following  $N_2$  evolution correspond to the replacement of this ligand in a dinitrogen complex.

In metal centres which present a *high  $\pi$ -backbonding capacity* (groups IVB to VIIB(Re)), the known examples of  $N_2$  replacement involve preferably strong  $\pi$  acceptor ligands such as CO, CNR, NCR or  $C_2H_4$ , as observed in the  $N_2$  substitution reactions of  $[M(N_2)_2L_4]$  ( $M=Mo$  or  $W$ ;  $L$ =tertiary  $\sigma$  donor

phosphine or  $\frac{1}{2}$  dppe) by isocyanides [64,65] or carbon monoxide [66].

The mechanism of this type of reactions was studied [67] at the  $Mo(0)$  and  $W(0)$  phosphinic metal sites and  $N_2$  loss was shown to be the rate limiting step followed by addition of the incoming ligand ( $L'$ ) to the unsaturated pentacoordinated  $[M(N_2)L_4]$  centre; the replacement of the second  $N_2$  ligand also

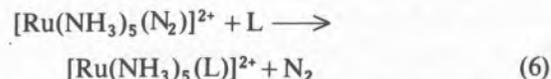


follows a dissociative mechanism with dinitrogen loss being the rate controlling step (equations 5).

Although  $N_2$  is readily replaced by a strong  $\pi$  acceptor in complex  $[Mo(N_2)_2(dppe)_2]$ , the  $\sigma$  donor  $NH_3$  species behaves as a labile ligand affording the unstable aminocomplex  $[No(N_2)(NH_3)(dppe)_2]$  which was only detected in solution by electrochemical techniques [59].

However, when the metal centre presents a *lower electron  $\pi$  releasing character but a high  $\sigma$ -acceptor capacity* [mainly for groups VIIB (Mn) and VIII, although examples for group VIB are also known],  $N_2$  may be replaced by  $\sigma$ -donor ligands without  $\pi$  withdrawing ability.

Hence, the pentaminoruthenium(II) moiety in  $[Ru(NH_3)_5(N_2)]^{2+}$  may readily bind a sixth molecule of  $NH_3$  to give  $[Ru(NH_3)_6]^{2+}$  through an irreversible replacement of  $N_2$  (reaction 6) [68].

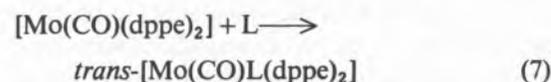


The  $N_2/NH_3$  competition for a metal site presents some catalytic meaning since  $NH_3$ , a product of reduction, has to be replaced by  $N_2$  in order to complete the catalytic cycle of  $N_2$  reduction to  $NH_3$ .

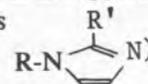
The unsaturated metal centres involved in these reactions were not isolated, but in the following examples the *isolation* was possible.

The square pyramid [63] pentacoordinated carbonyl species  $[Mo(CO)(dppe)_2]$ , generated, *e.g.*, by  $N_2$  evolution from the dinitrogen parent complex, undergoes addition reactions with a variety of (electron donor) Lewis bases not only with  $\pi$ -acceptor ability (carbon monoxide, nitriles) but also without this capacity (ammonia, amines) or even with a  $\pi$ -donor character (amides\*, imidazoles) (reactions 7) [69].

The presence of the strong electron  $\pi$ -acceptor CO ligand renders the  $Mo(0)$  metal centre susceptible to  $\pi$ -acceptance from convenient  $\pi$ -donor ligands, although without complete loss of the  $\pi$ -backbonding ability and of the  $\sigma$ -acceptor character which, as shown previously, appear to be important features of the  $N_2$  binding transition metal sites.



( $L=CO, N_2, C_2H_4, NCR, NH_3$ , amines, pyridines, amides  $RR'NC(R'')O$ , imidazoles

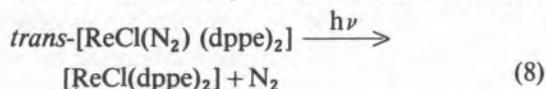


Another rare example of structural characterization of an unsaturated intermediate involved in  $N_2$  substitution reactions, or related ones, was recently reported [70]. It is a trigonal bipyramid  $Re(I)$  species,  $[ReCl(dppe)_2]$ , prepared by photolysis of the octa-

\* The low i.r.  $\nu(CO)$  values ( $\sim 1680 \sim 1720 \text{ cm}^{-1}$ ) observed in the amide complexes suggest that these ligands are behaving not only as  $\sigma$ -donors but also as  $\pi$ -donors:



hedral parent  $N_2$  complex, through  $N_2$  loss and a structural change (equation 8). The reaction is



irreversible and it demonstrates another *fundamental requirement for binding of dinitrogen*: the *geometry* of the metal centre, *i.e.*, the presence of convenient co-ligands at a metal with a favourable oxidation state is not enough for  $N_2$  coordination.

Although the  $\{\text{ReCl(dppe)}_2\}$  centre is not susceptible to bind  $N_2$ , it presents a high reactivity and can coordinate stronger ligands than  $N_2$  such as isocyanides [70].

### 3.1.2. OXIDATIVE ADDITION REACTIONS

The  $N_2$  binding metal centres are particularly susceptible to oxidative addition reactions, leading, *e.g.*, to the formation of metal-carbon or metal-hydrogen bonds. \*These reactions are favoured by the electron-rich character and the unsaturation of the metal centres.

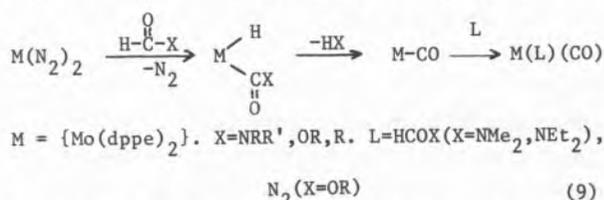
#### (i) Addition of C-H bond

*Orthometalation* reactions are known since long in the chemistry of dinitrogen complexes and they are believed to be involved, *e.g.*, in the  $[\text{CoH(N}_2\text{)(PPh}_3\text{)}_3]$  plus  $D_2$  system with exchange between deuterium atoms and the hydrogen atoms of the hydride ligand and of the *ortho* positions in the phosphinic phenyl rings. The postulated [72] mechanism involves a reversible oxidative addition of the *ortho* C-H bond of the phenyl groups to the unsaturated metal centre derived from  $N_2$  evolution. This type of study may be of biological significance since HD formation occurs during the enzymatic  $N_2$  reduction under an  $N_2/D_2$  atmosphere.

Other more recent examples of orthometalation reactions of  $N_2$  binding centres have been reported: the  $\{\text{Mo(PMe}_3\text{)}_5\}$  site (generated by loss of  $\text{PMe}_3$  from  $[\text{Mo(PMe}_3\text{)}_6]$  with a high stereochemical tension among the phosphine ligands) which may ligate  $N_2$  can undergo two reversible orthometalation

reactions (the second one upon loss of another phosphine ligand) [73]; the postulated  $[\text{ReH(dppe)}_2]$  species, derived by  $N_2$  evolution from the parent dinitrogen complex, undergoes orthometalation and other oxidative addition reactions such as C-D addition from  $C_6D_6$  to yield  $[\text{ReH(D)(C}_6\text{D}_5\text{)(dppe)}_2]$  which, under  $N_2$ , affords  $[\text{ReD(N}_2\text{)(dppe)}_2]$  upon  $C_6D_5H$  loss [74].

Oxidative addition of C-H bond is probably also involved in *decarbonylation* reactions of aldehyde-type species by  $\text{trans-[Mo(N}_2\text{)}_2\text{(dppe)}_2\text{]}$ : formamides ( $\text{HCONRR}'$ ), formate esters ( $\text{HCOOR}$ ) and aldehydes ( $\text{RCHO}$ ) are decarbonylated to amines ( $\text{RR}'\text{NH}$ ), alcohols ( $\text{ROH}$ ) and alkanes ( $\text{RH}$ ), respectively, with formation of carbonyl complexes [75]. The proposed [75] reaction scheme is shown by equations (9), and it involves loss of  $N_2$  and oxidative addition of C-H followed by reductive elimi-



nation of the decarbonylated species. The C-H bond addition is evidenced by the unreactivity of acetamides  $\text{MeC(CO)NRR}'$  (which do not present such a bond) and the evolution of a small amount of  $H_2$ , during the reactions, in agreement with the possible involvement of a hydridic species.

Primary alcohols may also undergo decarbonylation by the same  $\text{Mo(0)}$  metal centre and by the postulated [76]  $\{\text{ReCl(dppe)}_2\}$  species derived by  $N_2$  loss from the parent dinitrogen complex. The mechanism was not studied but may involve dehydrogenation of alcohol to aldehyde which then undergoes decarbonylation.

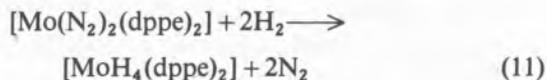
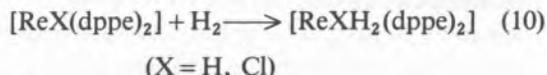
Decarbonylation and dehydrogenation of hydroaromatic compounds may also be achieved [75] by the  $[\text{Mo(N}_2\text{)}_2\text{(dppe)}_2]$  complex and, *e.g.*,  $[\text{Mo(CO)}_2\text{(dppe)}_2]$  and  $[\text{MoH}_4\text{(dppe)}_2]$  are formed from reaction of the dinitrogen complex with thf under heating; this reaction probably occurs *via* saturated carbon-hydrogen bond activation.

#### (ii) Hydrogenation

The ready hydrogenation of unsaturated centres with formation of polyhydridic species, illustrated by reaction (10) [74,76], corresponds to the known

\* Other types of addition reactions are known and *e.g.*,  $\text{trans-[Mo(NO)(NCO)(dppe)}_2\text{]}$  is formed from the reaction of  $\text{trans-[Mo(N}_2\text{)}_2\text{(dppe)}_2\text{]}$  with *N*-methyl-*N*-nitrosourea,  $\text{MeN(NO)C(O)NH}_2$ , which is postulated to occur via the intermediate  $[\text{Mo(NO)[N(Me)C(O)NH}_2\text{]}(\text{dppe)}_2]$  which, upon loss of  $\text{NH}_2\text{Me}$ , yields the final product [71].

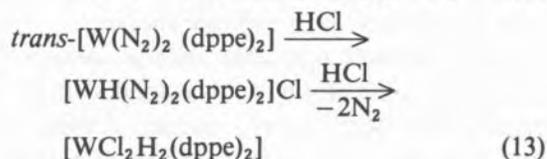
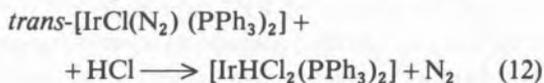
oxidative substitution (which may be reversible) of  $N_2$  by  $H_2$ , exemplified by reaction (11) [66,77].



This type of study may present some biological interest since  $H_2$  is a competitive inhibitor of the enzymatic nitrogen fixation. Moreover, since  $NH_3$  is industrially synthesized from  $N_2$  and  $H_2$ , it would be interesting to study the interaction between  $N_2$  and hydride ligands bonded at a metal site with possible hydrogenation of the former which, however, was not yet achieved.

(iii) *Oxidation reactions by protic acid or organohalide*

Dinitrogen complexes usually react with protic acids which attack the metal centre (leading, e.g., to protonation or halogenation). Dinitrogen evolution occurs commonly as the result of the metal oxidation, and oxidative addition reactions are often involved as shown in equations (12) [78] and (13) [79].



If the metal centre presents a high reducing power as in  $trans-[Mo(NCS)(N_2)(dppe)_2]^-$  with the strong net electron donor thiocyanate ligand [ $P_L(NCS^-) = -0.88$  V], solvated protons may be reduced to dihydrogen with oxidation of the centre leading, in this example, to unidentified and unstable oxidized species [80].

Mechanistic studies performed on the reactions of  $trans-[M(N_2)_2(dppe)_2]$  (M = Mo or W) with weak acids in thf evidence the initial outer-sphere association of the acid electrophile to the metal centre which is followed by protonation at the  $N_2$  ligand. However, since these reactions lead to attack at ligating dinitrogen they will be mentioned in section 3.2.1.ii.

Organohalides also present an oxidizing effect on the metal centre, e.g.,  $\{ML(dppe)_2\}$  (M = Mo or W; L =  $NCS^-$ ,  $N_2$ , NCR,  $N_3^-$ ), the oxidation occurring through an outer-sphere electron transfer process if the centre presents a high reducing power (e.g., for L =  $NCS^-$ ) or *via* an inner-sphere electron transfer mechanism for metal centres with a lower reducing power and presenting a labile L ligand (e.g., for L =  $N_2$  or NCR); however these reactions involve the  $N_2$  ligand and will be treated in section 3.2.2.i.

### 3.1.3. CATALYTIC REACTIONS

Due to the common high lability of the  $N_2$  ligand, its complexes may behave as precursors for unsaturated metal centres; their chemical properties were already mentioned and they account for their active role in catalytic reactions such as hydrogenation, isomerization, oligomerization and polymerization of olefins. The subject has been reviewed [81] and only a few examples are now cited briefly.

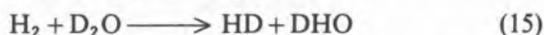
$[RuH_2(N_2)(PPh_3)_3]$  catalyses the double bond migration of 1-pentene to 2-pentene,  $[RuH_2(PPh_3)_3]$  being the active species;  $N_2$  presents an inhibiting effect due to the competition with the olefin for this unsaturated site [82]. The reaction may possibly proceed through a hydrogen  $\beta$ -elimination to give an  $\eta^3$ -allyl ligand or through a migratory insertion of hydride into the olefin double bond followed by an hydrogen  $\beta$ -elimination.

The lability of a co-ligand may also induce the catalytic activity and the *cis* to *trans* isomerization of 2-pentene by  $[CoH(N_2)(PPh_3)_3]$  may involve the proposed active  $[CoH(N_2)(\eta^2-cis-2-pentene)(PPh_3)_2]$  species; the catalytic activity of the system is promoted by the presence of  $N_2$  which favours dinitrogen coordination, the  $N_2$  ligand presenting a labilizing effect on the *trans*-2-pentene ligating product [88,83]. The mechanism may conceivably involve a migratory insertion of hydride into the olefin double bond followed by a rearrangement and an hydrogen  $\beta$ -elimination.

*Supported* titanocene-type species (on a styrene-divinylbenzene polymer) which can reduce  $N_2$  (at ca. 100 atm) to  $NH_3$ , although in a non-catalytic low yield (<0.55 mol  $NH_3$ /mol Ti) exhibit catalytic activity in the hydrogenation, isomerization and epoxidation of olefins, which appears to be promoted by the bonding, through substituted cyclopentadienyl moieties, of the Ti centres to the polymer

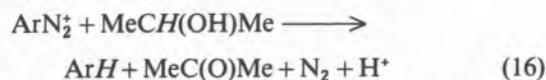
which prevents the formation of inactive dimers [84]. The activation of  $N_2$  is postulated [84] to result from bonding to two Ti centres which are held in position by a methylene bridge between two cyclopentadienyl-type ligands (one at each Ti centre).

*H-D exchange reactions* between  $D_2O$  and aromatic hydrogens [of aromatic hydrocarbons  $PhX$  where  $X = F, CH_3, OCH_3, COCH_3, N(CH_3)_2$ ] (equation 14) and between  $D_2O$  and  $H_2$  (equation 15) are catalysed by  $[RhH(PPr^i)_3]$  — which is isoelectronic and related to  $[RhH(N_2)(PR_3)_2]$  — and  $[Rh_2H_2(PCy_3)_4(\mu-N_2)]$  [85].



These reactions involve O-D and aromatic C-H (or H-H) bond activation and the mechanism, which was not studied in detail, is suggested [85] to be initiated by O-D oxidative addition to an unsaturated centre (formed by  $N_2$  or phosphine loss) to give  $[Rh\ddot{H}DL_2]^+OD^-$  which, upon reductive elimination of DHO, affords  $[RhDL_3]$ ; this species may undergo oxidative addition of C(Ar)-H or of H-H bond (for reactions with ArH or  $H_2$ , respectively) to give  $[RhHD(Ar)L_2]$  or  $[RhDH_2L_2]$ ; reductive elimination of the exchange products, ArD or HD, respectively, regenerate the active  $[RhHL_3]$  centre [85].

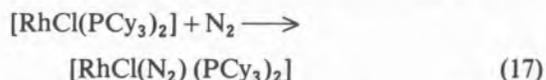
*Aryldiazonium* species  $ArN_2^+Cl^-$  ( $Ar = Ph, p-ClC_6H_4, p-MeC_6H_4, p-MeOC_6H_4, p-O_2NC_6H_4$ ) may be catalytically reduced to hydrocarbons ArH by primary or secondary alcohols ROH ( $R = Me, Et, Pr^i$ ) in the presence of the catalyst precursor *trans*- $[W(N_2)_2(dppe)_2]$  [86]. The  $\alpha$ -hydrogens of the alkyl group of the alcohol are the hydrogen source as evidenced by studies with deuterated alcohols and the C-H bond rupture appears to be the rate limiting step; a carbonyl group is formed and ketone was detected in the reaction with isopropanol (equation 16).



The reaction proceeds at ambient temperature and is selective; in the absence of catalyst, it only occurs with heating and also leads to the formation of phenolic ethers [86].

It is also possible to recognize, in various effective catalytic systems, the *involvement of  $N_2$  binding* (or related species) *intermediates*.

Hence, the Rh(I) Wilkinson catalyst for the hydrogenation of olefins involves the active unsaturated centre  $[RhCIL_2]$  — formed, *e.g.*, by ligand evolution from  $[RhCIL_3]$  ( $L =$  monophosphine such as  $PPh_3$ ) — which may bind  $N_2$  (reaction 17) [87a].



The  $[RhCIL_2]$  centre undergoes an oxidative addition reaction of  $H_2$  to afford a dihydride complex which binds the olefin; a migratory insertion of hydride into the olefin double bond (to give an alkyl derivative) is followed by a reductive elimination of the alkane product with regeneration of the active  $[RhCIL_2]$  site [87b].

### 3.2. ACTIVATION AND REACTIVITY OF DINITROGEN

Although the free  $N_2$  molecule is non-polar, on coordination it undergoes an *electronic polarization* (as a result of the different intensities of the  $\sigma$  and  $\pi$  components and of the distinct orbitals involved in the bond) as evidenced by the high intensity of the i.r.  $\nu(N_2)$  band and by ESCA spectroscopic studies [88], *e.g.*, in  $[ReCl(N_2)(dppe)_2]$  where the two nitrogen atoms exhibit distinct N-1s emissions at 397.9 and 399.9 eV (whereas the free  $N_2$  molecule presents a single emission at 411 eV), suggesting a charge difference of 0.4 e between the two N atoms of the dinitrogen ligand.

When binding a *transition metal centre with a high  $\pi$ -backbonding capacity* [with a group IVB to VIB metal or with Re(I)], the  $N_2$  molecule acquires a negative electronic charge density and is activated towards *attack by electrophiles* which occur at the *exo* nitrogen atom; i.r.  $\nu(N_2)$  then occurs at a *low value* (typically below  $1980\text{ cm}^{-1}$ ) and the oxidation of the complex is observed at a *low  $E_{1/2}^{ox}$*  (below *ca.* 0 Volt vs. s.c.e., at a Pt electrode, in *thf*- $[NBu_4][BF_4]$ ); the electrophilic attack may also involve the metal centre [89,59].

However, when the metal site presents a *weak  $\pi$ -backbonding ability*,  $N_2$  may be susceptible to attack by a *nucleophile* at the *endo* N atom, the i.r.  $\nu(N_2)$  occurring at values (*e.g.*,  $> 2100\text{ cm}^{-1}$ ) which lie above those reported for the previous type of activation and the complexes presenting higher  $E_{1/2}^{ox}$  (above *ca.* +0.8 V); the nucleophilic attack may also occur at the metal centre [89,59].

These reactions may lead to the formation of N-H (hydrides of nitrogen) and N-C (organonitrogenated species) bonds which, generally, will be mentioned separately.

Our attention will be focussed mainly on the systems where intermediate species were isolated or which have been subject to mechanistic studies since they present the richest information on the involved metal sites.

Hence, aqueous systems (which have been reviewed) [90] will not be treated due to the yet so speculative nature and controversy of the hypotheses which have been put forward; no  $N_2$  complex and no intermediate reduced species was isolated. The most effective catalytic systems at ambient temperature and pressure involve molybdenum (metal present in nitrogenase) and may be cited:  $MoO_4^{2-}$ /peptide chain component of bovine insuline/ $NaBH_4$  (yield of *ca.* 70 mol  $NH_3$ /Mo) [91] and, more recently, Mo(III)/Na(Hg)/ $Mg^{2+}$ /phospholipid in alcoholic medium (yield of *ca.* 80 mol  $NH_3 + N_2H_4$ /Mo) [92].

### 3.2.1. FORMATION OF HYDRIDES OF NITROGEN

#### (i) Polynuclear complexes

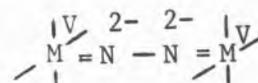
A high activation of dinitrogen towards protonation may result from its simultaneous coordination to two, or even more, metal centres. This behaviour appears to be observed typically for *the extreme transition metal groups (IVB, VB and VIII), and cations of the less electronegative elements (Li<sup>+</sup>, Na<sup>+</sup>, K<sup>+</sup> and even Mg<sup>2+</sup> or MgX<sup>+</sup>)* may be involved in the activation since they may stabilize the negative charge accumulated at dinitrogen from the transition metal electron  $\pi$ -release.

Hence, *e.g.*, a high yield of ammonia (*ca.* 90%) and some hydrazine are formed by aqueous hydrolysis of complex  $[(\mu_3-N_2)\{(\eta^5-C_5H_5)_2Ti\}_2\{(\eta^1-\eta^5-C_5H_4)(\eta^5-C_5H_5)_3Ti\}_2] \cdot [(\eta^5-C_5H_5)_2Ti(C_6H_{14}O_3)] \cdot C_6H_{14}O_3$  (see section 2.2.1. and fig. 3) in diglyme [40]; the  $N_2$  ligand bridges three Ti centres and presents a long N-N bond.

Hydrazine (86% yield) is also obtained from the reaction of  $[(Zr(\eta^5-C_5Me_5)_2(N_2))_2(\mu-N_2)]$  with HCl in toluene at  $-80^\circ C$  and by using  $^{15}N$  labelled terminal  $N_2$  ligand it was shown that both terminal and  $N_2$  generate the hydrazine [93].

Bridging  $N_2$  in group VB dinuclear complexes present a high N-N bond elongation (which corresponds to a bond length which is intermediate bet-

ween a single and a double bond, as mentioned in section 2.2.) and, *e.g.*, hydrazine is formed on protonation of  $[(Cl_3L_2M)_2(\mu-N_2)]$  ( $M = Nb, Ta$ ;  $L = thf, PR_3$ ); the same complexes react with acetone to give dimethylketazine,  $Me_2C=N-N=CMe_2^*$ , and these results evidence the localization of four formal negative charges at the bridging  $N_2$  ligand which is reduced as a result of the extensive  $\pi$ -backbonding from the metal atoms which then present a formal high oxidation state (+5) [94]. The ligating  $N_2$  may then be viewed [94] as a diimido (or dinitride(4-)) species:



and the bonding and activation of  $N_2$  may be rationalized by known simplified  $\pi$ -MO schemes [81].

In these systems a direct reduction of the  $N_2$  ligand is observed without the addition of a reducing agent.

However, the activation of  $N_2$  ligating group IVB or VB metal centres may not be enough to induce further protonation which often requires the help of an external reducing agent (such as a Grignard reagent or sodium naphthalene  $NaC_{10}H_8$ ); this species may promote the reduction of the  $N_2$  ligand by the transition metal centres through the direct reduction of the latter and/or by direct interaction with ligating  $N_2$  thus enhancing the  $\pi$ -electron release from the transition metal centres.

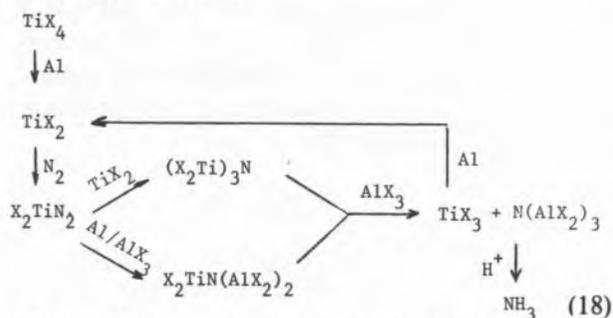
Interesting examples are provided by the following systems where the reduction of  $N_2$  to hydrazine, ammonia or organonitrogenated compounds may occur:

$[(TiCl(\eta^5-C_5H_5)_2)_2] + Pr^iMgCl$  in ether where  $[Ti(\eta^5-C_5H_5)_2(NNMgCl)]$  [ $\nu(N_2) = 1255 \text{ cm}^{-1}$ ] was isolated and which on reaction with HCl gives hydrazine (*ca.* 80% yield) [95];  $[MCl_3(thf)_3]$  ( $M = Ti$  or  $V$ ) +  $Mg$  with possible formation of  $[(thf)CIM(NNMgCl)]$  [96]. These  $M-N=N-MgCl$  species are probable intermediates to transition metal-nitride-magnesium adducts, *e.g.*,  $[MN(MgCl)_2(thf)]$  ( $M = Ti$  or  $V$ ) which were isolated in the latter system and may lead to  $NH_3$  (upon hydrolysis), to isocyanate ligand  $NCO$  (upon reac-

\* This type of reaction is followed by organoimido ligands at group VB metal centres,  $M=N-R$ , which, by treatment with benzaldehyde,  $PhCHO$ , lead to  $Ph(H)C=N-R + M=O$ .

tion with CO) or to methylisocyanate MeNCO (upon alkylation by MeI) [96].

These systems are non-catalytic (they are at best stoichiometric) but a catalytic activity was developed since 1968 by VOL'PIN [97,98] through the addition of a Lewis acid (such as AlBr<sub>3</sub> or AlCl<sub>3</sub>) to the abovementioned systems composed of a titanium compound (TiX<sub>4</sub>) in the presence of a high excess of reducing agent (such as Al or LiAlH<sub>4</sub>); as in the latter systems, N<sub>2</sub> binds a reduced Ti centre. The catalytic species appears to be TiX<sub>2</sub> (the Lewis acid adduct C<sub>6</sub>H<sub>6</sub>.TiCl<sub>2</sub>. 2AlCl<sub>3</sub> was isolated in benzene in the absence of N<sub>2</sub> and it exhibits catalytic activity); the reduction of N<sub>2</sub> to nitride(3-) proceeds stepwise (as evidenced by the formation of hydrazine, on hydrolysis, when the reaction is carried out at lower temperatures) and conceivably involves polynuclear systems with N<sub>2</sub> and its reduced derivatives bridging Ti and Al centres such as AlX<sub>3</sub> or AlX<sub>2</sub> (reactions 18). The Lewis acid cleaves the Ti-nitride bond thus allowing the regeneration of the Ti(II) active species. Hydrolysis of the aluminum nitride leads to NH<sub>3</sub> with a yield of *ca.* 290 mol NH<sub>3</sub>/Ti for the system TiCl<sub>4</sub> + Al + AlBr<sub>3</sub> (1:600:1000) at 130°C and p(N<sub>2</sub>) = 100 atm.



We have been treating the activation of N<sub>2</sub> by polynuclear systems with low transition group metals which display a high  $\pi$ -backbonding ability although presenting a low number of available *d* valence electrons. The other known examples of N<sub>2</sub> activation towards protonation by polynuclear systems involve the other extreme transition group metals (VIII) which have a high number of *d* electrons but present a low  $\pi$ -electron release capacity. The dinuclear iron complexes [(PPh<sub>3</sub>)<sub>2</sub>H(Pr<sup>i</sup>)FeN<sub>2</sub>Fe(Pr<sup>i</sup>)(PPh<sub>3</sub>)<sub>2</sub>] [99] (prepared by reduction of [FeCl<sub>3</sub>(PPh<sub>3</sub>)<sub>2</sub>] with Pr<sup>i</sup>MgBr in ether at -50°C under N<sub>2</sub>) and [(FeMgCl<sub>3</sub>(thf)<sub>1.5</sub>)<sub>2</sub>(N<sub>2</sub>)] [100] (isolated in the system FeCl<sub>3</sub> + Mg in thf under N<sub>2</sub>) give hydrazine (*ca.* 10% based on complex in the former

case, whereas the yield was not specified in the latter complex) on treatment with HCl.

The square planar Li<sub>4</sub>[FePh<sub>4</sub>].(Et<sub>2</sub>O)<sub>4</sub> complex was isolated in the system FeCl<sub>3</sub> + LiPh in Et<sub>2</sub>O under argon and it reacts with N<sub>2</sub> giving an unidentified species which, on treatment with acid, produces ammonia and/or hydrazine. The distances between the Li<sup>+</sup> ions and the Fe(0) centre suggest the possible interaction of the former with ligating N<sub>2</sub> at vacant axial coordination position [101].

The formation of hydrazine (20% based on the metal) has also been reported from treatment of [Co(N<sub>2</sub>)(PPh<sub>3</sub>)<sub>3</sub>Mg(thf)<sub>2</sub>] (produced in the reaction of [CoH(N<sub>2</sub>)(PPh<sub>3</sub>)<sub>3</sub>] with Et<sub>2</sub>Mg in thf at 0°C) with sulphuric acid [102]. It is, however, unknown if the Mg centre binds to N<sub>2</sub> or to the Co site; a related complex with N<sub>2</sub> bridging cobalt and magnesium is known, [(Co(PMe<sub>3</sub>)<sub>3</sub>(N<sub>2</sub>))<sub>2</sub>Mg(thf)<sub>4</sub>] [35], but it was not reported if N<sub>2</sub> is reduced in this species.

In the dinuclear nickel(0) complex, [(LiPh)<sub>3</sub>Ni]<sub>2</sub>( $\mu$ , $\eta^2$ -N<sub>2</sub>)(OEt<sub>2</sub>)<sub>2</sub>, the side-on bonded N<sub>2</sub> is also activated towards protonation and NH<sub>3</sub> (*ca.* 30-40% yield) is produced on hydrolysis in thf. Biphenyl and nickel(0) metal are the other products of the reaction, the phenyl anions behaving as the reducing agent; hence, in contrast to the abovementioned examples, the transition metal is not the source of electrons to the N<sub>2</sub> ligand [103].

## (ii) Mononuclear complexes

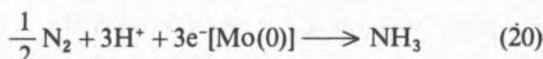
The most active mononuclear systems in the activation of N<sub>2</sub> towards protonation involve *electron-rich group VIB* transition metal (Mo and W) centres.

### (ii.1) Reduction of N<sub>2</sub> to NH<sub>3</sub>

When bound to {ML<sub>4</sub>} (M = Mo or W; L = tertiary monophosphine) in complexes [M(N<sub>2</sub>)<sub>2</sub>L<sub>4</sub>], N<sub>2</sub> undergoes ready protonation by protic acid (HX) to afford NH<sub>3</sub> (and hydrazine) in MeOH at ambient temperature and pressure [104,105]. The reaction also proceeds without HX, under W-filament light, in MeOH which behaves as the protonating agent [104]\*.

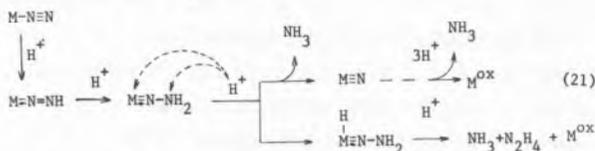
\* Acidic transition metal hydrides, such as [H<sub>2</sub>Fe(CO)<sub>4</sub>], [HFeCo<sub>3</sub>(CO)<sub>12</sub>] or [HCo(CO)<sub>4</sub>], may also be a proton source in these reactions, and NH<sub>3</sub> is obtained (although at lower yield than that obtained by using a mineral acid such as H<sub>2</sub>SO<sub>4</sub>) on treatment by aqueous KOH (basic distillation) [106].

The metal site behaves as the reducing agent, the maximum yield (2 mol NH<sub>3</sub>/mol W) corresponding to the consumption of the six valence electrons of W(0) (equation 19); for the Mo(0) system, the maximum yield is *ca.* 1 mol NH<sub>3</sub>/mol Mo, the molybdenum(0) being oxidized to the Mo(III) oxidation level (equation 20) [104].



The N<sub>2</sub> reduction/protonation proceeds stepwise with gradual weakening of the N-N bond until cleavage (reaction 21).

Hydrazido(2-) intermediate complexes, [MX<sub>2</sub>(NNH<sub>2</sub>)L<sub>3</sub>], have been isolated [107]. They were also detected in solution by <sup>15</sup>N n.m.r. [108] and they can undergo further protonation at the *exo* N atom to give NH<sub>3</sub> and a nitride complex which is protonated to NH<sub>3</sub>; the metal of the hydrazido(2-) species is also susceptible to protonation to afford an hydrido-hydrazido(2-) complex, *e.g.*, [WHX<sub>2</sub>(NNH<sub>2</sub>)L<sub>3</sub>]X [109], which, on protonation yields ammonia and hydrazine.



Complexes with other possible dinitrogen derived intermediate moieties have also been isolated, although with a more stable diphosphinic metal centre: the diazenido species of the type [MX(NNH)(dppe)<sub>2</sub>] (from deprotonation of the hydrazido(2-) complex by base) [110] and complex [MoBr(NH)(dppe)<sub>2</sub>]<sup>+</sup> with an imido ligand (representing an intermediate stage of protonation of nitride to NH<sub>3</sub>) which was derived from an organohydrazido(2-) precursor through an electrochemical 2e<sup>-</sup>/2H<sup>+</sup> process [111] (see also reactions 26).

These systems, without an external reducing agent, are not catalytic, but the possibility to regenerate at the metal centre its N<sub>2</sub> binding capacity was demonstrated for Mo complexes. Hence, *e.g.*, protonation of the hydrazido(2-) complex [MoBr<sub>2</sub>(NNH<sub>2</sub>)(PMe<sub>2</sub>Ph)<sub>3</sub>], which was formed by reaction of [Mo(N<sub>2</sub>)<sub>2</sub>(PMe<sub>2</sub>Ph)<sub>4</sub>] with HBr, leads, as usually, to NH<sub>3</sub> and the Mo(III) complex [MoBr<sub>3</sub>(thf)(PMe<sub>2</sub>Ph)<sub>2</sub>] was isolated; reduction of

the latter by sodium amalgam in the presence of phosphine and under N<sub>2</sub> regenerates the parent bis-dinitrogen complex [112], thus completing the N<sub>2</sub> reduction cycle although in a discontinuous way.

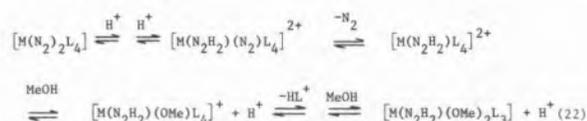
### (ii.2) Mechanisms of the protonation reactions

Based on stopped-flow spectrophotometric and electronic spectroscopic studies and on product analysis the following mechanisms have been proposed for the initial steps of N<sub>2</sub> protonation. They are mentioned since they present important information on the properties of the involved transition metal centres.

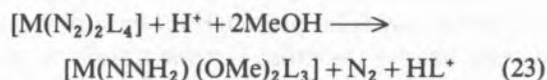
#### — Direct electrophilic attack at ligating N<sub>2</sub>

The protonation of the monophosphinic complexes *cis*-[M(N<sub>2</sub>)<sub>2</sub>L<sub>4</sub>] (M=Mo or W; L=PMe<sub>2</sub>Ph) by strong acids (HCl, HBr, H<sub>2</sub>SO<sub>4</sub>) in methanol occurs by two successive direct proton attacks at the *exo* N atom of a ligand N<sub>2</sub> which is activated by the electron rich metal centre [113].

Dinitrogen evolution results from the oxidation of the metal and the vacant coordination position allows the strong net electron donor methoxide anion to bind, giving the cationic complex [M(N<sub>2</sub>H<sub>2</sub>)(OMe)L<sub>4</sub>]<sup>+</sup>. The neutral [M(N<sub>2</sub>H<sub>2</sub>)(OMe)<sub>2</sub>L<sub>3</sub>] complex results from the coordination of a second MeO<sup>-</sup> anion by replacement of a phosphine ligand which is removed as a phosphonium species HL<sup>+</sup> (equation 22).



The overall stoichiometry of the reaction is shown by equation [23], one mole-equivalent of acid being consumed per mole-equivalent of complex as shown by spectrophotometric titration.



The kinetics exhibit a first-order dependence on complex concentration and a second-order dependence on acid concentration, but they are independent of the anion of the acid (which is strong in MeOH). The observed isotopic effect ( $k_{\text{H}}/k_{\text{D}} = 0.3$ ) is consistent with a mechanism which involves protolytic equilibria before the rate limiting step [113].



a 2<sup>nd</sup>-order dependence on [HX] according to pathway (b) or a 3<sup>rd</sup>-order dependence on [HX] through route (c).

Hence, following an initial electrophilic attack of the protic acid at the metal centre, these reactions proceed through a further electrophilic attack at the metal (N<sub>2</sub> deactivating pathway *a*, for a weak acid) or further electrophilic attacks at a ligating dinitrogen (N<sub>2</sub> activating pathways *b* and *c*, for less undissociated acids).

These protonation reactions are faster for W than for Mo and for the depe ligand than for the dppe analogues as a consequence of the greater basicity of W and depe relative to Mo and dppe, respectively.

### 3.2.2. FORMATION OF ORGANONITROGENATED SPECIES

The formation of organonitrogenated species from a dinitrogen ligand has already been documented for the transition metal groups IV to VIIB.

However, group IVB transition metal systems involve reactions whose mechanisms are not yet known in detail and the metal centres have not been characterized.

Hence, *e.g.*, amines are formed in some Vol'pin type systems and the reactions are suggested [98] to proceed through an insertion of N<sub>2</sub> into a Ti-C(aryl) bond in mononuclear or dinuclear centres or through a nucleophilic attack of carbanion at the *endo* N of a N<sub>2</sub> ligand — *e.g.*, in the [TiCl<sub>2</sub>(η<sup>5</sup>-C<sub>5</sub>H<sub>5</sub>)<sub>2</sub>] (or [Ti(η<sup>5</sup>-C<sub>5</sub>H<sub>5</sub>)<sub>2</sub>Ph<sub>2</sub>]) + PhLi system — or through an insertion of N<sub>2</sub> into a Ti-benzyne bond of an intermediate [115] — in the thermolysis of diphenyltitanocene.

Other examples, with possible involvement of intermediate species with the M-N=N-MgCl (M=Ti or V) moiety were already referred to (section 3.2.1.i).

An interesting reaction involving the dinuclear group VB Ta and Nb dinitrogen complexes (where the bridging N<sub>2</sub> ligand is strongly activated by the two high π electron release ability of the two metal centres) and acetone to give dimethylketazine was already mentioned (section 3.2.1.i) but the generality of this type of reaction has yet to be demonstrated.

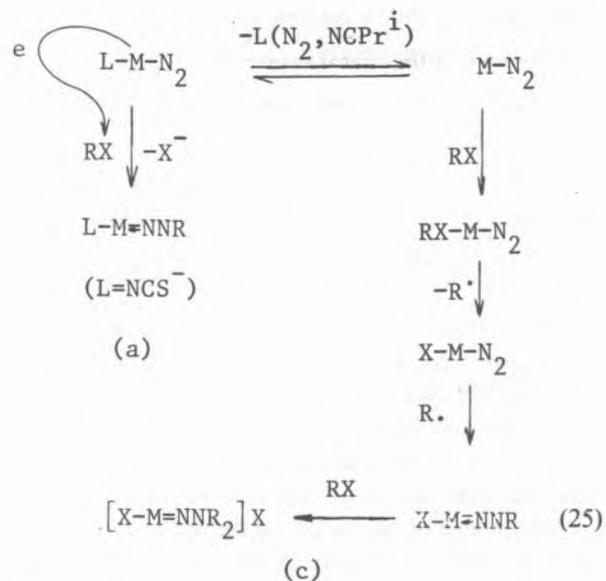
The behaviour of systems with transition metal VIIB and VIIB groups is known in more detail and they may reflect opposing dinitrogen activating ways.

#### (i) Formation of *exo* nitrogen-carbon bonds

When N<sub>2</sub> binds an electron rich Mo(0) or W(0) metal centre {ML(LL)<sub>2</sub>} (L = N<sub>2</sub>, NCPr<sup>i</sup>, NCS<sup>-</sup>; LL = dppe, depe or phenyl substituted dppe), of the type already mentioned, it may undergo attack by an organo (alkyl, aryl or aroyl) halide (RX).

However, this reaction does not occur *via* a direct electrophilic attack at the N<sub>2</sub> ligand, but it involves the previous oxidation of the metal centre.

If this centre presents a high electron donor co-ligand such as thiocyanate (P<sub>L</sub> = -0.88 V), its resulting high reducing power leads to the reduction of the organohalide through an outer-sphere electron transfer reaction to afford a radical (R.) which attacks the *exo* N atom of the dinitrogen ligand (reaction 25*a*) leading to an organodiazenido species; the kinetics are first order on both complex and RX concentrations, and the reaction with MeI is *ca.* 38 times faster than with EtI in agreement with a S<sub>N</sub><sup>2</sup> type mechanism [116].



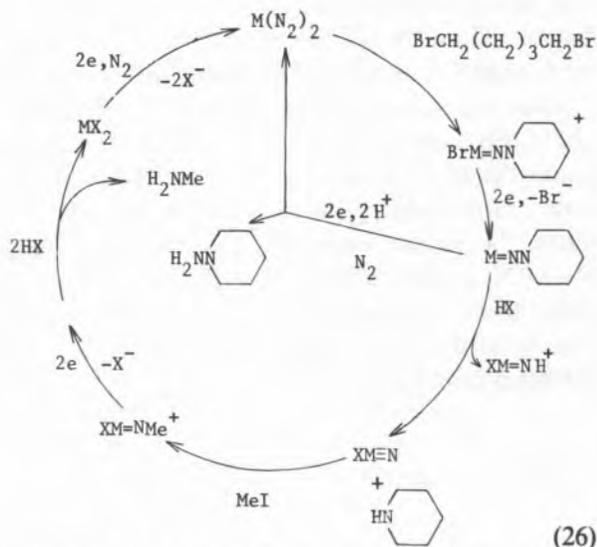
However, the mechanism of the reaction is different if the metal centre presents a lower reducing power and a labile co-ligand such as N<sub>2</sub> (P<sub>L</sub> = -0.1 V) or NCPr<sup>i</sup> (P<sub>L</sub> = -0.6 V). Addition of the organohalide to the unsaturated species (formed upon loss of the labile ligand) is followed by homolytic cleavage of the halogen-carbon bond to afford, through an inner-sphere electron transfer process, a free radical (R.) which attacks the *exo* N atom of the ligating dinitrogen (reactions 25*b*) [116].

The diazenido product of these reactions, activated by the metal centre, may undergo a secondary alkylation [117] through a direct electrophilic attack at the *exo* N atom in a bimolecular reaction which is promoted by the factors which enhance the electron richness of the metal centre (increase of the electron donor character of the substituents on the phosphorus atoms, and use of W instead of Mo central metal). These factors also affect in the same way the primary alkylation through the outer-sphere electron transfer pathway (reactions 25a) but they hinder the inner-sphere pathway (b) since the labile ligand dissociation is hampered by an increase in the  $\pi$ -backbonding ability of the metal centre. From the above considerations one may conclude, however, that these reactions may occur only at a metal centre with, at least, the following features in a delicate balance: strong (route *a*) or considerable (route *b*) electron release power for the organohalide to lead to the C-X bond cleavage with formation of free or bonded  $X^-$ , respectively; high  $\pi$ -electron donor capacity to ligating  $N_2$  which should stay coordinated to the metal even after its oxidation by reaction with the organohalide; coordinative unsaturation (for route *b*).

Hence, it is not surprising that these types of reactions are unknown for group VIII transition metals which present a high electronegativity. However, ligating  $N_2$  in the heavy group VIIB metal complex  $[ReCl(N_2)(PMe_2Ph)_4]$  (with a considerable electron  $\pi$ -releasing metal centre) may undergo acylation and arylation leading to  $[ReCl_2(NNCOR)(PMe_2Ph)_3]$  ( $R = \text{alkyl or aryl}$ ) [118].

Treatment of the Mo and W organohydrazido(2-) complexes with a reducing agent (such as  $NaBH_4$  in methanol) leads to the formation of amines and ammonia [119,120] but with destruction of the  $N_2$  binding ability of the metal centre. However the liberation of ligating organonitrogenated species with regeneration of the nitrogen fixation centre was already achieved by an electrochemical route in the cyclic organohydrazido complex  $[MoBr\{NN-CH_2(CH_2)_3CH_2\}(dppe)_2]^+$  which was derived from double alkylation of  $N_2$  ligand by 1,5-dibromopentane (reactions 26) [121,122].

The electrochemical reduction at a Pt electrode of this complex cation in thf confers on the metal centre such a high electron richness which promotes the protonation of that ligand (to afford an organohy-



drazine) and the  $N_2$  binding ability with regeneration of the parent bisdinitrogen complex, as shown by the inner cycle of reactions 26.

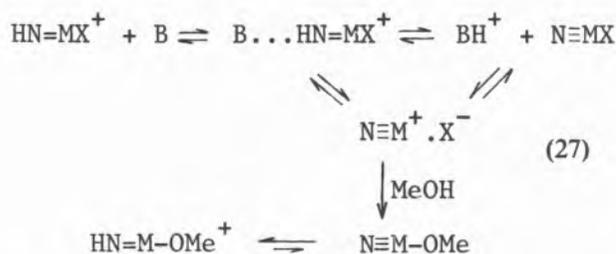
Other amines may be formed according to the outer cycle, *via* protonation of the neutral organohydrazido(2-) complex. Cleavage of the N-N bond occurs in a first step to afford piperidine, an imido and a nitrile species; the latter may undergo alkylation and the resulting organoimido species is susceptible to protonation, following a two electron electrochemical reduction, to give methylamine and the dihalide complex which, on reduction under  $N_2$ , regenerates the parent dinitrogen complex.

The fundamentals of these cycles, based on the *promotion of the ligand nucleophilic character upon electrochemical reduction of the metal center*, is certainly susceptible to extension to other systems with different types of  $N_2$  derived ligands, and it will be worthwhile to verify the generality of its application to the catalytic synthesis of nitrogenated species from dinitrogen ligand.

In contrast to the monophosphinic Mo and W complexes which activate  $N_2$  towards reduction to  $NH_3$ , the metal centres of the present cycles do not present a labile phosphine to be displaced by a stronger net electron donor ligand: *the electron releasing character of the centre is enhanced by electrochemical reduction*. However, *replacement of the halide ligand by a stronger net electron donor species* may also be used for a similar purpose as shown in the following example.

The imido complex *trans*- $[M(NH)X(dppe)_2]^+$  prepared in the sequence of the outer cycle (reactions

26), although unreactive in refluxing acidified methanol, affords  $\text{NH}_3$  (70% yield for  $\text{M} = \text{Mo}$ ) in basic methanol. The mechanism of this base catalysed reaction was studied [123] by stopped-flow spectrophotometry and is shown by the sequence (27): the imido ligand is deprotonated by the base (B) to form a nitride which, due to its high *trans* effect, induces the halide evolution and the ionic pair  $\text{N} \equiv \text{M}^+ \text{X}^-$  is formed; binding of the strong electron donor  $\text{MeO}^-$  to the unsaturated centre promotes the basicity of the nitride ligand which then undergoes further protonation to  $\text{NH}_3$ .



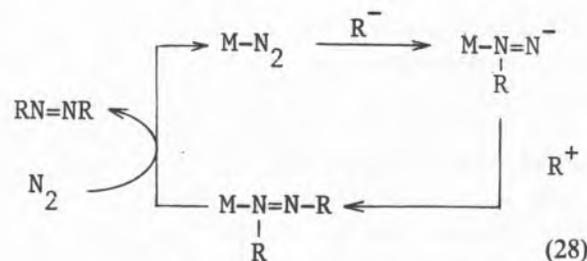
### (ii) Formation of endo nitrogen-carbon bond

When  $\text{N}_2$  binds a metal centre with a considerable lower electron-richness than those mentioned in the previous sections, the *endo* nitrogen atom may become susceptible to undergo a nucleophilic attack by a carbanion with formation of a N-C bond.

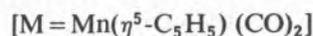
Although this type of attack has been postulated [98] in the formation of anilines from the reaction of  $\text{N}_2$  with  $\text{PhLi}$  in the presence of some high valent Ti(IV) species such as  $[\text{TiCl}_2(\eta^5\text{-C}_5\text{H}_5)_2]$  or  $[\text{Ti}(\eta^5\text{-C}_5\text{H}_5)_2\text{Ph}_2]$ , as mentioned in the previous section, the only well documented [124] system involves the dicarbonyl Mn(I) complex  $[\text{Mn}(\eta^5\text{-C}_5\text{H}_5)(\text{CO})_2(\text{N}_2)]$ .

This complex presents  $E_{1/2}^{\text{ox}}$  and i.r.  $\nu(\text{N}_2)$  at high values (+1.2 V vs. s.c.e. and  $2160 \text{ cm}^{-1}$ , respectively) in agreement with a relatively low electron-rich character of the metal centre and a low  $\pi$ -backbond ability to  $\text{N}_2$  (the presence of the two strong  $\pi$  electron competitor CO co-ligands may well play a role in this behaviour).

The diazenido ligand (in the unisolated intermediate) derived from nucleophilic attack of carbanion (from  $\text{MeLi}$  or  $\text{PhLi}$ ) at the *endo* N atom of ligating  $\text{N}_2$  can undergo reaction with an electrophile (carbocation or protic acid) to afford an organodiazene (reactions 28) which may be replaced by  $\text{N}_2$  (at 100



atm pressure) thus regenerating the initial dinitrogen complex although with a low cyclic yield.



### 3.2.3. ADDUCT FORMATION

The Lewis basic nature of dinitrogen when ligating an electron-rich Mo(0), W(0) or Re(I) centre accounts for the formation of dinitrogen bridged di- and tri-nuclear complexes on reaction of  $[\text{M}(\text{N}_2)_2\text{L}_4]$  ( $\text{M} = \text{Mo}, \text{W}$ ) with  $\text{AlMe}_3$  (or  $\text{AlEt}_3$ ) or of *trans*- $[\text{ReCl}(\text{N}_2)(\text{PMe}_2\text{Ph})_4]$  with a variety of representative or transition metal acceptor species such as  $\text{AlMe}_3$ ,  $\text{TiCl}_4$  or derived from  $[\text{CrCl}_3(\text{thf})_3]$  and  $[\text{MoCl}_4\text{L}_2]$  ( $\text{L} = \text{thf}$  or  $\text{PPh}_3$ ).

Hence, e.g., the  $[\text{Mo}(\text{NNAI}(\text{Et}_3)_2)(\text{PMe}_2\text{Ph})_4]$  [125] and the  $[\text{M}(\text{NNAI}(\text{Me}_3)(\text{N}_2)(\text{dppe})_2)]$  ( $\text{M} = \text{Mo}$  or  $\text{W}$ ) [126] adducts are derived from reaction of  $\text{AlEt}_3$  and  $\text{AlMe}_3$ , respectively, with the parent  $\text{N}_2$  complexes, whereas  $[\{\text{WX}(\text{PMe}_2\text{Ph})_3(\text{Py})(\mu_3\text{-N}_2)(\text{AlX}_2)\}_2]$  (where  $\text{Py} = \text{pyridine}$ ) (see section 2.2.1.) is derived from attack of  $\text{AlX}_3/\text{Py}$  at *cis*- $[\text{W}(\text{N}_2)_2(\text{PMe}_2\text{Ph})_4]$  ( $\text{X} = \text{Cl}$  or  $\text{Br}$ ) [41].

Moreover, the following Re(I) complexes [127] are formed by reactions of *trans*- $[\text{ReCl}(\text{N}_2)(\text{PMe}_2\text{Ph})_4]$  with the appropriate acceptor species:  $[\text{TiCl}_4\{\text{(N}_2)\text{ReCl}(\text{PMe}_2\text{Ph})_4\}(\text{thf})]$ ,  $[\text{TiCl}_4\{\text{(N}_2)\text{ReCl}(\text{PMe}_2\text{Ph})_4\}_2]$ ,  $[\text{MoCl}_4(\text{OMe})\{\text{(N}_2)\text{ReCl}(\text{PMe}_2\text{Ph})_4\}]$  and  $[\text{MoCl}_4\{\text{(N}_2)\text{ReCl}(\text{PMe}_2\text{Ph})_4\}_2]$ .

### 3.3. ACTIVATION OF ISOCYANIDES AND THEIR APPLICATION AS PROBES IN THE STUDY OF DINITROGEN BINDING METAL CENTRES AND OF DINITROGEN REACTIVITY

After studying the reactivity of  $\text{N}_2$  when activated by a transition metal centre, the difficulties encountered in its activation justify a brief reference to the attempts to apply other more reactive substrates of nitrogenase as probes in the study of  $\text{N}_2$  binding centres.

The isocyanides, organic species formulated as  $\text{C} \equiv \text{NR}$ , are isoelectronic with  $\text{N}_2$ ; they are also



Nitrogenase is formed by two iron-sulphur proteins and the presence of both of them is required for catalytic activity.

The heavier protein, called component 1, has molecular weight of *ca.* 220 000, 1 ~ 2 Mo atoms and 24-34 Fe atoms, whereas the smaller protein (component 2) presents molecular weight of *ca.* 60 000 and 4 Fe atoms (without Mo); in both proteins, iron is associated with a similar amount of sulphur, conceivably forming [4Fe-4S] cubane type clusters (the so-called P centres which have not yet been fully characterized) [135].

It was possible the isolation [136], from component 1, of a Mo and Fe co-factor (FeMoco) (the so-called M centre in the intact protein) with the Mo:Fe:S stoichiometry of 1:6 ~ 8:4 ~ 6 (two of these centres may be present in the Mo-Fe protein) and which generates an active Mo-Fe protein when added to a defective form of nitrogenase (without Mo) produced by a mutant bacterium.

The Mo-Fe protein, in the resting state, presents an EPR resonance [137] which is associated to six iron atoms (as evidenced by Mössbauer studies [138]) in a total electron spin  $S = 3/2$  metal centre present in the FeMoco.

$^{95}\text{Mo}$  and  $^1\text{H}$  ENDOR (Electron Nuclear Double Resonance) spectroscopic studies on the resting state of the Mo-Fe protein evidence (from the observed hyperfine coupling to Mo) the presence of a single Mo atom in the  $S = 3/2$  centre (which is redox active during the  $\text{N}_2$  reduction) and suggest (from the magnitude of the  $^{95}\text{Mo}$  hyperfine coupling) that this Mo atom presents a pair oxidation state, possibly tetrahedral Mo(II) or Mo(VI) [139].

XAS (X-ray Absorption Spectroscopy) and EXAFS (Extended X-ray Absorption Fine Structure) studies evidence that the numbers of Fe (3-4) and S(2-3) atoms in the vicinity of Mo and their distances to this atom in the co-factor are analogous to those observed in the Mo-Fe protein (the Mo-S distances are 2.35 and 2.36 Å in the co-factor and in the protein, respectively, whereas the Mo-Fe distances are 2.66 and 2.68 Å, respectively) [140].

Based on these properties, a few Mo-Fe-S clusters have been proposed as structural models for the active site, namely these shown in fig. 6: the single cubane  $\text{MoFe}_3\text{S}_4$  with a cubic arrangement of the metal and sulphur atoms, the double cubane (dimer with two single cubane units linked by sulphur or

thiolate bridges) and the linear model where the metal atoms follow a straight line [141]; other models have been proposed such as the complex "string bag" [142] arrangements which are composite clusters of hepta (h-type) and nona (n-type) simpler structures (with 7 and 9 atoms, respectively).

However, only recently it was demonstrated the nitrogen fixation ability of one of these clusters: the reduced (5-) form of the double cubane  $[\text{Mo-Fe}]^{3-}$  of fig. 6, prepared by controlled potential electrolysis of the latter at a Hg electrode (-1.3 V vs. s.c.e.) in a protic solvent, MeOH/thf or an aqueous alkaline suspension [143].

The maximum yield was *ca.*  $2\text{NH}_3/\text{complex}$  in an aqueous suspension during 4 days, although with a low current efficiency (lower than 0.1%, defined by the ratio between the charge which reduced  $\text{N}_2$  to  $\text{NH}_3$  and the total charge which passed through the cell) due to the high consumption in the protic reduction to  $\text{H}_2$ .

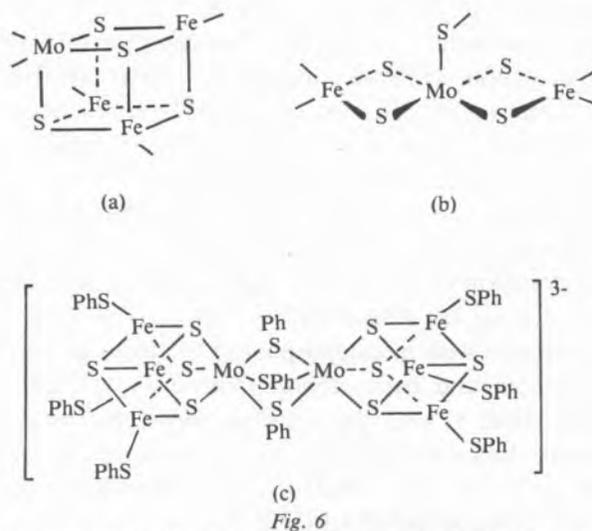


Fig. 6  
Structural model clusters for the enzymatic Mo centre of nitrogenase. (a) Single cubane  $\text{MoFe}_3\text{S}_4$ . (b) Linear  $\text{Fe}_2\text{S}_2\text{MoS}_2\text{Fe}$  (c) Double cubane  $[\text{Mo}_2\text{Fe}_6\text{S}_8(\text{SPh})_9]^{3-}$  or  $[\text{Mo-Fe}]^{3-}$

The same reduced  $[\text{Mo-Fe}]^{5-}$  cluster also reduces other substrates of nitrogenase such as methylisocyanide [to  $\text{CH}_4$  and other  $\text{C}_2$  and  $\text{C}_3$  hydrocarbons and  $\text{MeNH}_2$ ,  $\text{CO}_2$  also being formed probably through oxidation, by an oxidized form of the complex, of  $\text{HCOOH}$  which is obtained by hydrolysis of the isocyanide] [144], acetonitrile [to  $\text{NH}_3 + \text{C}_2\text{H}_6$  and  $\text{C}_2\text{H}_4$ ] and acetylene [which is stereoselectively reduced to ethylene: *cis*- $\text{C}_2\text{D}_2\text{H}_2$  is formed from  $\text{C}_2\text{D}_2$ ] [145].

In these systems the role of the Mo centre is yet unknown since the 3- and 4- reduced forms of the Fe-S cluster (without Mo)  $[\text{Fe}_4\text{S}_4(\text{SPh})_4]^{2-}$ , also exhibit reducing activity.

Moreover, in the double cubane structure the Mo atoms are coordinatively saturated and present thiolate bridges which are resistant to displacement; the substrate activation may then occur from coordination to iron. Hence, structures, *e.g.*, of the single cubane type, with an unsaturated Mo centre, may become more favourable.

This type of structure (fig. 6a) has been prepared [146] and authenticated by X-rays [147], the Mo(III) centre presenting a quelating catecholate ligand and an unsaturated character [the sixth coordination position is occupied by a labile solvent (*e.g.*, NCMe) ligand which undergoes ready replacement by a variety of neutral ( $\text{CO}$ ,  $\text{PEt}_3$ ) and anionic ( $\text{CN}^-$ ,  $\text{OPh}^-$ ,  $\text{SR}^-$ ) species]. An electron spin  $S = 3/2$  is observed as in the resting FeMoco.

The solvated cluster undergoes a reversible single electron electrochemical reduction, and the reduced form adds CO to give a 1:1 adduct, and the low observed i.r.  $\nu(\text{CO})$  value ( $1810\text{ cm}^{-1}$ ) which is comparable to that known for  $[\text{Mo}(\text{N}_2)(\text{CO})(\text{dppe})_2]$  ( $1799\text{ cm}^{-1}$ ) suggests that the reduced single cubane cluster species presents an electron  $\pi$  releasing power similar to that of  $\{\text{Mo}(\text{N}_2)(\text{dppe})_2\}$ ! The study of the interaction of this cluster with enzymatic substrates is conceivably under progress but has not yet been reported.

## 5 — FINAL COMMENTS

The topic of this work possibly initiated in 1965 with the accidental preparation of the first dinitrogen complex. Since then, important developments on the search for  $\text{N}_2$  activating metal centres have occurred: catalytic conversion of the Vol'pin system (Vol'pin 1968); development of aqueous systems (Shilov, Schrauzer, 1970); conversion of  $\text{N}_2$  into organonitrogenated species and hydrides of nitrogen in isolated complexes (Chatt, Leigh, Richards, 1972, 1975); the isolation of FeMoco (Shah 1977); the electrochemical quantification of the electronic properties of the metal centres (Pickett 1980); application of Mo-Fe-S clusters as structural models for the enzymatic metal centre; use of isocyanides as coordination and reactivity models and as probes in

the study of the activating properties of the metal centres.

The third, fifth and seventh topics, which were covered in this work, are those which have given more information on the electronic properties of the  $\text{N}_2$  activating centres: high electron-richness ( $E_s$ ), high polarisability ( $\beta$ ), high  $\pi$ -backbonding capacity and/or high  $\sigma$ -acceptor character. The convenient geometry and the coordinative unsaturation (due to the lability of  $\text{N}_2$  and/or other ligands) are also features of the involved metal centres and all these properties, in variable intensity, rationalize the stabilization of unstable  $\pi$ -acceptors (such as organodiazenido and carbyne-type species), as well as the chemical reactivity: ready oxidation, addition and oxidative addition reactions, involvement in catalysis, susceptibility to electrophilic attack and activation of  $\pi$ -acceptor ligands such as  $\text{N}_2$  and isocyanides. The half-wave oxidation potential of the complex and the i.r. stretching vibration associated to the triple bond of the unsaturated ligand reflect the type of activation it undergoes which promotes attack by a nucleophile or, more usually, by an electrophile. The reactions of  $\text{N}_2$  ligand with electrophiles involve a fundamental electron density transfer from the metal centre to the electrophile through a direct way [*e.g.*, in alkylation and protonation reactions of dinitrogen at diphosphinic group VIB Mo(0) or W(0) metal centres] or an indirect pathway, *via* dinitrogen [*e.g.*, in the protonation reactions of ligating  $\text{N}_2$  at monophosphinic Mo(0) or W(0) complexes by strong acids, and in the attack by Lewis acids].

The activation of  $\text{N}_2$  towards electrophilic attack depends concomitantly on the tendency to  $\pi$ -backbonding donation of the metal centre and on its available number of  $d$  electrons: transition metals of the lower periodic groups have a high  $\pi$ -electron release ability but present a small number of  $d$  electrons, whereas the metals in high periodic groups, with a high number of  $d$  electrons, have a weak  $\pi$ -backbonding capacity. Fig. 5 can now be extended to the central groups, typically to Mo and W group VIB transition metals, with a privileged localization which corresponds to a compromise between those two tendencies, presenting the maximum known  $\text{N}_2$  activating power in mononuclear complexes (fig. 7).

For the central transition metal groups (VIB and VIIB) the activation of ligating  $\text{N}_2$  towards electrophiles appears to increase down the group as eviden-

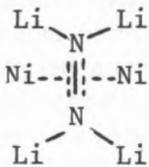
Group		IVB Ti ...	VB V ...	VIB Cr ...	VIIB Mn ...	VIII Fe, Co, Ni ...
$\pi$ -Backbonding ability		High	←—————→			Low
Number of <i>d</i> electrons		Low	—————→			High
N <sub>2</sub> activation	Mononuclear centres	High				Low
	Polynuclear centres	$M-N=N-M'$  $Ti-N \equiv N-Ti$				$M-N \equiv N-M'$  

Fig. 7

Activation of N<sub>2</sub> along the periodic groups. M-Transition metal centre. M'-Transition or representative metal centre

ced by the higher rates of the protonation and alkylation (by an outer-sphere electron transfer mechanism) reactions of N<sub>2</sub> when bound to W rather than to Mo, *e.g.*, in [M(N<sub>2</sub>)<sub>2</sub>(dppe)<sub>2</sub>] (the W centre appears to display a higher basicity than the Mo one). Moreover, when N<sub>2</sub> binds a Re(I) centre, in *trans*-[ReCl(N<sub>2</sub>)(PMe<sub>2</sub>Ph)<sub>4</sub>], it undergoes attack by a Lewis acid, an acyl- and aroyl-halide, whereas when bound to a lighter Mn(I) centre, in [Mn( $\eta^5$ -C<sub>5</sub>H<sub>5</sub>)(CO)<sub>2</sub>(N<sub>2</sub>)], it reacts with a nucleophile; however, a direct comparison between the two transition metals is precluded by the different electronic properties of their ligands.

However, N<sub>2</sub> may also be activated towards reduction by transition metals of the extreme periodic group in polynuclear complexes where the N<sub>2</sub> activation results from the combined effect of two or more metal centres with a possible promoting role of cations of less electronegative non-transition metal atoms (fig. 7).

In the reduction of N<sub>2</sub> to NH<sub>3</sub> or to organonitrogenated species by group VIB metal centres, it is also fundamental the enhancement of the electron releasing ability of the binding centre through replacement of a labile co-ligand by a stronger net electron

donor ligand or through an electrochemical reductive pathway.

The current knowledge on the rationalization of the N<sub>2</sub> binding metal centres is yet incipient but already promising, namely on attempting to design N<sub>2</sub> activating systems which may reduce, in mild conditions, N<sub>2</sub> to compounds with industrial or agricultural interest.

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## RESUMO

O presente trabalho constitui uma tentativa de caracterização dos centros de metais de transição com capacidade coordenadora de diazoto e uma reflexão sobre o modo como se manifestam as suas propriedades na activação desta espécie. Embora existam publicados vários artigos recentes de revisão sobre complexos de diazoto [1-4] não foi ainda nenhum elaborado sob esta perspectiva, pelo que o trabalho complementa, deste modo, assuntos tratados, necessariamente com mais pormenor, nesses artigos. Após um breve introdução à importância e actualidade da fixação de azoto, descreve-se a constituição dos centros de metais de transição coordenadores de diazoto, procede-se à sua caracterização estrutural e são apresentadas as suas propriedades electrónicas (riqueza electrónica, capacidade aceitadora  $\sigma$  e retrodoadora  $\pi$ , polarizabilidade) fundamentais àquela coordenação e é discutida a sua dependência em relação ao grupo periódico do metal central e ao efeito de co-ligandos.

Descreve-se em seguida o comportamento químico destes centros, em geral determinado por factores electrónicos e estruturais (nomeadamente o carácter insaturado derivado da labilidade do ligando diazoto), após o que são tratadas as formas de activação de  $N_2$  por coordenação, em complexos poli-ou mono-nucleares. É analisada a dependência desta activação em relação ao grupo periódico do metal de transição e à presença de iões de metais representativos de baixa electronegatividade.

É ainda proposto o uso de isonitrilos como modelos potenciais de coordenação e reactividade do diazoto e apresentados modelos estruturais e de reactividade do centro enzimático fixador de azoto.

## NOTA DO EDITOR

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# THE GENERALIZATION OF THE HILDEBRAND RELATIONSHIP BETWEEN THE ENTHALPY OF VAPORIZATION AND THE SURFACE TENSION OF LIQUIDS

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## INTRODUCTION

The physical properties of liquids such as surface tension, the heat of vaporization, internal pressure, cohesive energy density and static permittivity are macroscopic properties, all of which reflect intermolecular interactions.

The existence of relationships between some of these quantities is the direct consequence of the fact that all these quantities depend on the same interactions at molecular level. Research into such relationships is therefore a way of obtaining a better insight into the knowledge of the liquid structure as far as these relations can be explained on the basis of theoretical models. Many of the known relationships were discovered empirically and explained *a posteriori* on a theoretical basis. This is the case 1°) for COOMBER'S relationship [1,2] between the static permittivity and the internal pressure of non-polar liquids, 2°) for PAPAZIAN'S relationship [3,4] between surface tension and static permittivity, also relative to non-polar liquids and 3°) the relationship (equality) between the internal pressure and the cohesive energy density of several liquids described by HILDEBRAND [5-7].

Previously, Hildebrand pointed out the existence of a linear relationship between the free energy associated with the molar surface expansion of a liquid,  $\gamma_0 S$ , and the energy of vaporization [8],  $\Delta E_V$  ( $\gamma_0$ : surface tension,  $S = 4\pi r^2 N_{Av}$ : molar area of an ensemble of spherical molecules or radius  $r$ ). For spherical molecules,  $S$  is proportional to  $V^{2/3}$  ( $V = \frac{4\pi}{3} r^3 N_{Av}$ : molar volume) and therefore, a proportionality can also be predicted between  $\Delta E_V$  and  $\gamma_0 \cdot V^{2/3}$ .

Such a relationship is in fact observed for non polar liquids [5] and a justification of this relationship has been derived by SCHONHORN [9] DAVIS and SCRIVEN [10] and VA VRUCH [11] on the basis of statistical thermodynamics. This theoretical approach leads to a linear relation between the cohesive energy density  $\frac{\Delta E_V}{V}$  (where  $V$  is the molar volume) and  $\gamma_0 \cdot V^{-1/3}$  but the theoretical model does not explain the deviations to the Hildebrand's empirical relationship observed for polar and associated liquids. LEE [12] has tried to modify Hildebrand's empirical relationship in order to make it applicable, even in the case of polar and associated solvents.

Unfortunately, as BEERBOWER [13] observes, this modification breaks down the dimensional coherence of the relationship.

BEERBOWER [13] has also studied this problem by using a multiparametric empirical relationship. This approach does not bring any new fundamental results but the behaviour of approximately a hundred liquids can be described by the empirical equation. In the present work we would like to attempt a theoretical relation between surface tension,  $\gamma_0$ , and vaporization enthalpy,  $\Delta H_v$ , by focusing out attention on a single molecule situated in a cavity which, itself, is surrounded by a liquid which is considered to be a continuum.

### THEORETICAL TREATMENT

From the pioneering work of STEFAN [14], followed by that of EYRING [15], it is easy to show that the energy required to create a spherical cavity in a liquid (with a volume equal to the molecular volume:  $V/N_{Av}$ ) is equal to the molecular energy of vaporization ( $\Delta E_v/N_{Av}$ ) in the same conditions of temperature and pressure.

This conclusion is confirmed by calculations of the interactions of a single molecule with its all surroundings [16] and appears valid for all kinds of liquids (apolar, polar and associated). Strictly speaking, the treatment which leads to this result neglects the difference between the kinetic energy of the molecules in the bulk of the liquid, at its surface or in its gas phase. As far as different liquids can be compared with each other, the error associated with this omission seems negligible, however.

The work  $\tau(r)$  associated with the formation of a spherical macroscopic cavity of radius  $r$  in a liquid can be described as the sum of two terms (see for example [17])

$$\tau(r) = \frac{4}{3} \pi r^3 p + 4\pi r^2 \gamma_0 \quad (1)$$

where  $p$  is the external pressure. Under atmospheric pressure, the first term is negligible compared with the second one for  $r < 10^3 \text{ \AA}$ . We therefore have

$$\tau(r) = 4\pi r^2 \gamma_0 \quad (2)$$

If the cavity is formed at constant pressure,  $\tau(r)$  is a Gibbs free energy.

We can assume that equation (2) also holds for a cavity of microscopical size if the macroscopic surface tension is corrected in order to take into account the curvature of the surface. On the basis of the work of TOLMAN [17], KIRKWOOD and BUFF [18], KOENIG [19], WAKESHIMA [20], EYRING [21] and SINANOGLU [22], it appears possible to apply to  $\gamma_0$  a multiplicative corrective factor  $K(r)$  to obtain the correlation due to the curvature of the surface. In these conditions, the Gibbs energy associated with the formation of a mole of cavities with volumes equal to the molecular volume is expressed by

$$\bar{G}_{cav} = 4\pi r^2 N_{Av} \gamma_0 K \quad (3)$$

From the identity  $H = G - T \left( \frac{\partial G}{\partial T} \right)_P$  it is easy to derive the corresponding relationship giving  $\bar{H}_{cav}$  i.e.

$$\bar{H}_{cav} = K^H N_{Av} 4\pi r^2 \gamma_0 \left\{ 1 - T \left( \frac{1}{\gamma_0} \frac{\partial \gamma_0}{\partial T} + \frac{2}{3} \alpha \right) \right\} \quad (4)$$

where  $K^H$  itself is expressed by

$$K^H = K \frac{T}{1 - T \left( \frac{1}{\gamma_0} \frac{\partial \gamma_0}{\partial T} + \frac{2}{3} \alpha \right)} \frac{\partial K}{\partial T} \quad (5)$$

$\alpha$  is the coefficient of the thermal expansion of the liquid.

On the basis of the equality stated above between  $\bar{H}_{cav}$  and  $\Delta H_v$ , it therefore appears that equation (4) must be looked upon as a theoretically well-founded generalized form of Hildebrand's relationship.

If the product  $K^H \cdot A$ , i.e.

$$K^H \cdot A = K^H \cdot \left\{ 1 - T \left( \frac{1}{\gamma_0} \frac{\partial \gamma_0}{\partial T} + \frac{2}{3} \alpha \right) \right\}$$

remains constant for a series of liquids, a proportionality must exist between  $\Delta H_v$  and  $4\pi r^2 \gamma_0$ . On the other hand, if  $K^H \cdot A$  varies from one particular solvent to another, the proportionality between  $\Delta H_v$  and  $4\pi r^2 \gamma_0$  disappears.

The analysis we made for more than fifty pure liquids shows different kinds of behaviour. Apolar solvents are characterized by  $K^H$  and  $A$  values which are more or less constant leading to constant  $K^H \cdot A$  values and, therefore, to an excellent proportionality between  $\Delta H_v$  and  $4\pi r^2 \gamma_0$  (see Table 1).  $K^H$  and  $A$  values for the other liquids can be compared with those determined for apolar liquids.

Such a comparison makes it possible to analyse the origin of the deviations with respect to Hildebrand's relationship. In the case of dimethylsulfoxide and formamide, the high values of  $K^H$  are partially compensated for by the small values of A, but a discrepancy still exists with regards to Hildebrand's relationship. Alcohols are characterized by very high  $K^H$  values whereas nitromethane and dimethylformamide are characterized by high A values. Low A values are observed for aniline and formic acid.

Table 1  
 $K^H$  and A parameters for organic liquids at 25°C

Solvent	$K^H$	A	$K^H \cdot A$
n-hexane	0.687	2.428	1.668
cyclohexane	0.654	2.229	1.457
n-heptane	0.718	2.260	1.622
methylcyclohexane	0.690	2.129	1.469
n-octane	0.738	2.177	1.608
carbon tetrachloride	0.655	2.194	1.437
methylene chloride	0.649	2.441	1.584
chloroform	0.693	2.211	1.532
t-butyl chloride	0.653	2.525	1.649
chlorobenzene	0.778	1.872	1.456
carbon disulphide	0.647	2.143	1.387
benzene	0.660	2.227	1.470
toluene	0.711	2.080	1.479
mesitylene	0.800	1.908	1.526
dioxane	0.678	2.175	1.475
diethyl ether	0.677	2.688	1.820
cyclohexanone	0.871	1.680	1.463
acetone	0.826	2.298	1.898
acetonitrile	0.973	2.086	2.030
nitromethane	0.750	2.401	1.800
dimethylformamide	0.726	2.505	1.819
dimethylsulphoxide	0.999	1.758	1.756
formamide	1.826	1.289	2.354
methanol	1.819	1.919	3.489
ethanol	1.625	1.931	3.139
n-propanol	1.513	1.845	2.792
t-butyl alcohol	1.227	2.232	2.738
n-octanol	1.290	1.819	2.347
aniline	1.015	1.549	1.572
formic acid	0.692	1.683	1.164
acetic acid	0.710	1.985	1.409
water	1.359	1.598	2.172

$K^H$  values were obtained from eq. (4) taking into account the equality between  $H_{cav}$  and  $\Delta H_v$

## CONCLUSIONS

Our theoretical treatment leads to a generalization of Hildebrand's relationship. Equation (4) has the great advantage of permitting the determination of  $K^H$  from easily obtainable experimental parameters. Such a procedure has been used with success in a previous work [23]. The importance of this  $K^H$  factor has been ignored by ABDULNUR [24] in his attempt to obtain a linear relationship between  $H_v$  and  $V^{2/3}\gamma_0 A$ . Our treatment also allows us to classify solvents according to the origin of the deviation with respect to the linear relationship ("abnormal" values for  $K^H$  and/or A).

Our present aim is to give interpretation at molecular level of the high (or low)  $K^H$  and A values which characterize non-apolar solvents.

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## ABSTRACT

The Hildebrand relationship which relates the enthalpy of vaporization to the surface tension of a liquid is derived on the basis of a theoretical model. Moreover, a generalized expression is obtained which makes it possible to explain deviations from the linear Hildebrand relationship. The theoretical model itself takes into account the work which is associated with the formation of cavities in liquids. A correct estimation both of this work and of the corresponding enthalpy contribution is important in the description of the dissolution process of a solute in a solvent.

## RESUMO

Generalização da relação de Hildebrand entre a entalpia de vaporização e a tensão superficial de Líquidos.

A expressão de Hildebrand que relaciona a entalpia de vaporização com a tensão superficial de um líquido é deduzida neste trabalho a partir de um modelo teórico. Obtém-se assim uma expressão generalizada que permite dar uma explicação dos desvios à relação linear de Hildebrand. O modelo utilizado baseia-se no cálculo do trabalho associado à formação de cavidades em líquidos. O cálculo correcto deste trabalho, assim como o da contribuição entálpica correspondente, é importante para a descrição do processo de dissolução de um soluto num solvente.

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